

FY 2021-2022

2021 Ways and Means

Law Enforcement and Criminal Justice

Subcommittee Budget Hearing



South Carolina Department
of Probation, Parole and
Pardon Services

Jerry B. Adger
Director

1-13-2020

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List of Key Officials

1. Jerry B. Adger – Agency Director
2. Jodi Gallman – Chief Deputy Director
3. Michael Nichols – Deputy Director for Field Operations
4. Virginia J. Camp – Deputy Director for Administration
5. Ben Aplin – Deputy Director for Legals and Policy
Management (virtual)
6. Deborah Parker – Director of External Affairs

South Carolina Department of Probation, Parole and Pardon Services

Agency Overview
January 13, 2021



Agency Information

- In 2018 SCDPPPS became the **first probation agency in the nation** to achieve accreditation from **CALEA**-*The Commission on Accreditation for Law Enforcement Agencies*.
- PPP is the 3rd largest state law enforcement agency in the state and is charged with the community supervision of **62,259 jurisdictional offenders**. *(as of 9/30/20)*
- PPP maintains offices in all 46 county offices.
- **The Department is comprised of four major divisions:**
 - Executive, Field Operations, Administration, and Legals & Policy Management
- SCDPPPS currently has **703** filled FTEs out of **774** total allocated. **(52 vacant FTEs are posted.) (as of 10/14/20)**
- Offender Supervision fees have declined steadily since 2010, creating the need for SCDPPPS to depend more heavily on state funding.

Accountability Report Highlights & Accomplishments

1. Developed a **pandemic action plan** to keep the department functional while ensuring the health and safety of staff, victims, offenders and the public.
2. Implemented a successful **virtual hearing process** to continue holding parole and pardon hearings safely during the pandemic; pardon applicants, inmates, attorneys, victims and witnesses can appear before the Board from remote locations.
3. **Doubled** the number of **home visits** in 2020, compared to the previous year, due to Agents conducting socially distant, face-to-face offender reports in the field- rather than in the office.
4. Achieved a **1:1 vehicle to Agent ratio**, with Legislative funding, enabling staff to enhance offender supervision.
5. Completed evaluation of a year-long **Body-Worn Camera Pilot Project**; Agents supervising **Domestic Violence specialized caseloads** were among the staff assigned cameras.
6. Added an **online fee payment option** to the Department's website in January 2019; Offenders owing supervision fees and restitution can now pay in a county office OR pay online- a safer option for social distancing.

SENTENCING REFORM ACHIEVEMENTS:

1. Created a cost avoidance to SC taxpayers of \$13.6 million by **diverting 1,779 offenders** from SCDC in FY 2019.
2. Maintained an **80% successful probation closure rate** (compared to the national average of 62%) and an 85% successful parole closure rate (compared to the national average of 59%).
3. Achieved a **49% reduction**- compared to 2010 Sentencing Reform baseline levels- in **new offense** revocation rates.

Budget Requests

- Please briefly summarize your budget request.

1. **Mental Health Specialist Caseload Program (\$804,575)** The purpose of the Mental Health Program is to 1) improve access to standardized screening and assessment tools; 2) create collaborative comprehensive case management plans that address criminogenic needs; and 3) coordinate wraparound services that establish stability for offenders living with mental illness.
2. **Enhancing the Parole Board Decision Making Process (\$905,062)** The Parole/Pardons Investigations Area is a result of the Agency removing the parole and pardon investigations from Field Operations and creating a unit of OSS to complete these administrative investigations. The work previously completed by 46 counties is now delegated to 18 Investigator/OSS. While the area is still in its first year, this area has benefited the Agency by freeing Agents to complete C1-related duties, increased the consistency in investigations and centralized the operations of the Paroles, Pardons and Release Services. Fully staffing the Parole/Pardon Investigations Area as well as the Release Coordination Area will allow the Agency to review and upload an increased percentage of sentencing documents.
3. **Expansion of Inmate Release Services (\$1,036,269)** SCDPPPS aims to increase the number of inmates released to supervision with completed risk-needs assessments by hiring additional Examinations and Release Services staff. Providing this information to supervising agents will enhance the safe and responsible release of inmates to the public. The approval of new staff in this area will also enable the agency to operate additional parole hearing videoconferencing sites to include every institution in SCDC. This improvement would greatly reduce, if not eliminate, the need for SCDC to transport inmates to parole hearings.

Budget Requests Continued

- Please briefly summarize your budget request

4. **Agency Fleet Replacement Plan (\$625,672)** The Agency currently has 118 vehicles in its fleet at an average base monthly lease rate of \$57.35. These vehicles were purchased in 2014 under an MOU between State Fleet Management (SFM) and the Department and included administrative and insurance costs. Unfortunately, the lease agreement has come to fruition with an increased average of \$502 per vehicle per month and for officer safety, these vehicles must be replaced.
5. **Sworn Officer Performance Pay Plan (\$2,085,300)** The Department is requesting a total of \$2,085,300 for the retention of C1 Certified Agents. The biggest challenge for the Department is retaining experienced agents. The agent's Recruitment and Retention Pay plan is needed in an effort to provide a milestone pay increase for Class 1 Certified law enforcement staff in JC class while providing an incentive for trained, seasoned agents to remain with the Agency. There are no potential offsets for this plan. The agency will not be able to provide these incentives with current funding.
6. **Requesting of 20 State FTEs for IT, and Realignment & Expansion of existing programs** SCDPPPS is requesting a total 20 FTEs – 10 FTEs for the IT Department in an effort to provide technical functions that are outside of the scope for current shared services and require specialized skillsets to ensure expeditious of IT infrastructure projects for day-to-day operations and critical business needs. SCDPPPS received funding for these positions in FY 20, but did not request the FTEs. 10 FTEs to support and enhance the SCDPPPS goal of increasing specialized caseloads for the domestic violence and sex offender populations.
7. **Increase in TORT rate – (\$562,592)** SCDPPPS received information on November 25, 2019, from the SC State Fiscal Accountability Authority that its TORT Insurance will be increased for Fiscal Year 21 by 152%. This amount will have a significant impact on SCDPPPS recurring budget. PPP will not be able to sustain this amount at current level and will need to request \$562,592 for FY 21, in additional state funds.
8. **Alston Wilkes Society –(\$750,000)** The Alston Wilkes Society is requesting recurring funds to be included in the 20-21 state budget for some infrastructure needs, but mainly to serve an additional 500 clients statewide who are on state probation or parole. These funds would be used to help with housing, job placement assistance, clothing, food, transportation, birth certificates & state ID's. These funds would allow Alston Wilkes to serve a grand total of 1,000 additional citizens in helping them become tax paying productive citizens

Cost Savings Plans

- Please give a brief overview of the impact of a 1%, 2%, and 3% General Fund reduction along with any measures the agency is already taking to prevent a deficit due to mid-year reductions or reduced revenues.

1% Budget Cut = \$459,170 – (Lease Cars)

- The loss of funds for the Agent Vehicle Support Plan would be detrimental to the department's ability to be fully operational in several ways. Agents would not have the necessary transportation to conduct assignments such as home visits, employment verification, offender extraditions, warrant service and response to global positioning system (GPS) alerts. Also, vehicles serve to streamline the special assignment deployment process, including emergency responses to hurricane evacuations, lane reversals, law enforcement assistance at Bike Week and State House demonstrations. Without the use of these vehicles, deployments could potentially be impacted and SCDPPPS will be unable to meet its mission.

2% Budget Cut = \$459,170 + 459,172 = 918,342 (Lease Cars plus a 90 day hiring lag)

- The agency would implement a 90 day hiring lag to make up for this 2 percent General Fund reduction.

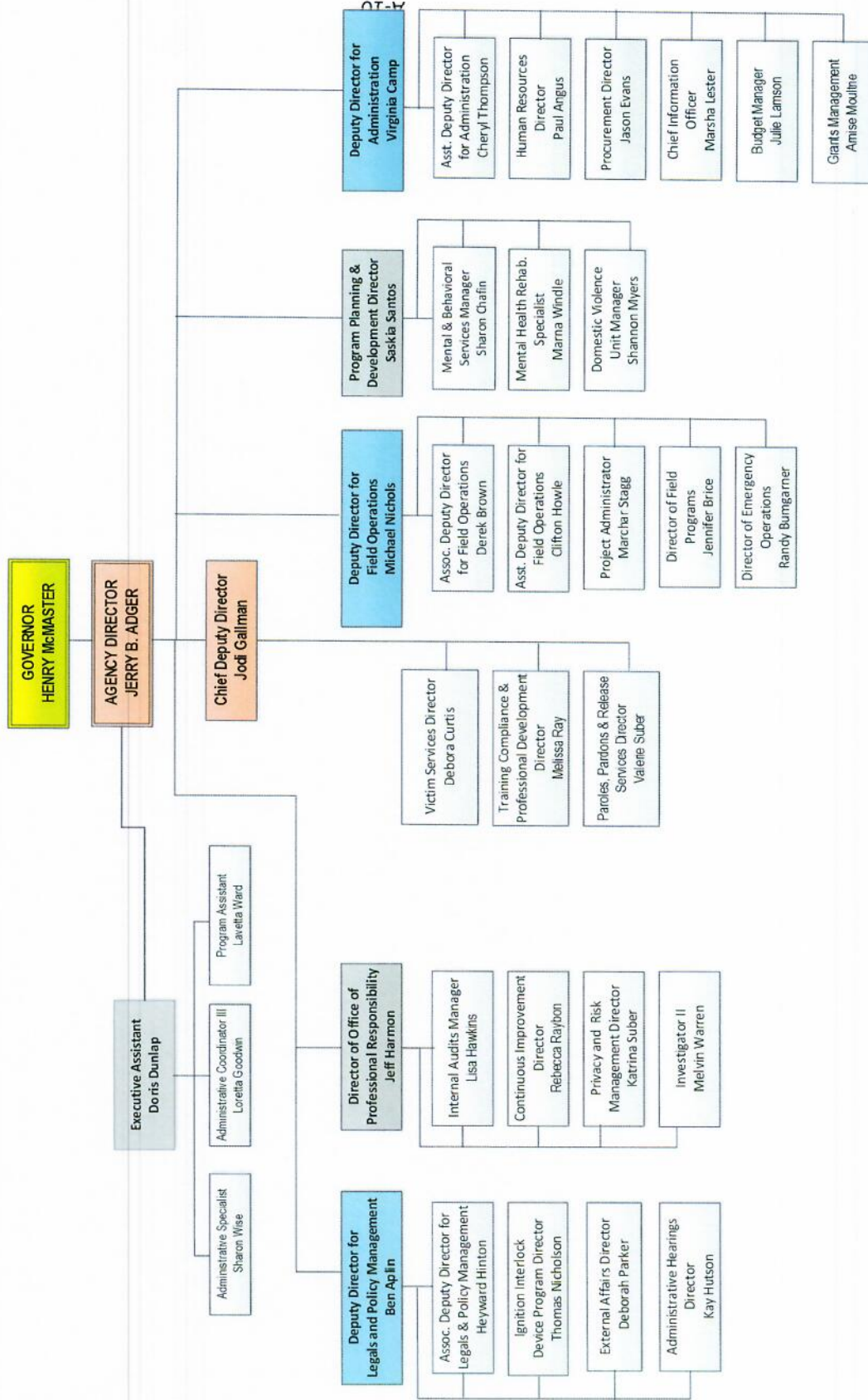
3% Budget Cut - \$918,342 + \$459,170 = \$1,377,512 (Lease cars, 90 days hiring lag, & IT maintenance contracts)

- The funding for software development and maintenance of the Agency's applications will support SCDPPPS' ability to provide essential services to our constituents, the Courts, SC Board of Pardons and Paroles, victims, and the community. The proposed software development projects will result in improved services to the aforementioned constituents, as well as operational efficiency gains for our staff and cost savings for the Agency.
- As a result of COVID-19, the Agency has made significant changes in its operational practices in order to adapt to the ever-changing needs and challenges of the aforementioned constituents during this pandemic.
- Reduction of funding by \$459,170 would impede the Agency's ability to maintain and enhance existing applications in order to adapt to these changes.

COVID-19 Expenditures

- Please show any Federal funds you have received related to COVID-19 to include information on amount received, amount disbursed, purpose of expenditure, and plan for any remaining balances.

➤ SCDPPPS have not yet received any reimbursements for COVID 19.





S.C. Department of Probation, Parole & Pardon Services

FY 2020-21 FTE Information

Program	Authorized FTE's			Filled FTE's				Vacancies		
	State	Other	Program Total	State	Other	Federal	Program Total	State	Other	Program Total
Administration	21	34	55	38	5	0	43	2	0	2
Offender Supervision	446	116	562	475	67.5	0	542.5	47.25	8	55.25
Sex Offender Monitoring	54	0	54	45	1.75	0	46.75	2.75	0	2.75
Sentencing Reform	52	0	52	23.75	2	0	25.75	3	0	3
Re-entry Programs	0	6	6	3	3	0	6	0	0	0
Parole Board Operations	30	15	45	41	4	0	45	2	0	2
Agency FTE Total	603	171	774	625.75	83.25	0	709	57	8	65
Temporary Employees				4	9	0	13			
Parole Board			0	6	0	0	6			
Agency Employee Total	603	171	774	635.75	92.25	0	728	57	8	65

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AGENCY CODE:	N080	SECTION:	066

Fiscal Year 2019–2020 Accountability Report

SUBMISSION FORM

AGENCY MISSION	<ul style="list-style-type: none"> • Prepare offenders under our supervision toward becoming productive members of the community; • Provide assistance to the victims of crime, the courts and the Parole Board; and to • Protect public trust and safety
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AGENCY VISION	Our vision is to be recognized nationally as a catalyst for positive change in the lives of offenders, a force for public safety, a leader in victim services, and a responsible steward of public funds.
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Does the agency have any major or minor recommendations (internal or external) that would allow the agency to operate more effectively and efficiently?

	Yes	No
RESTRUCTURING RECOMMENDATIONS:	<input checked="" type="checkbox"/>	<input type="checkbox"/>

Is the agency in compliance with S.C. Code Ann. § 2-1-230, which requires submission of certain reports to the Legislative Services Agency for publication online and to the State Library? See also S.C. Code Ann. § 60-2-30.

	Yes	No
REPORT SUBMISSION COMPLIANCE:	<input checked="" type="checkbox"/>	<input type="checkbox"/>

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Is the agency in compliance with various requirements to transfer its records, including electronic ones, to the Department of Archives and History? See the Public Records Act (S.C. Code Ann. § 30-1-10 through 30-1-180) and the South Carolina Uniform Electronic Transactions Act (S.C. Code Ann. § 26-6-10 through 26-10-210).

RECORDS MANAGEMENT COMPLIANCE:	Yes	No
	<input checked="" type="checkbox"/>	<input type="checkbox"/>


Is the agency in compliance with S.C. Code Ann. § 1-23-120(J), which requires an agency to conduct a formal review of its regulations every five years?

REGULATION REVIEW:	Yes	No
	<input checked="" type="checkbox"/>	<input type="checkbox"/>

Please identify your agency's preferred contacts for this year's accountability report.

	<u>Name</u>	<u>Phone</u>	<u>Email</u>
PRIMARY CONTACT:	Arnise N. Moultrie	803-734-7102	Arnise.moultrie@ppp.sc.gov
SECONDARY CONTACT:	Jodi D. Gallman	803-734-9284	Jodi.gallman@ppp.sc.gov

I have reviewed and approved the enclosed FY 2019–2020 Accountability Report, which is complete and accurate to the extent of my knowledge.

AGENCY DIRECTOR (SIGN AND DATE):	
	September 15, 2020
(TYPE/PRINT NAME):	Jerry B. Adger

BOARD/CMSN CHAIR (SIGN AND DATE):	
(TYPE/PRINT NAME):	

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AGENCY'S DISCUSSION AND ANALYSIS

Description of Agency

The South Carolina Department of Probation, Parole and Pardon Services (SCDPPPS) is the third largest state law enforcement agency in South Carolina and is charged with the supervision of offenders in the community placed on probation by the Court, granted parole by the SC Board of Pardons and Pardons, enrolled in mandatory release programs - Community Supervision Program (CSP) and Supervised Reentry Program (SRP) and on Youthful Offender Release from the South Carolina Department of Corrections (SCDC). Offenders are supervised according to a wide range of strategies that are designed to provide the opportunity to succeed while protecting public safety. The Department embraces the motto: ***Prepare, Provide, Protect.*** At the end of fiscal year (FY) 2020, the Department supervised a daily average of 62,421 jurisdictional offenders and 28,950 active offenders.

The Department was created on October 18, 1941 by Act 562 (amended to Act 563) with the expectation to maintain high standards of integrity, professionalism and accountability. The Department maintains a headquarters facility in Columbia along with 46 county offices and five county satellite offices (Beaufort, Berkeley, Dorchester, Marietta, and York). At the end of FY 2020, the Department was staffed with 707 employees, which included 674 classified positions and five unclassified positions, seven temporary contract positions and one temporary grant position. **The Department is comprised of the Director's office and three divisions: Administration, Field Operations, and Legals and Policy Management.**

Jerry B. Adger was appointed as Director in January 2015 by Governor Nikki Haley. Director Adger has the overall responsibility for the Department, the budget, staff functions, and development of all policies and procedures governing the agency. The Director's Office includes the Office of the Chief Deputy Director, the Office of Victim Services, the Office of Training Compliance and Professional Development, The Office of Program Planning and Development, the Office of Pardons, Pardons and Release Services, and the Office of Professional Responsibility.

Like all South Carolina state agencies, SCDPPPS quickly responded to the COVID-19 pandemic. The Department played an integral role with emergency responses and crowd control during deployments and special operations across the state. Internally, the Department developed an action plan following guidance from the Office of the Governor and the SC Department of Health and Environmental Control to keep government open and functional while ensuring the health and safety of staff and the people we interact with daily. Non-essential and high risk staff were required to work from home and Director Adger implemented onsite rotation of essential Central Office staff. Most training was suspended or converted to a virtual platform where applicable.

In response to the many business and industry closings and recognizing the financial burden placed on offenders and their families during the pandemic and economic downturn, the Department waived offender supervision fees for the months of April, May and June 2020.

Accomplishments of the South Carolina Department of Probation, Parole and Pardon Services (SCDPPPS) exhibit the agency's sustained commitment to carrying out sentencing reform. Through the use of evidence-based rehabilitative strategies, SCDPPPS saved taxpayers nearly \$14 million by diverting over 1,500 offenders from the South Carolina Department of Corrections (SCDC) in FY 2019. This represents a 6% increase since the previous year and 222% increase since the implementation of the Omnibus Crime Reduction and Sentencing Reform Act of 2010 (2020 Strategic Plan Objectives 1.1.3, 1.1.4, and 1.3.6).

The Department's supervision strategies are consistent with empirically proven practices and continue to generate positive results in the lives of offenders, as evidenced in successful closure rates. SCDPPPS touts an 80% successful probation closure rate compared to the national average of 62% and 85% successful parole closure rate compared to the national average of 59% (Bureau of Justice Statistics, *Probation and Parole in the United States, 2017-2018, August 2020*). Both of which exceed the national average.

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Maintaining national accreditation from the Commission on Accreditation for Law Enforcement Agencies (CALEA) requires vigilance and a commitment to process improvement. SCDPPPS continues to review policies and procedures to improve documentation practices and training curricula, especially in the areas of use of force and mental health awareness. CALEA will continue to review accreditation files annually until the next on-site review, scheduled for 2022.

Internal Factors Affecting Agency Performance in Fiscal Year 19-20

The South Carolina Department of Probation, Parole and Pardon Services (SCDPPPS) has continued to flourish during the 2019-2020 fiscal year. Agency staff has shown tremendous resiliency, and made continued strides, while addressing the many internal factors that affect agency performance.

Under the leadership of Director Adger, SCDPPPS has managed to reach new heights as well as maintain the course during an unprecedented time in our nation. In 2020 SCDPPPS staff has banded together- virtually- to implement creative ways to telecommute in response to the COVID-19 pandemic. Many helpful, innovative procedures have been put into place- in particular in the Field Operations Division, the Paroles, Pardons and Release Services (PPRS) Section, and the Office of Administrative Hearings- to enable staff to continue to fulfill the agency's mission while in quarantine.

SCDPPPS was forced to suspend parole and pardon hearings in April 2020 in response to the COVID-19 pandemic. The established hearing protocol afforded victims, survivors, and opposing law enforcement officials the opportunity to appear before the South Carolina Board of Parole and Pardons or at one of the remote videoconferencing sites across the state. In addition, SCDC halted all families and other non-employees from entering correctional facilities, making it impossible for hearings to proceed safely. SCDPPPS launched technological solutions to resume hearings and created a successful virtual hearing process. Staff from SCDPPPS, SCDC and the Parole Board worked to develop, test and implement a virtual hearing room, using available web-based applications. The new process allows pardon applicants, inmates, attorneys, victims and witnesses to appear and testify before the Board from remote locations. Board members also participate remotely. In the hearings conducted to date, the Board has observed appearances from SCDC facilities, county jails, offices, private homes, and other locations. Participants can connect to the hearings via computer, smart phone, or voice-only telephone. To coordinate the hearings, there are a number of teams working within each agency to ensure inmates are in place, correctional facilities, attorneys and crime victims, and witnesses are logged in and ready for each hearing. SCDPPPS resumed hearings on June 2nd and has successfully completed both parole and pardon hearings. Similarly, the Office of Administrative Hearings developed a plan for hearings to be conducted using remote communication technology, avoiding the need for a physical appearance by any party, witness or counsel.

In the Field Operations Division, Agents have continued conducting face-to-face offender reports in the community- rather than in the office. This major change in the way Agents do business has nearly doubled the number of home visits completed each month compared to 2019 numbers. This increase in productivity and offender services was not only brought about by the agency's rapid response to the "new normal" presented by the coronavirus pandemic; this major change in procedure was made possible in part to the now 1:1 vehicle to Agent ratio.

SCDPPPS also continues to transition closer to becoming entirely digital and paperless in its daily operations. The pandemic has presented an opportunity for staff to learn to work more efficiently while working remotely, but still connected virtually. Across all divisions—particularly in the Information Technology Services Division—staff has worked diligently to ensure the necessary tools and technology are in place to implement a smooth transition to a virtual workplace.

One such technological advancement was the 2019 implementation of the website's online 24-hour payment system that has enabled offenders to pay fees and restitution quickly and easily online. This feature has proven particularly valuable during the COVID-19 quarantine, when offenders are unable to safely travel to county

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offices to pay their restitution. Money Order Payments for nine of our largest counties are being processed by our Fiscal Services Staff in Central Office. It is anticipated, that additional country office will divert payments to Fiscal Services during FY 2020-2021.

The Department has maintained its intense focus on increasing rehabilitative services and the use of evidence-based supervision strategies. SCDPPPS has diverted thousands of individuals from incarceration through sentencing reform by reducing the number of offenders revoked for compliance violations. Since the implementation of the Sentencing Reform Act of 2010, SCDPPPS has created a cost avoidance to taxpayers of \$65.6 million dollars by reducing compliance violation admissions to SCDC by 1,779 offenders. All of this has been achieved while creating a 49% reduction in new offense revocation rates of SCDPPPS offenders in the past fiscal year alone.

Additionally, PPP remains ahead of the curve with innovative, specialized programs for mentally ill and sex offenders. The Mental Health program continues to address offender needs through increased education, treatment, and coping mechanisms. Offenders now benefit from rehabilitative services placed directly in county offices and new, improved curriculums for the Sex Offender Program.

External Factors Affecting Agency Performance in Fiscal Year 19-20

As aforementioned, the COVID-19 pandemic has proven to be a major factor affecting internal agency procedures as well as external agency interactions with the public, victims, Courts and offenders. This unprecedented international health crisis has presented an opportunity for staff to learn to work more efficiently in a virtual environment.

A related significant factor affecting agency performance is the allotment of state appropriations. SCDPPPS and other state agencies have been forewarned during the previous fiscal year to prepare for a grim financial outlook. Budget experts have predicted that the majority of state surplus funding that was initially granted to various state agencies in the Governor's and House of Representatives' budget in February of 2020, will likely need to be directed toward COVID-19 pandemic relief. Thus, some of the programs and initiatives that the Department had hoped to fund through new funding in FY 20-21 will be resubmitted for Budget year 2022.

The current negative public attitude toward law enforcement in general is another external factor that required additional response to protest events statewide. Along with other criminal justice agencies, SCDPPPS must work to bolster the collective community trust in law enforcement. SCDPPPS staff must continue to diligently work toward maintaining an inclusive, fair and transparent workplace.

Current Efforts and Associated Results Presented Elsewhere in this Report

While the pandemic may have been the catalyst to implement the use of technology in the agency's daily operations, current efforts are being made to explore more efficient ways to do business and save time and money. As seen in administrative hearings, parole hearings, mental health classes and victim service training, this sudden virtual shift to rely more on technology has become the impetus for managers to be reviewed and revised over business practices. The paperless, electronic pilot program in Dorchester County has epitomized the efficiency in the use of technology.

Plans Under Development to Introduce Additional Changes -

Mental Health Specialized Caseload Program

SCDPPPS aims to grow its Mental Health Program, with the goal to 1) improve access to standardized screening and assessment tools; 2) create collaborative comprehensive case management plans that address criminogenic needs; and 3) coordinate wraparound services with the goal of establishing stability for individuals living with mental illness.

Currently, only offenders with severe mental illness in Richland County are supervised by a specially trained Mental Health Agent. This plan will allow for the expansion of the program to four additional counties and will

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increase the number of eligible offenders supervised under the specialized program from 6% to 27%. Emphasis will be placed on treatment, medication compliance and long-term stability that will endure following the end of supervision (*2020 Strategic Plan Objective 4.4.2*). To ensure the success of specialized caseloads, Agents will have detailed, comprehensive training that is tailored directly to the needs of this population for cognitive behavioral supervision. SCDPPPS intends to request funding from the General Assembly in its FY 2022 budget to expand this valuable program.

Sworn Officer Performance-Based Pay Plan

Retaining experienced agents remains a major focus for the department. The Sworn Officer Performance-Based Pay Plan is needed to provide a milestone pay increase for Class 1 Certified law enforcement officers. This plan will provide an incentive for trained, seasoned Agents to remain with the agency. It is the Department's continued goal to recruit and retain experienced, specialized highly qualified Agents and to offer a competitive law enforcement salary. (*2020 Strategic Plan Objectives 3.1.1*). SCDPPPS intends to request funding in the FY 2022 budget for the retention of Class 1 Certified Agents.

Expansion of Parole Board Support Services

The Division of Paroles, Pardons and Release Services (PPRS) conducts offender case investigations for submission to the Parole Board for consideration. The investigations provide relevant and objective information to assist the Board in making decisions regarding the granting of parole and pardons. The department aims to grow consistency and accuracy in the investigations process, by increasing the number of sentencing documents uploaded into the online system, the Parole Information Center (PIC), for parole consideration. To accomplish this goal, SCDPPPS intends to request funding from the General Assembly for Parole Board support and the addition of Investigations staff (*2020 Strategic Plan Objective 1.1.5*).

Expansion of Inmate Release Services

SCDPPPS endeavors to responsibly release individuals from the SCDC to our release programs. Prior to parole consideration, each inmate is interviewed by a Parole Examiner and a risk-needs assessment is conducted. Along with the investigation, the Board is also provided with information regarding the inmate's likelihood to commit a new criminal offense and information regarding the inmate's criminogenic needs. Currently Parole Examiners serve an average of 2,800 release documents annually. Due to SCDPPPS staff levels, only 1,000 of those inmates- just parolees- have the benefit of hands-on communication with agency staff and the benefit of pre-release case planning through the use of the Reentry risk-needs assessment. SCDPPPS is not currently equipped with the staff levels necessary to complete these important assessments on inmates who leave SCDC through mandatory release.

SCDPPPS aims to increase the number of inmates released to supervision with completed risk-needs assessments by hiring additional Examinations and Release Coordination staff. The approval of new staff in this area will enable the agency to operate additional parole hearing videoconferencing sites to include every institution in SCDC. This improvement would greatly reduce- if not eliminate- the need to transport inmates to parole hearings. In order to increase public and staff safety, and to streamline the release process - per LAC SCDC recommendations- SCDPPPS intends to request funding in the FY 2022 budget for the expansion of Release Services (*2020 Strategic Plan Objective 1.1.3*).

Agency Fleet Replacement Plan

Through support from the State Legislature, SCDPPPS achieved its goal of reducing the vehicle to Agent ratio to 1:1 in FY 2018. The fleet has proven invaluable for increased productivity in daily operations, including community contacts, non-custody transports, in- and out-of-state extraditions, warrant teams, court appearances, response to global positioning system (GPS) alerts, as well as special assignments and emergency deployments.

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The Agency currently has 145 vehicles in its fleet at an average base monthly lease rate of \$57.35. Most of these vehicles were purchased prior to the Master Lease Program in 2018. Of these vehicles, 118 were purchased in 2014 under an MOU between State Fleet Management (SFM) and the Department and included administrative and insurance costs. Through this transfer, SCDPPPS consolidated all vehicles used by the agency into the SFM Lease Program in July 2016. However, with the new Master Lease Program's five-year rotation, these rates will increase to a new average monthly base rate of \$502.00, which is an average increase of \$445.00 per vehicle, per month. To that end, SCDPPPS is requesting funding to replace these vehicles whose lifespan have ended or will end in Fiscal Year 2021. Five vehicles met requirements in 2018; 132 met replacement requirements in 2019; eight will meet replacement requirements in 2021. SCDPPPS intends to request recurring state funding from the General Assembly to annually replace 13% of its fleet, once vehicles have reached their end-of-life (2020 Strategic Plan Objective 1.1.5).

I. Risk Assessment and Mitigation Strategies

The Agency's strategic plan and its' goals continue to support the department's mission and values. Nonfeasance to meet these goals has the potential to have a negative impact of varying degrees on the public and in particular its major stakeholders, offenders and victims.

a. Potential Most Negative Impact on the Public if Goals Are Not Accomplished

Goal 1: The first goal, "To Promote Public Safety for the Residents of South Carolina", is the central purpose for SCDPPPS. The strategies for this goal address increasing the use of efficacious practices to supervise offenders, implement processes to assist victims of crime and the Parole Board and increase community and Agent safety. Examples of such objectives that support these strategies are 1.3.3 which reads, "Increase the ratio of caseload carrying agents with body worn cameras from 7:1 to 1:1 by December 2021" and 1.3.4 which reads "Review 15% of body camera videos monthly using a quality contact standard checklist beginning January 2020." This use of technology will allow the Department to ensure that proper processes are being applied to offenders, that safety practices are being adhered to, and provide concrete evidence towards any accusations of improper behavior. Failing to meet this goal would significantly damage the core basis from which SCDPPPS operates and have an adverse effect on the Department's other three goals. The probability of recidivism would increase which could result in a decline in public safety.

Goal 2: This goal, "To Continuously Improve Our Processes within Secure Systems" uses strategies that examine and evaluate the Department's current security plans, financial accountability, data integrity and confidentiality and customer satisfaction. Objectives 2.3.1 and 2.4.1 are examples which focus efforts to improve data entry and restitution collection through comprehensive training. These objectives provide opportunities to enhance productivity, and correct any legitimate deficiencies. The consequence of not meeting this goal would be a lack of data integrity and a failure to impact victim recompense.

Goal 3: In Goal 3, SCDPPPS seeks "To Efficiently Develop the Organization and Workforce While Delivering Quality Services". The Department's executive management recognizes that its employees are the mainstay of the organization and therefore are resolute in the pursuit of fostering an environment of opportunity and growth. These strategies focus on leadership, employee retention, creating a blueprint for knowledge continuity and improving project governance and practices. Objectives under this goal include, 3.3.2 "document and revise standard operating procedures for all agency processes beginning January 2020 and completed December 2020 and review annually", which will improve consistency and perceived discontinuity. Failing to meet this goal would result in an underqualified workforce not capable of providing services at the highest level to stakeholders.

Goal 4: The last goal "To Create a Structure to Provide Effective Rehabilitative Services to Offenders" concentrates efforts on identifying offender needs and developing comprehensive plans to modify behavior. From ensuring certification and recertification of personnel providing risk and need assessments to the implementation of a specialized mental health program, these strategies promote quality interactions and

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accountability which in turn provides opportunities for effective rehabilitation services. Reducing recidivism, is intrinsic to Goals 1 and 4. An objective supporting this goal includes 4.4.2., "Implement a multi-disciplined mental health specialized supervision program and increase the number of mental health Agents from one to five by June 2021", will help the Department to focus resources on populations with specialized needs. Failing to accomplish this goal would have multiple effects. The offender may not be in an advantageous position to maintain pro-social behavior or contribute to society as a productive, law abiding citizen. Additionally, there could be direct and residual impacts to crime victims.

b. Nature and Level of Outside Help to Mitigate Negative Impact in Public if Goals Aren't Accomplished

Goal 1: "Promote public safety for the residents of South Carolina"

There are many ways in which outside agencies can aid SCDPPPS in its efforts to increase public safety, assist crime victims and utilizing evidence-based practices for offender supervision. Victim service agencies and Mental Health organizations can further assist SCDPPPS in delivering applicable training. If Goal 1 is not achieved, SCDPPPS must work to maintain its vital partnerships with SLED, SCDC and other law enforcement agencies to mitigate negative impacts. These agencies can also offer guidance to SCDPPPS leadership on the regular maintenance required for the successful and continued full implementation of a body worn camera program.

Goal 2: "To continuously improve our processes within secure systems"

This goal requires the department to evaluate its security plans, financial accounting systems, data privacy procedures and client commentary. If the objectives of this goal are not met, SCDPPPS will need to further rely on the positive relationships it has developed with its counterparts at the Department of Administration to further assist with its overall document retention and privacy policies and practices. As technology demands continue to grow for state government, it will become increasingly important for SCDPPPS Information Technology Staff to sustain a synergistic, collaborative workflow between not only the internal divisions at SCDPPPS, but also between multiple state agencies.

Goal 3: "Efficiently develop the organization and workforce while delivering quality services"

The Department remains focused on increasing employee retention, stellar staff performance and consistent updating of succession plans. If SCDPPPS fails to accomplish these objectives, the agency may need to seek the counsel of the State Division of Human Resources (SDHR) to assist with the goals for retention and consistently renewed succession planning. The SDHR would prove helpful in addressing any negative impacts caused by staff turnover. Additionally, when conducting an annual review of all standard operating procedures, SCDPPPS staff of all divisions may find it helpful to research and analyze the procedures of similar law enforcement agencies.

Goal 4: "Create a structure to provide effective rehabilitative services to offenders"

This Department goal identifies the importance of developing detailed offender plans and the procurement of experienced mental health providers. If SCDPPPS fails to expand its mental health trainings and offender programs within the department, it has several partnerships in place with other agencies (i.e.: DSS, DEW, DAODAS), service providers and nonprofit organizations to help bolster public programming. Providing wraparound offender services and creating innovative ways to galvanize the agency's community partnerships remains a major focus of SCDPPPS.

c. 3 Options for What the General Assembly Could Do to Resolve the Issue Before it Becomes a Crisis

Option 1: Goal 1 encompasses many aspects of the agency's mission including both Field Operations and Parole Board support services. In regard to offender supervision, Goal 1 addresses critical objectives outlined by the department such as applying proper processes to offenders and adhering to safety practices. To help ensure department staff members are meeting these objectives when interacting with offenders and the public and to minimize the risk that any such interaction becomes the source of a crisis, the General Assembly can include SCDPPPS as it begins considering overall law enforcement funding for body worn cameras. In regard to inmate releases, one of the FY 21-22 funding priorities of SCDPPPS is to expand Parole Board support and inmate

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release services. To prevent reentry services from reaching a crisis level, the General Assembly can support the SCDPPPS request for funding of new FTEs to increase the number of Parole Examiners and Investigators.

Option 2: Goal 3 of the SCDPPPS Strategic Plan places increased emphasis on improved knowledge continuity and project governance and practices, as well as employee retention. In order to resolve concerns regarding employee turnover and continuity, and to enable the Department to keep experienced Agents capable of providing quality services to stakeholders, the General Assembly can fund the SCDPPPS FY 21-22 budget request for the Recruitment and Retention Plan and the Sworn Officer Performance-Based Pay Plan.

Option 3: Goal 4 of the Strategic Plan refers to the need to bolster offender rehabilitative services. To ensure that mentally ill offenders on the SCDPPPS caseload are properly supervised with individual attention to unique offender needs, the Legislature can support funding for specialized caseload development and staff including mental health caseloads. This sorely needed funding would provide comprehensive programming specifically tailored for this growing population to emphasize treatment, medication compliance and long-term stability.

II. Restructuring Recommendations for Internal/Law Changes and Supporting Data and Implementation Plan

The Department has submitted ten specific recommended law changes to the House Legislative Oversight Committee which seeks to improve internal and external efficiency and consistency.

(1) Repeal section 22-5-580. Enacted in 1995, the project appears to have been abandoned by the Department and Court Administration. Research reveals that nothing has been done with the statute since enactment.

Instead, section 22-5-510 now provides magistrates with a long list of information to consider when admitting defendants for bail and mandates that all relevant information be provided to the magistrates by the arresting law enforcement agency. In other words, Section 22-5-580 has effectively been replaced by section 22-5-510.

(2) Strike the last sentence of Subsection (H) of section 23-3-540 in compliance with the S.C. Supreme Court's ruling in *State v. Dykes*, 744 S.E.2d 505 (2013).

(3) Add language to section 24-21-610 to expand the pool of people who can provide the required mental report by allowing the use of licensed professional counselors when a psychiatrist or psychologist is unavailable.

(4) Amend section 24-21-35 by adding a specific reference which clarifies that these "administrative recommendations" are in fact the "recommendations" described in section 24-21-620. As it currently stands, Section 24-21-35 makes it appear as if the Board relies on "administrative recommendations" in all parole consideration cases, which can be confusing to victims and is not accurate.

(5) Amend section 24-21-220 by changing the language to allow for this report to be made electronically accessible to the Board, Governor, and the General Assembly, without requiring that the Department provide an actual written report to the three named entities.

(6) Amend section 24-21-430 to require that no person on probation may possess a firearm.

(7) Repeal sections 24-21-480 and 24-21-485. First passed in 1986 and last substantively amended in 1993, these two laws authorize something that no longer exists. The Department ceased operating restitution centers in 2018 and has no plans to exercise this discretionary authority to reestablish restitution centers in South Carolina.

(8) Repeal sections 24-21-510 and 24-21-540. Enacted in 1993 and last substantively amended in 1995, these two laws authorize something that no longer exists. The Department ceased operating the lone community control center, in 2002, after the General Assembly stopped appropriating funds for its operation. The Department also has no plans to seek funding for community control centers or to reestablish them in South Carolina.

(9) Repeal Chapter 22 of Title 24: the "Offender Management System Act," which includes sections 24-22-10 through 24-22-170. First passed in 1992, the Act terminated July 1, 1995, because it was not extended by the General Assembly. See Section 24-22-170.

(10) Amend section 9-11-40 by adding a provision that would allow a law enforcement officer, with a certain number of years of line duty experience, but who has moved to an administrative position, to retain PORS membership and benefits.

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Statewide Enterprises Strategic Objective		Item #		Description		2019-20		Time Applicable		Data Source and Availability		Calculation Method		Meaningful Use of Measure	
Type	Goal	Strategy	Measure			Base	Target	Actual							
Healthy and Safe Families															
G		1		To Promote Public Safety for the Residents of South Carolina											
S	1.1			To provide effective offender supervision and intervention of offenders that promotes accountability and integration into the community.											
M	1.1.1			Increase the number of regional emergency caseload plans		0	5	0	7/1/19 - 6/30/20	Field Operations-Verify		Count- Number of regional emergency caseload plans		Promotes public safety	
M	1.1.2			Increase the average number of home visit progress audits (HVPAs) conducted on offenders being supervised on medium or high supervision		1.28	2.20	1.74	7/1/18 - 6/30/19	Offender Management System (OMS)-Monthly		Calculated- Number of home visit progress audits (HVPAs)/Total number of medium and high offenders		Promotes public safety and increases offenders' ability to successfully complete supervision	
M	1.1.3			Increase the number of offenders released from the SC Department of Corrections with a completed assessment		1,566	1,879	2,149	7/1/19 - 6/30/20	Offender Management System (OMS)-Monthly		Calculated- Number of Releases with an Assessment/Total number of Releases		Promotes public safety and increases offenders' ability to successfully complete supervision	
M	1.1.4			Increase the successful completion rate of offenders under supervision by the department		82.0%	84.0%	82.0%	7/1/19 - 6/30/20	Offender Management System (OMS)-Monthly		Calculated- Number of fiscal year successful closures/Total number of fiscal year closures		Promotes public safety	
M	1.1.5			Increase the percentage of vehicles replaced		6.7%	13.0%	6.3%	7/1/19 - 6/30/20	Procurement-Verify		Calculated- Number of vehicles replaced/Total number of vehicles		Promotes agent/public safety and increases agent efficiency	
S	1.2			To maximize services to the Courts, SC Board of Paroles, victims, and other stakeholders.											
M	1.2.1			Increase the percentage of employees completing Victim Services training		0.0%	66.7%	96.2%	7/1/19 - 12/31/19	Power DMS-Annually		Calculated- Number of employees trained/Total number of employees required to complete the training		Promotes better customer services to victims	
M	1.2.2			Increase the percentage of employees completing training on intimate partner violence		0.0%	100.0%	96.9%	7/1/19 - 12/31/19	Power DMS-Annually		Calculated- Number of employees trained/Total number of employees required to complete the training		Promotes better customer services to victims and increases offenders' ability to successfully complete supervision	
M	1.2.3			Increase the percentage of domestic violence victims offered safety plan development assistance		0.0%	100.0%	0.0%	7/1/19 - 6/30/20	Offender Management System (OMS)-Monthly		Calculated- Number of domestic violence victims offered safety plan assistance/Total number of domestic violence victims		Increases victim safety and promotes better customer services to victims	
M	1.2.4			Increase the percentage of courtroom staff receiving specialized training		0.0%	0.0%	0.0%	7/1/19 - 6/30/20	Power DMS-Annually		Calculated- Number of courtroom staff trained/Total number of courtroom staff		Promotes better customer services to the court	
S	1.3			To increase delivery of evidence-based services that promote behavioral change.											
M	1.3.1			Increase the number of early terminations closure type		1.3%	10.0%	0.8%	7/1/19 - 6/30/20	Offender Management System (OMS)-Monthly		Calculated- Number of early termination closures/Total number of closures		Increases the overall performance of the department and promotes offenders' accountability	
M	1.3.2			Increase the number of random drug screens for opioid and methamphetamine		20,025	21,482	21,330	7/1/19 - 6/30/20	Offender Management System (OMS)-Monthly		Count- Number of drug tests		Increases public safety and promotes offenders' accountability	
M	1.3.3			Increase the number of body worn cameras		0	138	192	7/1/19 - 6/30/20	Field Operations-Annually		Count- Number of body worn cameras		Promotes agent/public safety and increases agent efficiency	
M	1.3.4			Increase the number of body camera videos reviewed		0	547	1,637	7/1/18 - 6/30/19	Field Operations-Monthly		Count- Number of videos reviewed		Promotes agent/public safety and increases agent efficiency	

Statewide Enterprise Strategic Objective						Strategic Planning and Performance Measurement Template					
Type	Goal	Item #	Strategy	Measure	Description	2019-20		Time Applicable	Data Source and Availability	Calculation Method	Meaningful Use of Measure
						Base	Target				
M		1.3.5		Increase the number of measures for the Domestic Violence Specialized Caseload Program		13	15	14	7/1/19 - 6/30/20	Offender Management System (OMS)- Monthly	Promotes public safety and promotes greater accountability for department leaders
M		1.3.6		Increase the number of supervision plans reviewed		0	345	0	7/1/19 - 6/30/20	Offender Management System (OMS)- Monthly	Increases the validity and accuracy of the department's data
G	2			To Continuously Improve Our Processes Within Secure Systems							
S	2.1			To determine the needs and expectations of our customers and to utilize their feedback for continuous improvement.							
M		2.1.1		Increase the number of surveys distributed to eligible offenders at 90 days after intake		54	1,132	222	7/1/19 - 6/30/20	Offender Management System (OMS)- Monthly	Promotes greater accountability for the department leaders
M		2.1.2		Increase the number of surveys distributed to eligible offenders at the midpoint of their supervision		118	845	1,464	7/1/19 - 6/30/20	Offender Management System (OMS)- Monthly	Promotes greater accountability for the department leaders
M		2.1.3		Increase the number of surveys distributed to victims upon closure of the offender's case		0.0%	100.0%	0.0%	7/1/19 - 6/30/20	Offender Management System (OMS)- Monthly	Promotes better customer services to victims and promotes greater accountability for the department leaders
S	2.2			To optimize our financial resources and fiscal accountability.							
M		2.2.1		Create automatic payment method		No	Yes	No	7/1/19 - 6/30/20	Fiscal Services- One time occurrence	Promotes offenders' accountability to financial responsibilities
M		2.2.2		Reduce the amount of paper used (cases)		570	513	360	7/1/19 - 6/30/20	Procurement- Annually	Increases the department's fiscal responsibility
S	2.3			To improve supervision processes to collect restitution.							
M		2.3.1		Increase the percentage of identified staff trained on restitution collection strategies		0.0%	100.0%	0.0%	7/1/19 - 6/30/20	PowerDMS- Annual	Promotes better customer services to victims and promotes offenders' accountability
M		2.3.2		Increase the percentage of identified staff proficient in entering cases		0.0%	50.0%	0.0%	7/1/19 - 6/30/20	PowerDMS- Annual	Increases the validity and accuracy of the department's data and promotes better customer services to victims
S	2.4			To improve departmental data confidentiality and integrity.							
M		2.4.1		Increase the number of certification procedures to ensure there is a process for each of the department's applications		0	3	2	7/1/15 - 6/30/19	Training Compliance and Professional Development- Annually	Increases the validity and accuracy of the department's data
M		2.4.2		Increase the number of automated Victim Services' forms		1	20	19	7/1/19 - 12/31/19	Victim Services- Annually	Promotes better customer services to victims
M		2.4.3		Increase the number of domestic violence (DV) and sex offender (SO) cases entered into the Offender Management System (OMS) within 24 hours		40.0%	100.0%	31.8%	7/1/19 - 6/30/20	Offender Management System (OMS)- Monthly	Increases the validity and accuracy of the department's data and promotes greater accountability for department leaders
M		2.4.4		Increase the number of sentencing documents uploaded into the Parole Information Center (PIC)		199	299	1,976	7/1/19 - 6/30/20	OMS- Parole Information Center (PIC)	Increases the validity and accuracy of the department's data and promotes greater accountability for department leaders

Statewide Enterprise Strategic Objective		Type	Goal	Item #	Strategy	Measure	Description	Base	2019-20	Actual	Time Applicable	Data Source and Availability	Calculation Method	Meaningful Use of Measure
Education, Training, and Human Development							To Efficiently Develop the Organization and Workforce While Delivering Quality Services							
		G	3			3.1	To implement a comprehensive plan for retaining employees at all levels of the department.							
		S				3.1								
		M				3.1.1	Increase the percentage of sworn personnel eligible for the performance based pay plan	0.0%	100.0%	0.0%	7/1/19 - 6/30/20	Human Resources- Annually	Calculated: Number of sworn personnel eligible/ Total number of sworn personnel	Increases employee retention
		M				3.1.2	Decrease the average amount of time (in days) needed to fill internal vacancies	69	59	79	7/1/19 - 6/30/20	Human Resources- Annually	Calculated: Time between announcement date and hire date for all the internal hires	Increases employee retention
		S				3.2	To continuously explore and implement processes that create a high performance work culture.							
		M				3.2.1	Increase the percentage of supervisors and managers trained on leadership standards	0.0%	100.0%	0.00%	7/1/19 - 3/31/20	Power DMS: Annually	Calculated: Number of individuals that were trained/Total number of supervisors and managers	Promotes greater accountability for department leaders
		M				3.2.2	Increase the percentage of supervisors and managers that meet the leadership standards	0.0%	0.0%	0.00%	7/1/19 - 6/30/20	Power DMS: Annually	Calculated: Number of individuals that met the standards/Total number of supervisors and managers	Promotes greater accountability for department leaders
		M				3.2.3	Increase the number of workload models validated by an external source	1	2	1	7/1/19 - 6/30/20	Program Planning and Development- Annually	Verify	Increases the overall performance of the department
		S				3.3	To create systems that support knowledge continuity.							
		M				3.3.1	Increase the number of sections/offices with succession plans	24	45	45	7/1/19 - 6/30/20	Human Resources- Annually	Count: Number of sections/offices with succession plans	Promotes greater accountability for department leaders
		M				3.3.2	Increase the percentage of standard operating procedures for all department processes	0.0%	50.0%	61.1%	7/1/18 - 6/30/19	Professional Responsibility- Annually	Calculated: Number of standard operating procedures completed/Total number of agency processes identified as needing a standard operating procedures	Increases the overall performance of the department
Healthy and Safe Families							To Create a Structure to Provide Effective Rehabilitative Services to Offenders							
		G	4			4.1	To identify offender needs and develop appropriate responses.							
		S				4.1								
		M				4.1.1	Increase the number of assessment users certified	90.5%	100.0%	69.40%	7/1/19 - 6/30/20	Power DMS: Annually	Calculated: Number of assessment users certified/Total number of assessment users	Increases the validity and accuracy of departmental data and increases offenders' ability to successfully complete supervision
		M				4.1.2	Create performance measures for skill competency	No	No	No	7/1/19 - 6/30/20	Divisional Representative- Field Operations- One time occurrence	Verify	Promotes public safety and increases offenders' ability to successfully complete supervision
		M				4.1.3	Increase the number of caseload carrying staff trained on Motivational Interviewing	0.0%	100.0%	0.0%	7/1/19 - 6/30/20	Power DMS: Annually	Calculated: Number of caseload carrying staff trained on Motivational Interviewing/Total number of caseload carrying staff	Promotes public safety and increases offenders' ability to successfully complete supervision

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Agency Code:		Unit:		Section:		Unit:		Strategic Planning and Performance Measurement Template													
Statewide Enterprise Strategic Objective								Type	Goal	Item # Strategy	Measure	Description			Base	2019-20 Target	Actual	Time Applicable	Data Source and Availability	Calculation Method	Meaningful Use of Measure
								M		4.1.4	Increase the number of supervisors trained on CSO and Motivational Interviewing performance measures	0.0%	100.0%	0.0%	7/1/19 - 6/30/20	Power DMS- Annually	Calculated: Number of supervisors supervising caseload carrying staff trained on Motivational Interviewing/Total number of supervisors supervising caseload carrying staff	Promotes greater accountability for department leaders			
								M		4.1.5	Decrease the number of unemployed offenders	26.0%	25.0%	30.0%	7/1/19 - 6/30/20	Offender Management System (OMS)- Monthly	Calculated: Number of unemployed offenders/Total number of offenders	Increases offenders' ability to successfully complete supervision and promotes offenders' accountability to financial responsibilities			
								S		4.2	To validate the quality of existing service providers and to make use of those providers listed in the department registry.										
								M		4.2.1	Increase the number of quality reviews performed on service providers	32	161	70	7/1/18 - 6/30/20	Program Planning and Development- Monthly reviews performed	Count: Number of quality reviews performed	Increases offenders' ability to successfully complete supervision			
								S		4.3	Increase evidence-based rehabilitative programming.										
								M		4.3.1	Increase the number of employers registered in the department's job bank	238	274	238	1/1/2016 - 6/30/20	Field Operations- Annually	Count: Number of employers	Increases offenders' ability to successfully complete supervision and promotes offenders' accountability to financial responsibilities			
								M		4.3.2	Increase the number of therapeutic opportunities for the offender population	2	3	2	7/1/17 - 6/30/20	Mental and Behavioral Health Services- Annually	Count: Number of therapeutic services	Increases offenders' ability to successfully complete supervision and promotes offenders' accountability to financial responsibilities			
								S		4.4	Enhance department resources and staff awareness for mental health services										
								M		4.4.1	Increase the number of offenders screened using the mental health screener	73.7%	100.0%	92.5%	7/1/19 - 6/30/20	Offender Management System (OMS)- Monthly	Calculated: Number of admissions with screener/Total number of admissions	Increases offenders' ability to successfully complete supervision			
								M		4.4.2	Increase the number of mental health agents	1	1	1	7/1/19 - 6/30/20	Verify	Count: Number of mental health agents	Increases offenders' ability to successfully complete supervision			
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Statewide Enterprise Strategic Objective		Item #		Description		2020-21		Time Applicable		Data Source and Availability		Calculation Method		Meaningful Use of Measure	
Type	Goal	Strategy	Measure			Base	Target	Actual							
To determine the needs and expectations of our customers and to utilize their feedback for continuous improvement.															
S		2.1													
M		2.1.1		Increase the number of surveys distributed to eligible offenders at 90 days after intake		222	1,320		7/1/20 - 6/30/21	Offender Management System (OMS)- Monthly	Count- Number of offenders who were sent a survey			Promotes greater accountability for the department leaders	
M		2.1.2		Increase the number of surveys distributed to eligible offenders at the midpoint of their supervision		1,464	1,690		7/1/20 - 6/30/21	Offender Management System (OMS)- Monthly	Count- Number of offenders who were sent a survey			Promotes greater accountability for the department leaders	
M		2.1.3		Increase the number of surveys distributed to victims upon closure of the offender's case		0.0%	100.0%		7/1/20 - 6/30/21	Offender Management System (OMS)- Monthly	Calculated- Number of victims surveyed/Total number of closures with a victim			Promotes better customer services to victims and promotes greater accountability for the department leaders	
S		2.2		To optimize our financial resources and fiscal accountability.											
M		2.2.1		Create automatic payment method		No	Yes		7/1/20 - 6/30/21	Fiscal Services- One time occurrence	Verify			Promotes offenders' accountability to financial responsibilities	
M		2.2.2		Reduce the amount of paper used (cases)		360	324		7/1/20 - 6/30/21	Procurement- Annually	Count- Number of cases			Increases the department's fiscal responsibility	
S		2.3		To improve supervision processes to collect restitution.											
M		2.3.1		Increase the percentage of identified staff trained on restitution collection strategies		0.0%	100.0%		7/1/20 - 6/30/21	PowerDMS- Annual	Calculated- Number of employees trained/Total number of employees required to complete the training			Promotes better customer services to victims and promotes offenders' accountability	
M		2.3.2		Increase the percentage of identified staff proficient in entering cases		0.0%	67.0%		7/1/20 - 6/30/21	PowerDMS- Annual	Calculated- Number of employees who successfully completed the training/Total number of employees required to complete the training			Increases the validity and accuracy of the department's data and promotes better customer services to victims	
S		2.4		To improve departmental data confidentiality and integrity.											
M		2.4.1		Increase the number of certification procedures to ensure there is a process for each of the department's applications		2	9		7/1/20 - 6/30/21	Training Compliance and Professional Development- Annually	Verify			Increases the validity and accuracy of the department's data	
M		2.4.2		Increase the number of automated Victim Services' forms		19	20		7/1/20 - 12/31/21	Victim Services- Annually	Verify			Promotes better customer services to victims	
M		2.4.3		Increase the number of domestic violence (DV) and sex offender (SO) cases entered into the Offender Management System (OMS) within less than 24 hours		31.8%	100.0%		7/1/20 - 6/30/21	Offender Management System (OMS)- Monthly	Calculated- Number of DV and SO cases entered within less than 24 hours/ Total number of DV and SO cases admitted			Increases the validity and accuracy of the department's data and promotes greater accountability for department leaders	
M		2.4.4		Increase the number of sentencing documents uploaded into the Parole Information Center (PIC)		1,976	2,964		7/1/20 - 6/30/21	OMS- PIC	Count- Number of specific sentencing forms scanned into Parole Information Center (PIC)			Increases the validity and accuracy of the department's data and promotes greater accountability for department leaders	
G	3			To Efficiently Develop the Organization and Workforce While Delivering Quality Services											
S		3.1		To implement a comprehensive plan for retaining employees at all levels of the department.											
M		3.1.1		Increase the percentage of sworn personnel eligible for the performance based pay plan		0.0%	100.0%		7/1/20 - 6/30/21	Human Resources- Annually	Calculated- Number of sworn personnel eligible/ Total number of sworn personnel			Increases employee retention	

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Statewide Enterprise Strategic Objective		Type	Goal	Item #	Measure	Description	2020-21 Target	Base	Time Applicable	Data Source and Availability	Calculation Method	Meaningful Use of Measure
		M		3.1.2		Decrease the average amount of time (in days) needed to fill internal vacancies	59	79	7/1/20 - 6/30/21	Human Resources- Annually	Calculated- Time between announcement date and hire date for all the internal hires	Increases employee retention
		S		3.2		To continuously explore and implement processes that create a high performance work culture.						
		M		3.2.1		Increase the percentage of supervisors and managers trained on leadership standards	100.0%	0.0%	7/1/20 - 6/30/21	Power DMS- Annually	Calculated- Number of individuals that were trained/Total number of supervisors and managers	Promotes greater accountability for department leaders
		M		3.2.3		Increase the number of workload models validated by an external source	2	1	7/1/20 - 6/30/21	Program Planning and Development- Annually	Verify	Increases the overall performance of the department
		S		3.3		To create systems that support knowledge continuity.						
		M		3.3.1		Increase the percentage of succession plans reviewed	100.0%	0.0%	7/1/20 - 6/30/21	Human Resources- Annually	Calculated- Number of succession plans/Total number of succession plans reviewed	Promotes greater accountability for department leaders
Healthy and Safe Families		G	4			To Create a Structure to Provide Effective Rehabilitative Services to Offenders						
		S		4.1		To identify offender needs and develop appropriate responses.						
		M		4.1.1		Increase the number of assessment new users certified	100.0%	0.0%	7/1/20 - 6/30/21	Power DMS- Annually	Calculated- Number of assessment new users certified/Total number of assessment new users	Increases the validity and accuracy of departmental data and increases offenders' ability to successfully complete supervision
		M		4.1.2		Increase the number of skill competency performance measure data capturing methods	4	0	7/1/20 - 6/30/21	Field Operations- Annually	Verify	Promotes public safety and increases offenders' ability to successfully complete supervision
		M		4.1.3		Increase the number of caseload carrying staff trained on Motivational Interviewing	100.0%	0.0%	7/1/20 - 6/30/21	Power DMS- Annually	Calculated- Number of caseload carrying staff trained on Motivational Interviewing/Total number of caseload carrying staff	Promotes public safety and increases offenders' ability to successfully complete supervision
		M		4.1.4		Increase the number of supervisors trained on CSO and Motivational Interviewing performance measures	100.0%	0.0%	7/1/20 - 6/30/21	Power DMS- Annually	Calculated- Number of supervisors supervising caseload carrying staff trained on Motivational Interviewing/Total number of supervisors supervising caseload carrying staff	Promotes greater accountability for department leaders
		M		4.1.5		Decrease the number of unemployed offenders	27.0%	30.0%	7/1/20 - 6/30/21	Offender Management System (OMS)- Monthly	Calculated- Number of unemployed offenders/Total number of offenders	Increases offenders' ability to successfully complete supervision and promotes offenders' accountability to financial responsibilities
		S		4.2		To validate the quality of existing service providers and to make use of those providers listed in the department registry.						
		M		4.2.1		Increase the number of quality reviews performed on service providers	361	70	7/1/20 - 6/30/21	Program Planning and Development- Monthly reviews performed	Count- Number of quality reviews performed	Increases offenders' ability to successfully complete supervision
		S		4.3		Increase evidence-based rehabilitative programming.						



Budget, Expenses and Commitments

PP: 16 FY 2021

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Source: FM Budget vs. Actual

N080 PROBATION PAROLE & PARDON SERV

Percent of Year Elapsed: 46.58%

Fund High Level	State Funded Program	Budget Act CI	Current Budget	YTD Actual Expense	Balance Before Commitments	Commitments and Other Transactions	Remaining Balance	% Used
10000000 GENERAL FUND	0100.0000000.000 ADMINISTRATION	501014 EXECUTIVE DIR	\$145,448.00	\$72,723.96	\$72,724.04	\$0.00	\$72,724.04	50.00%
		501058 CLASSIFIED POS	\$1,203,062.00	\$689,194.00	\$513,868.00	\$0.00	\$513,868.00	57.29%
		501060 UNCLASS POSITIONS	\$234,014.00	\$117,006.84	\$117,007.16	\$0.00	\$117,007.16	50.00%
		501070 OTH PERS SVC	\$30,259.00	\$13,556.88	\$16,702.12	\$0.00	\$16,702.12	44.80%
		512001 OTHER OPERATING	\$1,075.00	\$1,075.00	\$0.00	\$0.00	\$0.00	100.00%
		0100.0000000.000 ADMINISTRATION Total:	\$1,613,858.00	\$893,556.68	\$720,301.32	\$0.00	\$720,301.32	55.37%
1500.050100.000 OFFENDER SUPERVISION		501058 CLASSIFIED POS	\$19,513,832.00	\$9,752,798.52	\$9,761,033.48	\$0.00	\$9,761,033.48	49.98%
		501060 UNCLASS POSITIONS	\$217,489.00	\$78,150.18	\$139,338.82	\$0.00	\$139,338.82	35.93%
		501070 OTH PERS SVC	\$276,247.20	\$97,654.76	\$178,592.44	\$0.00	\$178,592.44	35.35%
		511000 CASE SERVICES	\$280.00	\$0.00	\$280.00	\$0.00	\$280.00	0.00%
		512001 OTHER OPERATING	\$4,248,878.12	\$2,048,600.12	\$2,200,278.00	\$1,130,448.68	\$1,069,829.32	74.82%
		1500.050100.000 OFFENDER SUPERVISION Total:	\$24,256,726.32	\$11,977,203.58	\$12,279,522.74	\$1,130,448.68	\$11,149,074.06	54.04%
1500.051000.000 SEX OFFENDER MONITOR		501058 CLASSIFIED POS	\$2,215,705.00	\$1,044,680.52	\$1,171,024.48	\$0.00	\$1,171,024.48	47.15%
		501070 OTH PERS SVC	\$10,223.72	\$10,223.72	(\$0.00)	\$0.00	(\$0.00)	100.00%
		512001 OTHER OPERATING	\$951,001.00	\$361,602.46	\$589,398.54	\$7,873.07	\$581,525.47	38.85%
		513000 EMPLOYER CONTRIB	\$1,161,333.28	\$508,041.84	\$653,291.44	\$0.00	\$653,291.44	43.75%
		1500.051000.000 SEX OFFENDER MONITOR Total:	\$4,338,263.00	\$1,924,548.54	\$2,413,714.46	\$7,873.07	\$2,405,841.39	44.54%
1500.052000.000 Sentencing Reform		501058 CLASSIFIED POS	\$1,367,909.00	\$525,869.32	\$842,039.68	\$0.00	\$842,039.68	38.44%
		501070 OTH PERS SVC	\$20,000.00	\$17,392.69	\$2,607.31	\$0.00	\$2,607.31	86.96%
		511000 CASE SERVICES	\$400,000.00	\$75,490.37	\$324,509.63	\$191,414.63	\$133,095.00	66.73%
		512001 OTHER OPERATING	\$3,474,944.00	\$1,035,283.37	\$2,439,660.63	\$3,845.40	\$2,435,815.23	29.90%
		1500.052000.000 Sentencing Reform Total:	\$5,262,853.00	\$1,654,035.75	\$3,608,817.25	\$195,260.03	\$3,413,557.22	35.14%
1500.150500.000 SBURG REENTRY CENTER		501058 CLASSIFIED POS	\$124,816.00	\$54,479.94	\$70,336.06	\$0.00	\$70,336.06	43.65%
		501070 OTH PERS SVC	\$10,000.00	\$9,504.00	\$496.00	\$0.00	\$496.00	95.04%
		512001 OTHER OPERATING	\$800.00	\$800.00	\$0.00	\$0.00	\$0.00	100.00%
		1500.150500.000 SBURG REENTRY CENTER Total:	\$135,616.00	\$64,783.94	\$70,832.06	\$0.00	\$70,832.06	47.77%
1500.153500X000 ALSTN WILKES REENTRY		518075 AID ENTITIES	\$0.00	\$750,000.00	(\$750,000.00)	\$0.00	(\$750,000.00)	0.00%
		561000 MISC OPS	\$750,000.00	\$0.00	\$750,000.00	\$0.00	\$750,000.00	0.00%
		1500.153500X000 ALSTN WILKES REENTRY Total:	\$750,000.00	\$750,000.00	\$0.00	\$0.00	\$0.00	100.00%
1501.200000.000 PAROLE BOARD OPER		501047 PROB PARAPARD BD	\$155,230.00	\$69,810.00	\$85,420.00	\$0.00	\$85,420.00	44.97%
		501058 CLASSIFIED POS	\$1,455,094.00	\$763,105.94	\$691,988.06	\$0.00	\$691,988.06	52.44%
		501070 OTH PERS SVC	\$21,525.00	\$21,525.00	\$0.00	\$0.00	\$0.00	100.00%



Budget, Expenses and Commitments

PP: 16 FY 2021

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Source: FM Budget vs. Actual

N080 PROBATION PAROLE & PARDON SERV

Fund High Level	State Funded Program	Budget Act CI	Current Budget	YTD Actual Expense	Balance Before Commitments
10000000 GENERAL FUND	1501.200000.000 PAROLE BOARD OPER	512001 OTHER OPERATING	\$7,307.82	\$7,307.82	(\$0.00)
	1501.200000.000 PAROLE BOARD OPER Total:		\$1,639,156.82	\$861,748.76	\$777,408.06
	8900.000000X000 STATEWIDE CF APPRO	561000 MISC OPS	\$0.00	\$0.00	\$0.00
	8900.000000X000 STATEWIDE CF APPRO Total:		\$0.00	\$0.00	\$0.00
	9500.050000.000 STATE EMPLOYER CONTR	513000 EMPLOYER CONTRIB	\$11,154,111.41	\$5,509,924.45	\$5,644,186.96
	9500.050000.000 STATE EMPLOYER CONTR Total:		\$11,154,111.41	\$5,509,924.45	\$5,644,186.96
	9808.330000X000 JOB TRAIN PREPAR EDU	561000 MISC OPS	\$32,839.00	\$0.00	\$32,839.00
	9808.330000X000 JOB TRAIN PREPAR EDU Total:		\$32,839.00	\$0.00	\$32,839.00
	9811.320000X000 OFFENDR EDUC REENTRY	561000 MISC OPS	\$25.00	\$0.00	\$25.00
	9811.320000X000 OFFENDR EDUC REENTRY Total:		\$25.00	\$0.00	\$25.00
	10000000 GENERAL FUND Total:		\$49,183,448.55	\$23,635,801.70	\$25,547,646.85
30000000 EARMARKED FUNDS	0100.000000.000 ADMINISTRATION				
	501058 CLASSIFIED POS		\$1,469,831.00	\$347,105.68	\$1,122,725.32
	501070 OTH PERS SVC		\$32,596.00	\$0.00	\$32,596.00
	512001 OTHER OPERATING		\$323,182.00	\$87,360.06	\$235,821.94
	0100.000000.000 ADMINISTRATION Total:		\$1,825,609.00	\$434,465.74	\$1,391,143.26
	1500.050100.000 OFFENDER SUPERVISION	501058 CLASSIFIED POS	\$5,712,071.00	\$1,952,359.01	\$3,759,711.99
		501060 UNCLASS POSITIONS	\$112,329.00	\$27,233.22	\$85,095.78
		501070 OTH PERS SVC	\$211,667.00	\$64,193.67	\$147,473.33
		508003 DEBT SVC CHG	\$261,069.27	\$129,946.30	\$131,122.97
		508015 INT-MST LS PGM	\$5,947.44	\$3,562.05	\$2,385.39
		511000 CASE SERVICES	\$84,425.00	\$19,231.73	\$65,193.27
		512001 OTHER OPERATING	\$7,763,679.29	\$1,411,290.15	\$6,352,389.14
	1500.050100.000 OFFENDER SUPERVISION Total:		\$14,151,188.00	\$3,607,816.13	\$10,543,371.87
	1500.051000.000 SEX OFFENDER MONITOR	501058 CLASSIFIED POS	\$75,957.00	\$37,980.72	\$37,976.28
		501070 OTH PERS SVC	\$11,385.00	\$0.00	\$0.00
		512001 OTHER OPERATING	\$50,000.00	\$12,502.76	\$37,497.24
		513000 EMPLOYER CONTRIB	\$35,816.32	\$16,252.74	\$19,563.58
	1500.051000.000 SEX OFFENDER MONITOR Total:		\$173,158.32	\$78,121.22	\$95,037.10
	1500.052000.000 Sentencing Reform	501058 CLASSIFIED POS	\$54,199.00	\$30,561.52	\$23,637.48
		512001 OTHER OPERATING	\$33,139.00	\$4,905.74	\$28,233.26
	1500.052000.000 Sentencing Reform Total:		\$87,338.00	\$35,467.26	\$51,870.74

Percent of Year Elapsed: 46.58%	Commitments and Other Transactions	Remaining Balance	% Used
	\$0.00	(\$0.00)	100.00%
	\$0.00	\$777,408.06	52.57%
	\$0.00	\$0.00	0.00%
	\$0.00	\$0.00	0.00%
	\$0.00	\$5,644,186.96	49.40%
	\$0.00	\$5,644,186.96	49.40%
	\$0.00	\$32,839.00	0.00%
	\$0.00	\$32,839.00	0.00%
	\$0.00	\$25.00	0.00%
	\$0.00	\$25.00	0.00%
	\$1,333,581.78	\$24,214,065.07	50.77%
	\$0.00	\$1,122,725.32	23.62%
	\$0.00	\$32,596.00	0.00%
	\$45,540.14	\$190,281.80	41.12%
	\$45,540.14	\$1,345,603.12	26.29%
	\$0.00	\$3,759,711.99	34.18%
	\$0.00	\$85,095.78	24.24%
	\$0.00	\$147,473.33	30.33%
	\$131,122.97	\$0.00	100.00%
	\$2,385.39	\$0.00	100.00%
	\$32,768.27	\$32,425.00	61.59%
	\$913,028.06	\$5,439,361.08	29.94%
	\$1,079,304.69	\$9,464,067.18	33.12%
	\$0.00	\$37,976.28	50.00%
	\$0.00	\$0.00	100.00%
	\$1,567.92	\$35,929.32	28.14%
	\$0.00	\$19,563.58	45.38%
	\$1,567.92	\$93,469.18	46.02%
	\$0.00	\$23,637.48	56.39%
	\$4,012.63	\$24,220.63	26.91%
	\$4,012.63	\$47,858.11	45.20%



Budget, Expenses and Commitments

PP: 16 FY 2021

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Source: FM Budget vs. Actual

N080 PROBATION PAROLE & PARDON SERV

Fund High Level	State Funded Program	Budget Act CI	Current Budget	YTD Actual Expense	Balance Before Commitments	Commitments and Other Transactions	Remaining Balance	Percent of Year Elapsed: 46.58%	% Used
300000000 EARMARKED FUNDS	1500.1505000.000 SBURG REENTRY CENTER	501058 CLASSIFIED POS	\$300,000.00	\$88,110.49	\$211,889.51	\$0.00	\$211,889.51		29.37%
		501070 OTH PERS SVC	\$320,000.00	\$0.00	\$320,000.00	\$0.00	\$320,000.00		0.00%
		511000 CASE SERVICES	\$10,000.00	\$0.00	\$10,000.00	\$0.00	\$10,000.00		0.00%
		512001 OTHER OPERATING	\$540,000.00	\$10,702.11	\$529,297.89	\$2,577.61	\$526,720.28		2.46%
	1500.1505000.000 SBURG REENTRY CENTER Total:		\$1,170,000.00	\$98,812.60	\$1,071,187.40	\$2,577.61	\$1,068,609.79		8.67%
1501.2000000.000 PAROLE BOARD OPER	501058 CLASSIFIED POS		\$618,853.00	\$200,053.42	\$418,799.58	\$0.00	\$418,799.58		32.33%
	501070 OTH PERS SVC		\$59,853.00	\$43,430.00	\$16,423.00	\$0.00	\$16,423.00		72.56%
	511000 CASE SERVICES		\$83,760.00	\$9,900.00	\$73,860.00	\$20,406.00	\$53,454.00		36.18%
	512001 OTHER OPERATING		\$111,633.00	\$36,364.26	\$75,268.74	\$31,751.11	\$43,517.63		61.02%
	1501.2000000.000 PAROLE BOARD OPER Total:		\$874,099.00	\$289,747.68	\$584,351.32	\$52,157.11	\$532,194.21		39.12%
9500.0500000.000 STATE EMPLOYER CONTR	513000 EMPLOYER CONTRIB		\$2,762,998.68	\$1,303,202.21	\$1,459,796.47	\$0.00	\$1,459,796.47		47.17%
	9500.0500000.000 STATE EMPLOYER CONTR Total:		\$2,762,998.68	\$1,303,202.21	\$1,459,796.47	\$0.00	\$1,459,796.47		47.17%
	300000000 EARMARKED FUNDS Total:		\$21,044,391.00	\$5,847,632.84	\$15,196,758.16	\$1,185,160.10	\$14,011,598.06		33.42%
500000000 FEDERAL FUNDS	1500.0501000.000 OFFENDER SUPERVISION	501058 CLASSIFIED POS	\$29,101.84	\$0.00	\$29,101.84	\$0.00	\$29,101.84		0.00%
		501070 OTH PERS SVC	\$10,898.16	\$9,777.09	\$1,121.07	\$0.00	\$1,121.07		89.71%
		512001 OTHER OPERATING	\$150,000.00	\$302.25	\$149,697.75	\$0.00	\$149,697.75		0.20%
	1500.0501000.000 OFFENDER SUPERVISION Total:		\$190,000.00	\$10,079.34	\$179,920.66	\$0.00	\$179,920.66		5.30%
1500.0510000.000 SEX OFFENDER MONITOR	501070 OTH PERS SVC		\$0.00	(\$294.48)	\$294.48	\$0.00	\$294.48		0.00%
	513000 EMPLOYER CONTRIB		\$12,922.88	(\$56.45)	\$12,979.33	\$0.00	\$12,979.33		-0.44%
	1500.0510000.000 SEX OFFENDER MONITOR Total:		\$12,922.88	(\$350.93)	\$13,273.81	\$0.00	\$13,273.81		-2.72%
9500.0500000.000 STATE EMPLOYER CONTR	513000 EMPLOYER CONTRIB		\$3,077.12	\$2,979.32	\$97.80	\$0.00	\$97.80		96.82%
	9500.0500000.000 STATE EMPLOYER CONTR Total:		\$3,077.12	\$2,979.32	\$97.80	\$0.00	\$97.80		96.82%
	500000000 FEDERAL FUNDS Total:		\$206,000.00	\$12,707.73	\$193,292.27	\$0.00	\$193,292.27		6.17%
	N080 PROBATION PAROLE & PARDON SERV Total:		\$70,433,839.55	\$29,496,142.27	\$40,937,697.28	\$2,518,741.88	\$38,418,955.40		45.45%



Budget, Expenses and Commitments

PP: 16 FY 2020

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Source: FM Budget vs. Actual

N080 PROBATION PAROLE & PARDON SERV

Percent of Year Elapsed: 146.85%

Fund High Level	State Funded Program	Budget Act CI	Current Budget	YTD Actual Expense	Balance Before Commitments	Commitments and Other Transactions	Remaining Balance	% Used
10000000 GENERAL FUND	0100.0000000.000 ADMINISTRATION	501014 EXECUTIVE DIR	\$143,794.21	\$143,794.21	\$0.00	\$0.00	\$0.00	100.00%
		501058 CLASSIFIED POS	\$919,746.06	\$919,746.06	(\$0.00)	(\$0.00)	(\$0.00)	100.00%
		501060 UNCLASS POSITIONS	\$233,040.04	\$233,040.04	\$0.00	\$0.00	\$0.00	100.00%
		501070 OTH PERS SVC	\$8,745.64	\$8,745.64	\$0.00	\$0.00	\$0.00	100.00%
		512001 OTHER OPERATING	\$21,490.45	\$21,490.45	\$0.00	\$0.00	\$0.00	100.00%
	0100.0000000.000 ADMINISTRATION Total:		\$1,326,816.40	\$1,326,816.40	(\$0.00)	(\$0.00)	(\$0.00)	100.00%
1500.050100.000 OFFENDER SUPERVISION		501014 EXECUTIVE DIR	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	0.00%
		501058 CLASSIFIED POS	\$18,486,124.60	\$18,486,124.60	(\$0.00)	(\$0.00)	(\$0.00)	100.00%
		501060 UNCLASS POSITIONS	\$101,025.76	\$101,025.76	\$0.00	\$0.00	\$0.00	100.00%
		501070 OTH PERS SVC	\$419,193.96	\$419,193.96	\$0.00	\$0.00	\$0.00	100.00%
		508003 DEBT SVC CHG	\$256,404.76	\$256,404.76	\$0.00	\$0.00	\$0.00	100.00%
		508015 INT-MST LS PGM	\$10,611.94	\$10,611.94	\$0.00	\$0.00	\$0.00	100.00%
		511000 CASE SERVICES	\$2,120.43	\$2,120.43	\$0.00	\$0.00	\$0.00	100.00%
		512001 OTHER OPERATING	\$4,561,902.22	\$4,561,902.22	(\$0.00)	(\$0.00)	(\$0.00)	100.00%
	1500.050100.000 OFFENDER SUPERVISION Total:		\$23,837,383.67	\$23,837,383.67	(\$0.00)	(\$0.00)	(\$0.00)	100.00%
1500.051000.000 SEX OFFENDER MONITOR		501058 CLASSIFIED POS	\$2,402,242.79	\$2,402,242.79	(\$0.00)	(\$0.00)	(\$0.00)	100.00%
		501070 OTH PERS SVC	\$41,945.00	\$41,945.00	\$0.00	\$0.00	\$0.00	100.00%
		512001 OTHER OPERATING	\$871,639.64	\$871,639.64	\$0.00	\$0.00	\$0.00	100.00%
		513000 EMPLOYER CONTRIB	\$1,115,232.12	\$1,115,232.12	\$0.00	\$0.00	\$0.00	100.00%
	1500.051000.000 SEX OFFENDER MONITOR Total:		\$4,431,059.55	\$4,431,059.55	(\$0.00)	(\$0.00)	(\$0.00)	100.00%
1500.052000.000 Sentencing Reform		501058 CLASSIFIED POS	\$1,048,629.36	\$1,048,629.36	(\$0.00)	(\$0.00)	(\$0.00)	100.00%
		501070 OTH PERS SVC	\$29,117.07	\$29,117.07	\$0.00	\$0.00	\$0.00	100.00%
		511000 CASE SERVICES	\$216,376.23	\$216,376.23	\$0.00	\$0.00	\$0.00	100.00%
		512001 OTHER OPERATING	\$2,836,901.58	\$2,836,901.58	\$0.00	\$0.00	\$0.00	100.00%
	1500.052000.000 Sentencing Reform Total:		\$4,131,024.24	\$4,131,024.24	\$0.00	\$0.00	\$0.00	100.00%
1500.150500.000 SBURG REENTRY CENTER		501058 CLASSIFIED POS	\$140,982.00	\$140,982.00	\$0.00	\$0.00	\$0.00	100.00%
		501070 OTH PERS SVC	\$2,468.56	\$2,468.56	\$0.00	\$0.00	\$0.00	100.00%
		512001 OTHER OPERATING	\$3,984.92	\$3,984.92	\$0.00	\$0.00	\$0.00	100.00%
	1500.150500.000 SBURG REENTRY CENTER Total:		\$147,435.48	\$147,435.48	\$0.00	\$0.00	\$0.00	100.00%
1500.153500X000 ALSTN WILKES REENTRY		518075 AID ENTITIES	\$0.00	\$750,000.00	(\$750,000.00)	\$0.00	(\$750,000.00)	0.00%
		561000 MISC OPS	\$750,000.00	\$0.00	\$750,000.00	\$0.00	\$750,000.00	0.00%
	1500.153500X000 ALSTN WILKES REENTRY Total:		\$750,000.00	\$750,000.00	\$0.00	\$0.00	\$0.00	100.00%



Budget, Expenses and Commitments

PP: 16 FY 2020

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Source: FM Budget vs. Actual

N080 PROBATION PAROLE & PARDON SERV

Fund High Level	State Funded Program	Budget Act Cl	Current Budget	YTD Actual Expense	Balance Before Commitments	Commitments and Other Transactions	Remaining Balance	Percent of Year Elapsed: 146.85%	% Used
10000000 GENERAL FUND	1501.200000.000 PAROLE BOARD OPER	501047	PROB PAR&PARD BD	\$108,935.00	\$0.00	\$0.00	\$0.00	100.00%	100.00%
	501058	CLASSIFIED POS	\$1,233,852.60	\$1,233,852.60	\$0.00	\$0.00	\$0.00	100.00%	100.00%
	501070	OTH PERS SVC	\$60,653.75	\$60,653.75	\$0.00	\$0.00	\$0.00	100.00%	100.00%
	512001	OTHER OPERATING	\$106,440.77	\$106,440.77	(\$0.00)	(\$0.00)	(\$0.00)	100.00%	100.00%
	1501.200000.000 PAROLE BOARD OPER Total:		\$1,509,882.12	\$1,509,882.12	(\$0.00)	(\$0.00)	(\$0.00)	100.00%	100.00%
	8900.000000X000 STATEWIDE CF APPRO	561000	MISC OPS	\$0.00	\$0.00	\$0.00	\$0.00	0.00%	0.00%
	8900.000000X000 STATEWIDE CF APPRO Total:		\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	0.00%	0.00%
	8900.010000X000 STATEWIDE PAY PLAN	561000	MISC OPS	\$0.00	\$0.00	\$0.00	\$0.00	0.00%	0.00%
	8900.010000X000 STATEWIDE PAY PLAN Total:		\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	0.00%	0.00%
	9500.050000.000 STATE EMPLOYER CONTR	513000	EMPLOYER CONTRIB	\$10,223,715.29	\$10,223,715.29	\$0.00	\$0.00	100.00%	100.00%
	9500.050000.000 STATE EMPLOYER CONTR Total:		\$10,223,715.29	\$10,223,715.29	\$0.00	\$0.00	\$0.00	100.00%	100.00%
	9808.330000X000 JOB TRAIN PREPAR EDU	561000	MISC OPS	\$0.00	\$0.00	\$0.00	\$0.00	0.00%	0.00%
	9808.330000X000 JOB TRAIN PREPAR EDU Total:		\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	0.00%	0.00%
	9811.320000X000 OFFENDR EDUC REENTRY	561000	MISC OPS	\$0.00	\$0.00	\$0.00	\$0.00	0.00%	0.00%
	9811.320000X000 OFFENDR EDUC REENTRY Total:		\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	0.00%	0.00%
	10000000 GENERAL FUND Total:		\$46,357,316.75	\$46,357,316.75	\$0.00	\$0.00	\$0.00	100.00%	100.00%
300000000 EARMARKED FUND	0100.000000.000 ADMINISTRATION	501058	CLASSIFIED POS	\$1,210,258.47	\$259,572.53	\$0.00	\$259,572.53	82.34%	82.34%
	501070	OTH PERS SVC	\$32,596.00	\$16,100.00	\$16,496.00	\$0.00	\$16,496.00	49.39%	49.39%
	512001	OTHER OPERATING	\$357,346.03	\$228,485.41	\$128,860.62	\$0.00	\$128,860.62	63.94%	63.94%
	0100.000000.000 ADMINISTRATION Total:		\$1,859,773.03	\$1,454,843.88	\$404,929.15	\$0.00	\$404,929.15	78.23%	78.23%
	1500.050100.000 OFFENDER SUPERVISION	501058	CLASSIFIED POS	\$4,452,894.74	\$210,452.95	\$0.00	\$210,452.95	95.49%	95.49%
	501060	UNCLASS POSITIONS	\$108,933.00	\$108,932.88	\$0.12	\$0.00	\$0.12	100.00%	100.00%
	501070	OTH PERS SVC	\$320,841.17	\$313,162.18	\$7,678.99	\$0.00	\$7,678.99	97.61%	97.61%
	508003	DEBT SVC CHG	\$256,405.00	\$0.00	\$256,405.00	\$0.00	\$256,405.00	0.00%	0.00%
	508015	INT-MST LS PGM	\$10,612.00	\$0.00	\$10,612.00	\$0.00	\$10,612.00	0.00%	0.00%
	511000	CASE SERVICES	\$50,800.00	\$41,763.55	\$9,036.45	\$0.00	\$9,036.45	82.21%	82.21%
	512001	OTHER OPERATING	\$7,647,618.13	\$4,889,164.83	\$2,758,453.30	\$0.00	\$2,758,453.30	63.93%	63.93%
	1500.050100.000 OFFENDER SUPERVISION Total:		\$13,058,556.99	\$9,805,918.18	\$3,252,638.81	\$0.00	\$3,252,638.81	75.09%	75.09%
	1500.051000.000 SEX OFFENDER MONITOR	501058	CLASSIFIED POS	\$54,900.42	\$22,086.08	\$0.00	\$22,086.08	71.31%	71.31%
	501070	OTH PERS SVC	\$42,012.30	\$42,012.30	\$0.00	\$0.00	\$0.00	100.00%	100.00%
	512001	OTHER OPERATING	\$73,971.00	\$40,706.70	\$33,264.30	\$0.00	\$33,264.30	55.03%	55.03%



Budget, Expenses and Commitments

PP: 16 FY 2020

Run Date: 12/18/2020 - 12:04 PM

Source: FM Budget vs. Actual

N080 PROBATION PAROLE & PARDON SERV

Fund High Level	State Funded Program	Budget Act Cl	Current Budget	YTD Actual Expense	Balance Before Commitments	Commitments and Other Transactions	Remaining Balance	% Used
300000000 EARMARKED FUNDS	1500.051000.000 SEX OFFENDER MONITOR	513000 EMPLOYER CONTRIB	\$37,312.00	\$26,332.57	\$10,979.43	\$0.00	\$10,979.43	70.57%
	1500.051000.000 SEX OFFENDER MONITOR Total:		\$230,281.80	\$163,951.99	\$66,329.81	\$0.00	\$66,329.81	71.20%
1500.052000.000 Sentencing Reform	501058 CLASSIFIED POS	501070 OTH PERS SVC	\$71,190.00	\$68,118.47	\$3,071.53	\$0.00	\$3,071.53	95.69%
	501070 OTH PERS SVC		\$750.59	\$750.59	\$0.00	\$0.00	\$0.00	100.00%
	512001 OTHER OPERATING		\$24,820.00	\$18,492.74	\$6,327.26	\$0.00	\$6,327.26	74.51%
	1500.052000.000 Sentencing Reform Total:		\$96,760.59	\$87,361.80	\$9,398.79	\$0.00	\$9,398.79	90.29%
1500.150500.000 SBURG REENTRY CENTER	501058 CLASSIFIED POS	501070 OTH PERS SVC	\$225,526.00	\$215,528.01	\$9,997.99	\$0.00	\$9,997.99	95.57%
	501070 OTH PERS SVC		\$94,832.04	\$1,800.00	\$93,032.04	\$0.00	\$93,032.04	1.90%
	511000 CASE SERVICES		\$9,125.00	\$0.00	\$9,125.00	\$0.00	\$9,125.00	0.00%
	512001 OTHER OPERATING		\$548,000.00	\$33,289.15	\$514,710.85	\$0.00	\$514,710.85	6.07%
	1500.150500.000 SBURG REENTRY CENTER Total:		\$877,483.04	\$250,617.16	\$626,865.88	\$0.00	\$626,865.88	28.55%
1501.200000.000 PAROLE BOARD OPER	501058 CLASSIFIED POS	501070 OTH PERS SVC	\$642,856.00	\$629,544.93	\$13,311.07	\$0.00	\$13,311.07	97.93%
	501070 OTH PERS SVC		\$85,505.79	\$85,505.79	\$0.00	\$0.00	\$0.00	100.00%
	511000 CASE SERVICES		\$70,000.00	\$31,900.00	\$38,100.00	\$0.00	\$38,100.00	45.57%
	512001 OTHER OPERATING		\$182,115.84	\$104,545.82	\$77,570.02	\$0.00	\$77,570.02	57.41%
	1501.200000.000 PAROLE BOARD OPER Total:		\$980,477.63	\$851,496.54	\$128,981.09	\$0.00	\$128,981.09	86.85%
9500.050000.000 STATE EMPLOYER CONTR	513000 EMPLOYER CONTRIB	513000 EMPLOYER CONTR	\$3,941,057.92	\$3,145,582.31	\$795,475.61	\$0.00	\$795,475.61	79.82%
	9500.050000.000 STATE EMPLOYER CONTR Total:		\$3,941,057.92	\$3,145,582.31	\$795,475.61	\$0.00	\$795,475.61	79.82%
	300000000 EARMARKED FUNDS Total:		\$21,044,391.00	\$15,759,771.86	\$5,284,619.14	\$0.00	\$5,284,619.14	74.89%
500000000 FEDERAL FUNDS	0100.000000.000 ADMINISTRATION	501058 CLASSIFIED POS	\$1,775.92	\$1,331.94	\$443.98	\$0.00	\$443.98	75.00%
	0100.000000.000 ADMINISTRATION Total:		\$1,775.92	\$1,331.94	\$443.98	\$0.00	\$443.98	75.00%
1500.050100.000 OFFENDER SUPERVISION	501058 CLASSIFIED POS	501070 OTH PERS SVC	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	0.00%
	501070 OTH PERS SVC		\$40,477.49	\$34,943.67	\$5,533.82	\$0.00	\$5,533.82	86.33%
	512001 OTHER OPERATING		\$148,163.16	\$101,437.36	\$46,725.80	\$0.00	\$46,725.80	68.46%
	1500.050100.000 OFFENDER SUPERVISION Total:		\$188,640.65	\$136,381.03	\$52,259.62	\$0.00	\$52,259.62	72.30%
1500.051000.000 SEX OFFENDER MONITOR	513000 EMPLOYER CONTRIB	513000 EMPLOYER CONTR	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	0.00%
	1500.051000.000 SEX OFFENDER MONITOR Total:		\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	0.00%
9500.050000.000 STATE EMPLOYER CONTR	513000 EMPLOYER CONTRIB	513000 EMPLOYER CONTR	\$15,583.43	\$10,121.76	\$5,461.67	\$0.00	\$5,461.67	64.95%
	9500.050000.000 STATE EMPLOYER CONTR Total:		\$15,583.43	\$10,121.76	\$5,461.67	\$0.00	\$5,461.67	64.95%
	500000000 FEDERAL FUNDS Total:		\$206,000.00	\$147,834.73	\$58,165.27	\$0.00	\$58,165.27	71.76%



Budget, Expenses and Commitments

PP: 16 FY 2020

Run Date: 12/18/2020 - 12:04 PM

Source: FM Budget vs. Actual

N080 PROBATION PAROLE & PARDON SERV

Percent of Year Elapsed: 146.85%

Fund High Level	State Funded Program	Budget Act CI	Current Budget	YTD Actual Expense	Balance Before Commitments	Commitments and Other Transactions	Remaining Balance	% Used
		N080 PROBATION PAROLE & PARDON SERV Total:	\$67,607,707.75	\$62,264,923.34	\$6,342,784.41	\$0.00	\$6,342,784.41	92.10%



S.C. Department of Probation, Parole & Pardon Services

FY 2019-20 Carry Forward Information

Fund Number	Fund Title	FY 2020 Ending Cash Balance	Current Cash as of 12/18/2020	Carry Forward Authority	Describe in detail why the agency needs to carry forward a balance greater than one-sixth (16.5% = 60 days) of the funds identified as total expenditures for the prior fiscal year
10010021	STATE CARRY FORWARD	\$ 3,233,522.00	\$253,210	Proviso 117.23	Collection of fees from Offenders varies from month to month. Carryforward is needed to maintain sufficient cash flow to meet monthly payroll and the Agency's operating expenditures.
30350000	OPERATING REVENUE	\$789,594	\$851,153	66.1; 66.2; 66.5; 14-1-204; 24-21-87; 24-21-100; 24-21-80; 24-21-85	Carry-forward funds are required to cover salaries, contributions, and operating expenditures not fully supported by the Agency's revenue generated during the first quarter of the fiscal year.
39480000	OMNIBUS CRIM ACT1985	\$390,034	\$86,945	14-1-206; 14-1-207; 14-1-208	Carry-forward funds are required to cover salaries, contributions, and operating expenditures not fully supported by the Agency's revenue generated during the first quarter of the fiscal year.
32690000	SUPER FURLOUGH REV	\$283,669	\$149,969	24-21-80	Carry-forward funds are required to cover salaries, contributions, and operating expenditures not fully supported by the Agency's revenue generated during the first quarter of the fiscal year.
32730000	DACOR-ADMIN FEE	\$525,063	\$94,461	24-21-490 (B)	Carry-forward funds are required to cover salaries, contributions, and operating expenditures not fully supported by the Agency's revenue generated during the first quarter of the fiscal year. These funds are restricted and used to support victims related programs & activities.
34650000	SEX OFFENDER MONITOR	\$107,559	\$95,083	66.4	This fund is restricted revenue that can only be used for the Sex Offender Monitoring Program. The revenue generated is to maintain the Electronic Monitoring Device which monitors the Sex Offenders.
34180000	IGNITION INTERLOCK	\$539,742	\$165,891	56-5-2941	This program is restricted to the expenditures (Salaries, contributions, operating expenses) related to the IID program and mandates as required.
OTHER FUNDS (Earmarked) TOTAL		5,869,183	1,696,712		



S.C. Department of Probation, Parole & Pardon Services

FY 2021-22

Law Enforcement and Criminal Justice Subcommittee Prioritized Budget Request Summary

BUDGET REQUESTS				FUNDING					FTEs			
Priority	Request Type (recurring, non-recurring, capital)	Request Title	Brief Description	General - Recurring	General - Nonrecurring	Other	Federal	Total	State	Other	Federal	Total
1	Recurring	Mental Health Specialist Caseload Program	The purpose of the Mental Health Program is to 1) improve access to standardized screening and assessment tools; 2) create collaborative comprehensive case management plans that address criminogenic needs; and 3) coordinate wraparound services that establish stability for offenders living with mental illness.	804,575				\$ 804,575.00	13.00			13.00
2	Recurring	Enhancing the Parole Board Decision Making Process	The Parole/Pardons Investigations Area is a result of the Agency removing the parole and pardon investigations from Field Operations and creating a unit of OSS to complete these administrative investigations. The work previously completed by 46 counties is now delegated to 18 Investigator/OSS. While the area is still in its first year, this area has benefited the Agency by freeing Agents to complete C1-related duties, increased the consistency in investigations and centralized the operations of the Pardoles, Pardons and Release Services. Fully staffing the Parole/Pardon Investigations Area as well as the Release Coordination Area will allow the Agency to review and upload an increased percentage of sentencing documents.	905,062				\$ 905,062.00	12.00			12.00
3	Recurring	Expansion of Inmate Release Services	SCDPPPS aims to increase the number of inmates released to supervision with completed risk-needs assessments by hiring additional Examinations and Release Services staff. Providing this information to supervising agents will enhance the safe and responsible release of inmates to the public. The approval of new staff in this area will also enable the agency to operate additional parole hearing videoconferencing sites to include every institution in SCDC. This improvement would greatly reduce, if not eliminate, the need for SCDC to transport inmates to parole hearings.	1,036,269				\$ 1,036,269.00	13.00			13.00
4	Recurring	Agency Fleet Replacement Plan	The Agency currently has 118 vehicles in its fleet at an average base monthly lease rate of \$57.35. These vehicles were purchased in 2014 under an MOU between State Fleet Management (SFM) and the Department and included administrative and insurance costs. Unfortunately, the lease agreement has come to fruition with an increased average of \$502 per vehicle per month and for officer safety, these vehicles must be replaced.	625,672				\$ 625,672.00				0.00
5	Recurring	Sworn Officer Performance Pay Plan	The Department is requesting a total of \$2,085,300 for the retention of C1 Certified Agents. The biggest challenge for the Department is retaining experienced agents. The agent's Recruitment and Retention Pay plan is needed in an effort to provide a milestone pay increase for Class 1 Certified law enforcement staff in JC class while providing an incentive for trained, seasoned agents to remain with the Agency. It also serves as a solution for the Department's Agent retention challenges, as well as enhances the Department's ability to be competitive with other Law Enforcement Agencies and entities.	2,085,300				\$ 2,085,300.00				0.00



S.C. Department of Probation, Parole & Pardon Services

FY 2021-22

Law Enforcement and Criminal Justice Subcommittee Prioritized Budget Request Summary

BUDGET REQUESTS			FUNDING				FTEs		
6	Recurring	Requesting of 20 State FTEs for IT, and Realignment & Expansion of existing programs	SCDPPPS is requesting 10 FTEs in an effort to provide technical functions that are outside of the scope for current shared services and require specialized skillsets to ensure expeditious of IT infrastructure projects for day-to-day operations and critical business needs. SCDPPPS received funding for these positions in FY 20, but did not request the FTEs. Additionally, the Department requests 10 FTEs to support and enhance the SCDPPPS goal of increasing specialized caseloads for the domestic violence and sex offender populations. By providing unique training to Agents for unique offender populations, SCDPPPS is able to better protect public safety utilizing the specialized knowledge of its expertly trained staff.				20.00		20.00
7	Recurring	Increase in TORT rate	SCDPPPS received information on November 25, 2019, from the SC State Fiscal Accountability Authority that its TORT Insurance will be increased for Fiscal Year 21 by 152%. This amount will have a significant impact on SCDPPPS recurring budget. PPP will not be able to sustain this amount at current level and will need to request \$562,592 for FY 21, in additional state funds.	562,692			\$ 562,692.00		0.00
8	Recurring	Alston Wilkes Society	These funds are being used to help with housing, job placement assistance, clothing, food, transportation, birth certificates & state ID's for offenders who are on state probation and parole.	750,000			\$ 750,000.00		0.00
TOTAL BUDGET REQUESTS			\$ 6,769,570	\$	-	\$ -	\$ -	0.00	58.00

Agency Name:	Department Of Probation, Parole & Pardon Services		
Agency Code:	N080	Section:	66



Fiscal Year FY 2021-2022

Agency Budget Plan

FORM A - BUDGET PLAN SUMMARY

**OPERATING
REQUESTS**

(FORM B1)

For FY 2021-2022, my agency is (mark "X"):	
<input checked="" type="checkbox"/>	Requesting General Fund Appropriations.
<input type="checkbox"/>	Requesting Federal/Other Authorization.
<input type="checkbox"/>	Not requesting any changes.

**NON-RECURRING
REQUESTS**

(FORM B2)

For FY 2021-2022, my agency is (mark "X"):	
<input type="checkbox"/>	Requesting Non-Recurring Appropriations.
<input type="checkbox"/>	Requesting Non-Recurring Federal/Other Authorization.
<input checked="" type="checkbox"/>	Not requesting any changes.

**CAPITAL
REQUESTS**

(FORM C)

For FY 2021-2022, my agency is (mark "X"):	
<input type="checkbox"/>	Requesting funding for Capital Projects.
<input checked="" type="checkbox"/>	Not requesting any changes.

PROVISOS

(FORM D)

For FY 2021-2022, my agency is (mark "X"):	
<input type="checkbox"/>	Requesting a new proviso and/or substantive changes to existing provisos.
<input type="checkbox"/>	Only requesting technical proviso changes (such as date references).
<input checked="" type="checkbox"/>	Not requesting any proviso changes.

Please identify your agency's preferred contacts for this year's budget process.

**PRIMARY
CONTACT:
SECONDARY
CONTACT:**

<u>Name</u>	<u>Phone</u>	<u>Email</u>
Virginia J. Camp	(803) 734-7135	Virginia.Camp@ppp.sc.gov
Cheryl M. Thompson	(803) 734-9238	Cheryl.Thompson@ppp.sc.gov

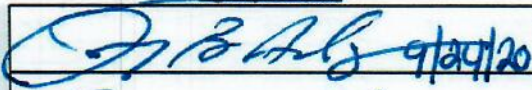
I have reviewed and approved the enclosed FY 2021-2022 Agency Budget Plan, which is complete and accurate to the extent of my knowledge.

SIGN/DATE:

**TYPE/PRINT
NAME:**

Agency Director

Board or Commission Chair

	
Jenny B. Adger	

This form must be signed by the agency head – not a delegate.

Agency Name:	Department Of Probation, Parole & Pardon Services		
Agency Code:	N080	Section:	66

FORM B1 – RECURRING OPERATING REQUEST

AGENCY PRIORITY	1
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Provide the Agency Priority Ranking from the Executive Summary.

TITLE	Mental Health Specialist Caseload Program
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Provide a brief, descriptive title for this request.

AMOUNT	<p>General: \$804,575</p> <p>Federal: \$0</p> <p>Other: \$0</p> <p>Total: \$804,575</p>
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What is the net change in requested appropriations for FY 2021-2022? This amount should correspond to the total for all funding sources on the Executive Summary.

NEW POSITIONS	13.00
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Please provide the total number of new positions needed for this request.

FACTORS ASSOCIATED WITH THE REQUEST	<p>Mark "X" for all that apply:</p> <table style="width: 100%;"> <tr><td><input checked="" type="checkbox"/></td><td>Change in cost of providing current services to existing program audience</td></tr> <tr><td><input type="checkbox"/></td><td>Change in case load/enrollment under existing program guidelines</td></tr> <tr><td><input type="checkbox"/></td><td>Non-mandated change in eligibility/enrollment for existing program</td></tr> <tr><td><input type="checkbox"/></td><td>Non-mandated program change in service levels or areas</td></tr> <tr><td><input type="checkbox"/></td><td>Proposed establishment of a new program or initiative</td></tr> <tr><td><input type="checkbox"/></td><td>Loss of federal or other external financial support for existing program</td></tr> <tr><td><input type="checkbox"/></td><td>Exhaustion of fund balances previously used to support program</td></tr> <tr><td><input type="checkbox"/></td><td>IT Technology/Security related</td></tr> <tr><td><input type="checkbox"/></td><td>Consulted DTO during development</td></tr> <tr><td><input type="checkbox"/></td><td>Related to a Non-Recurring request – If so, Priority #</td></tr> </table>	<input checked="" type="checkbox"/>	Change in cost of providing current services to existing program audience	<input type="checkbox"/>	Change in case load/enrollment under existing program guidelines	<input type="checkbox"/>	Non-mandated change in eligibility/enrollment for existing program	<input type="checkbox"/>	Non-mandated program change in service levels or areas	<input type="checkbox"/>	Proposed establishment of a new program or initiative	<input type="checkbox"/>	Loss of federal or other external financial support for existing program	<input type="checkbox"/>	Exhaustion of fund balances previously used to support program	<input type="checkbox"/>	IT Technology/Security related	<input type="checkbox"/>	Consulted DTO during development	<input type="checkbox"/>	Related to a Non-Recurring request – If so, Priority #
<input checked="" type="checkbox"/>	Change in cost of providing current services to existing program audience																				
<input type="checkbox"/>	Change in case load/enrollment under existing program guidelines																				
<input type="checkbox"/>	Non-mandated change in eligibility/enrollment for existing program																				
<input type="checkbox"/>	Non-mandated program change in service levels or areas																				
<input type="checkbox"/>	Proposed establishment of a new program or initiative																				
<input type="checkbox"/>	Loss of federal or other external financial support for existing program																				
<input type="checkbox"/>	Exhaustion of fund balances previously used to support program																				
<input type="checkbox"/>	IT Technology/Security related																				
<input type="checkbox"/>	Consulted DTO during development																				
<input type="checkbox"/>	Related to a Non-Recurring request – If so, Priority #																				

STATEWIDE ENTERPRISE STRATEGIC OBJECTIVES	<p>Mark "X" for primary applicable Statewide Enterprise Strategic Objective:</p> <table style="width: 100%;"> <tr><td><input type="checkbox"/></td><td>Education, Training, and Human Development</td></tr> <tr><td><input type="checkbox"/></td><td>Healthy and Safe Families</td></tr> <tr><td><input type="checkbox"/></td><td>Maintaining Safety, Integrity, and Security</td></tr> <tr><td><input type="checkbox"/></td><td>Public Infrastructure and Economic Development</td></tr> <tr><td><input checked="" type="checkbox"/></td><td>Government and Citizens</td></tr> </table>	<input type="checkbox"/>	Education, Training, and Human Development	<input type="checkbox"/>	Healthy and Safe Families	<input type="checkbox"/>	Maintaining Safety, Integrity, and Security	<input type="checkbox"/>	Public Infrastructure and Economic Development	<input checked="" type="checkbox"/>	Government and Citizens
<input type="checkbox"/>	Education, Training, and Human Development										
<input type="checkbox"/>	Healthy and Safe Families										
<input type="checkbox"/>	Maintaining Safety, Integrity, and Security										
<input type="checkbox"/>	Public Infrastructure and Economic Development										
<input checked="" type="checkbox"/>	Government and Citizens										

ACCOUNTABILITY OF FUNDS	<p>2020 Strategic Plan Objective 4.4.2: Implement a multi-disciplined mental health specialized supervision program and increase the number of mental health Agents from one to five by June 2021.</p> <p>SCDPPPS aims to grow its Mental Health Program with increased staff. The use of these funds will be evaluated using data retrieved from the ongoing assessment of this program.</p> <p><i>What specific strategy, as outlined in the most recent Strategic Planning and Performance Measurement template of agency's accountability report, does this funding request support? How would this request advance that strategy? How would the use of these funds be evaluated?</i></p>
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RECIPIENTS OF FUNDS	<p>These funds would be used for SCDPPPS Mental Health employees and to bolster a cost sharing initiative with the Department of Mental Health.</p> <p><i>What individuals or entities would receive these funds (contractors, vendors, grantees, individual beneficiaries, etc.)? How would these funds be allocated – using an existing formula, through a competitive process, based upon predetermined eligibility criteria?</i></p>
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	<p>The purpose of the Mental Health Program is to 1) improve access to standardized screening and assessment tools; 2) create collaborative comprehensive case management plans that address criminogenic needs; and 3) coordinate wraparound services that establish stability for offenders living with mental illness.</p> <p>Currently, only offenders with severe mental illness in Richland County are being supervised by a specially trained Agent. This plan will allow for the expansion of the program to seven additional counties and will increase the number of eligible offenders supervised under the specialized program from 6% to 27%.</p> <p>Not receiving these funds will prevent SCDPPPS from expanding the program and decrease the Department's ability to thoroughly supervise mentally ill offenders through supportive, hand-tailored supervision strategies. Examples of such strategies are more frequent and intensive contacts, intervening to deter violations, and the monitoring of stability and medication usage. Existing SCDPPPS FTE vacancies are already accounted for and are being used in other successful programs. Reducing FTEs in other areas could potentially reduce productivity.</p> <p>Total Program</p> <p>Based on population projections, 13 positions are needed to have Mental Health Caseloads in a combination of urban and rural counties.</p> <ul style="list-style-type: none"> • 6 mental health agents • 4 Department of Mental Health liaisons
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JUSTIFICATION OF REQUEST

- 1 hearing officer
- 1 mental health unit manager
- 1 pre-release coordinator

The purpose of the Mental Health Program is to 1) improve access to standardized screening and assessment tools; 2) create collaborative comprehensive case management plans that address criminogenic needs; and 3) coordinate wraparound services that establish stability for offenders living with mental illness. Currently, only offenders with severe mental illness in Richland County are being supervised by a specially trained Agent. This plan will allow for the expansion of the program to seven additional counties and will increase the number of eligible offenders supervised under the specialized program from 6% to 27%. Not receiving these funds will prevent SCDPPPS from expanding the program and decrease the Department's ability to thoroughly supervise mentally ill offenders through supportive, hand-tailored supervision strategies. Examples of such strategies are more frequent and intensive contacts, intervening to deter violations, and the monitoring of stability and medication usage. Existing SCDPPPS FTE vacancies are already accounted for and are being used in other successful programs. Reducing FTEs in other areas could potentially reduce productivity. **Total Program** Based on population projections, 13 positions are needed to have Mental Health Caseloads in a combination of urban and rural counties.

- 6 mental health agents
- 4 Department of Mental Health liaisons
- 1 hearing officer
- 1 mental health unit manager
- 1 pre-release coordinator

Charleston Based on population projections, Charleston needs 3 positions to implement a Mental Health Caseload.

- 2 mental health agents
- 1 Department of Mental Health liaison

Clarendon and Sumter Based on population projections, Clarendon/Sumter needs 2 positions to implement a Mental Health Caseload.

- 1 mental health agent
- 1 Department of Mental Health liaison

Darlington and Florence Based on population projections, Darlington/Florence needs 2 positions to implement a Mental Health Caseload.

- 1 mental health agent
- 1 Department of Mental Health liaison

Spartanburg and Union Based on population projections, Spartanburg/Union needs 3 positions to implement a Mental Health Caseload.

- 2 mental health agents
- 1 Department of Mental Health liaison

Please thoroughly explain the request to include the justification for funds, potential offsets, matching funds, and method of calculation. Please include any explanation of impact if funds are not received. If new positions have been requested, explain why existing vacancies are not sufficient.

Agency Name:	Department Of Probation, Parole & Pardon Services		
Agency Code:	N080	Section:	66

FORM B1 – RECURRING OPERATING REQUEST

AGENCY PRIORITY	<div style="border: 1px solid black; padding: 2px;">2</div> <div style="font-size: small; margin-top: 2px;">Provide the Agency Priority Ranking from the Executive Summary.</div>
TITLE	<div style="border: 1px solid black; padding: 2px;">Enhancing the Parole Board Decision Making Process</div> <div style="font-size: small; margin-top: 2px;">Provide a brief, descriptive title for this request.</div>
AMOUNT	<div style="border: 1px solid black; padding: 2px;"> General: \$905,062 Federal: \$0 Other: \$0 Total: \$905,062 </div> <div style="font-size: small; margin-top: 2px;">What is the net change in requested appropriations for FY 2021-2022? This amount should correspond to the total for all funding sources on the Executive Summary.</div>
NEW POSITIONS	<div style="border: 1px solid black; padding: 2px;">12.00</div> <div style="font-size: small; margin-top: 2px;">Please provide the total number of new positions needed for this request.</div>
FACTORS ASSOCIATED WITH THE REQUEST	<div style="border: 1px solid black; padding: 2px;"> <div style="font-size: small; margin-bottom: 5px;">Mark "X" for all that apply:</div> <div style="display: flex; flex-direction: column;"> <div style="display: flex; align-items: center; margin-bottom: 2px;"><input type="checkbox"/> Change in cost of providing current services to existing program audience</div> <div style="display: flex; align-items: center; margin-bottom: 2px;"><input type="checkbox"/> Change in case load/enrollment under existing program guidelines</div> <div style="display: flex; align-items: center; margin-bottom: 2px;"><input type="checkbox"/> Non-mandated change in eligibility/enrollment for existing program</div> <div style="display: flex; align-items: center; margin-bottom: 2px;"><input type="checkbox"/> Non-mandated program change in service levels or areas</div> <div style="display: flex; align-items: center; margin-bottom: 2px;"><input checked="" type="checkbox"/> Proposed establishment of a new program or initiative</div> <div style="display: flex; align-items: center; margin-bottom: 2px;"><input type="checkbox"/> Loss of federal or other external financial support for existing program</div> <div style="display: flex; align-items: center; margin-bottom: 2px;"><input type="checkbox"/> Exhaustion of fund balances previously used to support program</div> <div style="display: flex; align-items: center; margin-bottom: 2px;"><input type="checkbox"/> IT Technology/Security related</div> <div style="display: flex; align-items: center; margin-bottom: 2px;"><input type="checkbox"/> Consulted DTO during development</div> <div style="display: flex; align-items: center; margin-bottom: 2px;"><input type="checkbox"/> Related to a Non-Recurring request – If so, Priority #</div> </div> </div>
STATEWIDE ENTERPRISE STRATEGIC OBJECTIVES	<div style="border: 1px solid black; padding: 2px;"> <div style="font-size: small; margin-bottom: 5px;">Mark "X" for primary applicable Statewide Enterprise Strategic Objective:</div> <div style="display: flex; flex-direction: column;"> <div style="display: flex; align-items: center; margin-bottom: 2px;"><input type="checkbox"/> Education, Training, and Human Development</div> <div style="display: flex; align-items: center; margin-bottom: 2px;"><input type="checkbox"/> Healthy and Safe Families</div> <div style="display: flex; align-items: center; margin-bottom: 2px;"><input type="checkbox"/> Maintaining Safety, Integrity, and Security</div> <div style="display: flex; align-items: center; margin-bottom: 2px;"><input type="checkbox"/> Public Infrastructure and Economic Development</div> <div style="display: flex; align-items: center; margin-bottom: 2px;"><input checked="" type="checkbox"/> Government and Citizens</div> </div> </div>
ACCOUNTABILITY OF FUNDS	<div style="border: 1px solid black; padding: 2px;"> All of these process improvements will aid in the Department's effort to comply with the recent recommendations made by the Legislative Audit Council in its August 2019 "A Limited Review of the S.C. Department of Corrections [SCDC]" to improve communication with SCDC on methods to safely release eligible inmates to the public. </div> <div style="font-size: small; margin-top: 2px;">What specific strategy, as outlined in the most recent Strategic Planning and Performance Measurement template of agency's accountability report, does this funding request support? How would this request advance that strategy? How would the use of these funds be evaluated?</div>
RECIPIENTS OF FUNDS	<div style="border: 1px solid black; padding: 2px;"> The funds requested will cover the personnel and operating costs of the additional positions required to carry out essential core duties of investigators as well as the additional/enhanced duties. </div> <div style="font-size: small; margin-top: 2px;">What individuals or entities would receive these funds (contractors, vendors, grantees, individual beneficiaries, etc.)? How would these funds be allocated – using an existing formula, through a competitive process, based upon predetermined eligibility criteria?</div>
	<div style="border: 1px solid black; padding: 2px;"> <p>An essential component of the Department's structure which helps it to satisfy several key elements of its mission is the Division of Paroles, Pardons and Release Services (PPRS). PPRS is responsible for the operation and support of the Board, as well as the coordination of the safe release of inmates from the S.C. Department of Corrections (SCDC) to the Department's release programs. The Parole/Pardon Investigations Area of PPRS is a result of the Agency removing the parole and pardon investigations from Field Operations and creating a unit of Offender Supervision Specialists (OSS) to complete these administrative investigations. The work previously completed by 46 counties is now delegated to 18 Investigators/OSS. While this area is still in its first year, it has benefited the Agency by freeing Agents to complete law enforcement related duties, increased the consistency in investigations and centralized the operations of the Paroles, Pardons and Release Services.</p> <p>Fully staffing the Parole/Pardon Investigations Area as well as the Release Coordination Area will allow the Agency to review and upload an increased percentage of sentencing documents into the Department's Parole Information Center (PIC). Currently, due to limited staffing, SCDCPPPS uploads documents regarding violent convictions for the Subsequent Violent Offender (SVO) review well after inmates have served a large portion of their sentence. Increased staffing will allow for the coordination of not only SVO Reviews but for reviews of No-Parole Cases where the parole-status is at question earlier in the inmate's sentence, before he/she has any expectancy of a parole hearing. The increased staffing will also allow the Agency to upload documents regarding mandatory releases to ensure the sentence has been entered properly and that the special conditions ordered by the Court are included as a part of the supervision plan. Finally, the increase in Investigations staffing will allow for Level 2 and Level 3 investigators to be thoroughly trained in complicated matters, such as sentence structure and parole eligibility- leading to greater efficiency of the parole process.</p> <p>*Note: We have requested that Northpointe/Equivant research the possibility of a risk assessment for pardons. If we are able</p> </div>

**JUSTIFICATION OF
REQUEST**

to secure such a tool, we will be able to implement evidence-based practices into the pardon process by providing the Board with information regarding the pardon applicant's likelihood to commit a new offense after pardon.

If funds are not received, the Parole/Pardon Investigator position will continue in its current role, without the enhanced duties listed above.

Personnel Request-Parole Board Support Services						Yearly Recurring			
Area	Functional	Title	Class Code	Band	Salary	Fringe (42%)	Total Salary	# Positions	Total Cost
RC	MIC of Release Coordination	Prog Coordinator 2	AH40	6	\$58,086.00	\$24,396.12	\$82,482.12	1	\$82,482.12
RC	RC Program Assistant	Program Assistant	AH30	4	\$39,228.00	\$16,475.76	\$55,703.76	1	\$55,703.76
I&E	Investigations Manager	PPL Manager I	JC34	5	\$47,734.00	\$20,048.28	\$67,782.28	1	\$67,782.28
I&E	PP Investigator III	OSS	AH30	4	\$43,228.00	\$18,155.76	\$61,383.76	6	\$368,302.56
I&E	PP Investigator IIII	OSS	AH30	4	\$47,228.00	\$19,835.76	\$67,063.76	3	\$201,191.28
					\$235,504.00	\$ 98,911.68	\$ 334,415.68	12	\$775,462.00
Recurring Operating Request # Vehicles					\$10,800.00	\$98,911.68		12	\$129,600.00
									\$905,062.00

Please thoroughly explain the request to include the justification for funds, potential offsets, matching funds, and method of calculation. Please include any explanation of impact if funds are not received. If new positions have been requested, explain why existing vacancies are not sufficient.

Agency Name:	Department Of Probation, Parole & Pardon Services		
Agency Code:	N080	Section:	66

FORM B1 – RECURRING OPERATING REQUEST

AGENCY PRIORITY	3 <i>Provide the Agency Priority Ranking from the Executive Summary.</i>
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TITLE	Expansion of Inmate Release Services <i>Provide a brief, descriptive title for this request.</i>
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AMOUNT	General: \$1,036,269 Federal: \$0 Other: \$0 Total: \$1,036,269 <i>What is the net change in requested appropriations for FY 2021-2022? This amount should correspond to the total for all funding sources on the Executive Summary.</i>
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NEW POSITIONS	13.00 <i>Please provide the total number of new positions needed for this request.</i>
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FACTORS ASSOCIATED WITH THE REQUEST	Mark "X" for all that apply: <input checked="" type="checkbox"/> Change in cost of providing current services to existing program audience <input type="checkbox"/> Change in case load/enrollment under existing program guidelines <input type="checkbox"/> Non-mandated change in eligibility/enrollment for existing program <input type="checkbox"/> Non-mandated program change in service levels or areas <input type="checkbox"/> Proposed establishment of a new program or initiative <input type="checkbox"/> Loss of federal or other external financial support for existing program <input type="checkbox"/> Exhaustion of fund balances previously used to support program <input type="checkbox"/> IT Technology/Security related <input type="checkbox"/> Consulted DTO during development <input type="checkbox"/> Related to a Non-Recurring request – If so, Priority #
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STATEWIDE ENTERPRISE STRATEGIC OBJECTIVES	Mark "X" for primary applicable Statewide Enterprise Strategic Objective: <input type="checkbox"/> Education, Training, and Human Development <input type="checkbox"/> Healthy and Safe Families <input checked="" type="checkbox"/> Maintaining Safety, Integrity, and Security <input type="checkbox"/> Public Infrastructure and Economic Development <input type="checkbox"/> Government and Citizens
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ACCOUNTABILITY OF FUNDS	<p>2015-2020 Strategic Plan Objective 1.1.3: Increase the number of inmates released to supervision with completed risk and needs assessments by 20% by June 2021.</p> <p>Currently Parole Examiners only conduct pre-release interviews for inmates who are being considered for parole. They also facilitate hearings at eight designated SCDC facilities. Increasing the number of Parole Examiners and supporting Management will allow the Examiner position to expand duties to include Pre-release interviews of inmates who are being released to the Agency's mandatory release programs (Community Supervision, Supervised Re-entry, and Supervised Furlough II). This will also allow us to facilitate hearings at each of the SCDC facilities, minimizing the need for inmate transport for parole hearings.</p> <table border="1" style="width: 100%;"> <thead> <tr> <th style="width: 50%;">Current Duties</th> <th style="width: 50%;">Enhanced Duties</th> </tr> </thead> <tbody> <tr> <td>Pre-parole Screening</td> <td></td> </tr> <tr> <td>Pre-parole interview</td> <td>Release-Ready Interview</td> </tr> <tr> <td>Pre-parole COMPAS Assessments</td> <td>Release-Ready COMPAS Assessments</td> </tr> <tr> <td>Parole Case Evaluation</td> <td></td> </tr> <tr> <td>Parole Case Summary Preparations</td> <td></td> </tr> <tr> <td>Hearing Site Facilitation</td> <td>Additional Hearing Site Facilitation</td> </tr> <tr> <td>Inmate Releases</td> <td>Enhanced Responsible Release Procedures</td> </tr> </tbody> </table> <p><i>What specific strategy, as outlined in the most recent Strategic Planning and Performance Measurement template of agency's accountability report, does this funding request support? How would this request advance that strategy? How would the use of these funds be evaluated?</i></p>	Current Duties	Enhanced Duties	Pre-parole Screening		Pre-parole interview	Release-Ready Interview	Pre-parole COMPAS Assessments	Release-Ready COMPAS Assessments	Parole Case Evaluation		Parole Case Summary Preparations		Hearing Site Facilitation	Additional Hearing Site Facilitation	Inmate Releases	Enhanced Responsible Release Procedures
Current Duties	Enhanced Duties																
Pre-parole Screening																	
Pre-parole interview	Release-Ready Interview																
Pre-parole COMPAS Assessments	Release-Ready COMPAS Assessments																
Parole Case Evaluation																	
Parole Case Summary Preparations																	
Hearing Site Facilitation	Additional Hearing Site Facilitation																
Inmate Releases	Enhanced Responsible Release Procedures																

RECIPIENTS OF FUNDS	<p>The funds requested will cover the personnel and operating costs of the additional positions required to carry out the additional/enhanced duties.</p> <p><i>What individuals or entities would receive these funds (contractors, vendors, grantees, individual beneficiaries, etc.)? How would these funds be allocated – using an existing formula, through a competitive process, based upon predetermined eligibility criteria?</i></p>
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	<p>The S.C. Department of Probation, Parole and Pardon Services' (the Department's) core mission is to prepare offenders under its supervision toward becoming productive members of the community; to provide assistance to crime victims, the courts, and the Board of Pardons and Pardon Services (the Board); and to protect public trust and safety. Consistent with recent recommendations made by the Legislative Audit Council in its August 2019 "A Limited Review of the S.C. Department of Corrections [SCDC]", the S.C. Department of Probation, Parole and Pardon Services (SCDPPPS) endeavors to improve communication with SCDC on methods to safely and responsibly release eligible inmates to our release programs.</p> <p>Prior to parole consideration, each inmate is interviewed by a Parole Examiner and a risk-needs assessment is conducted.</p>
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JUSTIFICATION OF REQUEST

Along with the investigation, the Board is also provided with information regarding the inmate's likelihood to commit a new criminal offense and information regarding the inmate's criminogenic needs. Currently Parole Examiners serve an average of 2,800 release documents annually. Due to SCDPPPS staff levels, only 1,000 of those inmates—parolees—have the benefit of hands-on communication with agency staff and the benefit of pre-release case planning through the use of the reentry risk-needs assessment. SCDPPPS is not currently equipped with the staff levels necessary to complete these important and useful assessments on inmates who leave SCDC through mandatory release programs—non-parolees.

SCDPPPS aims to increase the number of inmates released to supervision with completed risk-needs assessments by hiring additional Examinations and Release Services staff. Providing this information to supervising agents will enhance the safe and responsible release of inmates to the public. The approval of new staff in this area will also enable the agency to operate additional parole hearing videoconferencing sites to include every institution in SCDC. This improvement would greatly reduce, if not eliminate, the need for SCDC to transport inmates to parole hearings. In order to increase public and staff safety and to enhance and improve the release process, SCDPPPS requests funding for the expansion of inmate release services.

If funds are not received, the Parole Examiner position will continue in its current capacity, without the enhanced duties described above. Inmates being released to mandatory supervision programs will be released without pre-release interviews and COMPAS risk-needs assessments. The eight parole hearing sites will be maintained at the current status and inmate transportation by SCDC will be unchanged.

Personnel Request - Expansion of Inmate Release Services						Yearly Recurring			
Area	Functional	Title	Class Code	Band	Salary	Fringe (42%)	Total Salary	# Positions	Total Cost
I&E	Parole/Release Examiner	PPLEO II	JC33	5	\$47,734.00	\$20,048.28	\$67,782.28	9	\$610,040.52
I&E	MIC of I&E - Midlands	PPLE Manager II	JC35	6	\$58,086.00	\$24,396.12	\$82,482.12	1	\$82,482.12
I&E	Examinations Manager	PPLE Manager I	JC34	5	\$47,734.00	\$20,048.28	\$67,782.28	3	\$203,346.84
					\$153,554.00	\$64,492.68	\$218,046.68	13	\$895,869.48
Recurring Operating Request # Vehicles					\$10,800.00			13	\$140,400.00
									\$1,036,269.48

Please thoroughly explain the request to include the justification for funds, potential offsets, matching funds, and method of calculation. Please include any explanation of impact if funds are not received. If new positions have been requested, explain why existing vacancies are not sufficient.

Agency Name:	Department Of Probation, Parole & Pardon Services		
Agency Code:	N080	Section:	66

FORM B1 – RECURRING OPERATING REQUEST

AGENCY PRIORITY	<div style="border: 1px solid black; padding: 2px;">4</div> <div style="font-size: small; margin-top: 5px;"><i>Provide the Agency Priority Ranking from the Executive Summary.</i></div>																				
TITLE	<div style="border: 1px solid black; padding: 2px;">Agency Fleet Replacement Plan</div> <div style="font-size: small; margin-top: 5px;"><i>Provide a brief, descriptive title for this request.</i></div>																				
AMOUNT	<div style="border: 1px solid black; padding: 2px;"> General: \$625,672 Federal: \$0 Other: \$0 Total: \$625,672 </div> <div style="font-size: small; margin-top: 5px;"><i>What is the net change in requested appropriations for FY 2021-2022? This amount should correspond to the total for all funding sources on the Executive Summary.</i></div>																				
NEW POSITIONS	<div style="border: 1px solid black; padding: 2px;">0.00</div> <div style="font-size: small; margin-top: 5px;"><i>Please provide the total number of new positions needed for this request.</i></div>																				
FACTORS ASSOCIATED WITH THE REQUEST	<div style="border: 1px solid black; padding: 2px;"> Mark "X" for all that apply: <table style="width: 100%; border-collapse: collapse;"> <tr><td style="width: 30px; text-align: center;"><input type="checkbox"/></td><td>Change in cost of providing current services to existing program audience</td></tr> <tr><td style="text-align: center;"><input checked="" type="checkbox"/></td><td>Change in case load/enrollment under existing program guidelines</td></tr> <tr><td style="text-align: center;"><input type="checkbox"/></td><td>Non-mandated change in eligibility/enrollment for existing program</td></tr> <tr><td style="text-align: center;"><input type="checkbox"/></td><td>Non-mandated program change in service levels or areas</td></tr> <tr><td style="text-align: center;"><input type="checkbox"/></td><td>Proposed establishment of a new program or initiative</td></tr> <tr><td style="text-align: center;"><input type="checkbox"/></td><td>Loss of federal or other external financial support for existing program</td></tr> <tr><td style="text-align: center;"><input type="checkbox"/></td><td>Exhaustion of fund balances previously used to support program</td></tr> <tr><td style="text-align: center;"><input type="checkbox"/></td><td>IT Technology/Security related</td></tr> <tr><td style="text-align: center;"><input type="checkbox"/></td><td>Consulted DTO during development</td></tr> <tr><td style="text-align: center;"><input type="checkbox"/></td><td>Related to a Non-Recurring request – If so, Priority #</td></tr> </table> </div>	<input type="checkbox"/>	Change in cost of providing current services to existing program audience	<input checked="" type="checkbox"/>	Change in case load/enrollment under existing program guidelines	<input type="checkbox"/>	Non-mandated change in eligibility/enrollment for existing program	<input type="checkbox"/>	Non-mandated program change in service levels or areas	<input type="checkbox"/>	Proposed establishment of a new program or initiative	<input type="checkbox"/>	Loss of federal or other external financial support for existing program	<input type="checkbox"/>	Exhaustion of fund balances previously used to support program	<input type="checkbox"/>	IT Technology/Security related	<input type="checkbox"/>	Consulted DTO during development	<input type="checkbox"/>	Related to a Non-Recurring request – If so, Priority #
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STATEWIDE ENTERPRISE STRATEGIC OBJECTIVES	<div style="border: 1px solid black; padding: 2px;"> Mark "X" for primary applicable Statewide Enterprise Strategic Objective: <table style="width: 100%; border-collapse: collapse;"> <tr><td style="width: 30px; text-align: center;"><input type="checkbox"/></td><td>Education, Training, and Human Development</td></tr> <tr><td style="text-align: center;"><input type="checkbox"/></td><td>Healthy and Safe Families</td></tr> <tr><td style="text-align: center;"><input checked="" type="checkbox"/></td><td>Maintaining Safety, Integrity, and Security</td></tr> <tr><td style="text-align: center;"><input type="checkbox"/></td><td>Public Infrastructure and Economic Development</td></tr> <tr><td style="text-align: center;"><input type="checkbox"/></td><td>Government and Citizens</td></tr> </table> </div>	<input type="checkbox"/>	Education, Training, and Human Development	<input type="checkbox"/>	Healthy and Safe Families	<input checked="" type="checkbox"/>	Maintaining Safety, Integrity, and Security	<input type="checkbox"/>	Public Infrastructure and Economic Development	<input type="checkbox"/>	Government and Citizens										
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<input checked="" type="checkbox"/>	Maintaining Safety, Integrity, and Security																				
<input type="checkbox"/>	Public Infrastructure and Economic Development																				
<input type="checkbox"/>	Government and Citizens																				
ACCOUNTABILITY OF FUNDS	<div style="border: 1px solid black; padding: 10px; margin-top: 10px;"> <p>2020 Strategic Plan Objective 1.1.5: Replace 13% of vehicles in the Agency's fleet annually to comply with road safety protocols beginning FY 21.</p> <p>Through support from the State Legislature, SCDPPPS has achieved its goal of reducing the vehicle to Agent ratio to 1:1 (2015-2020 Strategic Plan Objective 1.3.3). The fleet has proven invaluable for increased productivity in daily operations, including community contacts, non-custody transports, in- and out-of-state extraditions, warrant teams, court appearances, response to global positioning system (GPS) alerts, as well as special assignments and emergency deployments.</p> </div> <div style="font-size: small; margin-top: 5px;"><i>What specific strategy, as outlined in the most recent Strategic Planning and Performance Measurement template of agency's accountability report, does this funding request support? How would this request advance that strategy? How would the use of these funds be evaluated?</i></div>																				
RECIPIENTS OF FUNDS	<div style="border: 1px solid black; padding: 10px; margin-top: 10px;"> <p>Agents at the SCDPPPS.</p> </div> <div style="font-size: small; margin-top: 5px;"><i>What individuals or entities would receive these funds (contractors, vendors, grantees, individual beneficiaries, etc.)? How would these funds be allocated – using an existing formula, through a competitive process, based upon predetermined eligibility criteria?</i></div>																				
JUSTIFICATION OF REQUEST	<div style="border: 1px solid black; padding: 10px; margin-top: 10px;"> <p>The Agency currently has 118 vehicles in its fleet at an average base monthly lease rate of \$57.35. These vehicles were purchased in 2014 under an MOU between State Fleet Management (SFM) and the Department and included administrative and insurance costs. Unfortunately, the lease agreement has come to fruition with an increased average of \$502 per vehicle, per month and for officer safety, these vehicles must be replaced. To that end, SCDPPPS is requesting to replace these vehicles whose lifespan have ended or will end in Fiscal Year 2021.</p> <p>SCDPPPS is requesting recurring state funding from the General Assembly to annually replace 13% of its fleet, once vehicles have reached their end-of-life (2020 Strategic Plan Objective 1.1.5).</p> </div>																				

Agency Name:	Department Of Probation, Parole & Pardon Services		
Agency Code:	N080	Section:	66

FORM B1 – RECURRING OPERATING REQUEST

AGENCY PRIORITY

5

Provide the Agency Priority Ranking from the Executive Summary.

TITLE

Sworn Officer Performance Pay Plan

Provide a brief, descriptive title for this request.

AMOUNT

General: \$2,085,300

Federal: \$0

Other: \$0

Total: \$2,085,300

What is the net change in requested appropriations for FY 2021-2022? This amount should correspond to the total for all funding sources on the Executive Summary.

NEW POSITIONS

0.00

Please provide the total number of new positions needed for this request.

FACTORS ASSOCIATED WITH THE REQUEST

Mark "X" for all that apply:

- | | |
|-------------------------------------|---|
| <input type="checkbox"/> | Change in cost of providing current services to existing program audience |
| <input checked="" type="checkbox"/> | Change in case load/enrollment under existing program guidelines |
| <input type="checkbox"/> | Non-mandated change in eligibility/enrollment for existing program |
| <input type="checkbox"/> | Non-mandated program change in service levels or areas |
| <input checked="" type="checkbox"/> | Proposed establishment of a new program or initiative |
| <input type="checkbox"/> | Loss of federal or other external financial support for existing program |
| <input type="checkbox"/> | Exhaustion of fund balances previously used to support program |
| <input type="checkbox"/> | IT Technology/Security related |
| <input type="checkbox"/> | Consulted DTO during development |
| <input type="checkbox"/> | Related to a Non-Recurring request – If so, Priority # |

STATEWIDE ENTERPRISE STRATEGIC OBJECTIVES

Mark "X" for primary applicable Statewide Enterprise Strategic Objective:

- | | |
|-------------------------------------|--|
| <input type="checkbox"/> | Education, Training, and Human Development |
| <input type="checkbox"/> | Healthy and Safe Families |
| <input checked="" type="checkbox"/> | Maintaining Safety, Integrity, and Security |
| <input type="checkbox"/> | Public Infrastructure and Economic Development |
| <input type="checkbox"/> | Government and Citizens |

ACCOUNTABILITY OF FUNDS

2020 Strategic Plan Objective 3.1.1: Create a performance Pay Plan for 100% of sworn personnel.

Retaining experienced agents remains a major focus for the department. The Sworn Officer Performance-Based Pay Plan is needed to provide a milestone pay increase for Class 1 Certified law enforcement officers. This plan will provide an incentive for trained, seasoned Agents to remain with the agency. It is the Department's continued goal to recruit and retain experienced, specialized and highly qualified Agents and to offer a competitive law enforcement salary. (2020 Strategic Plan Objectives 3.1.1).

What specific strategy, as outlined in the most recent Strategic Planning and Performance Measurement template of agency's accountability report, does this funding request support? How would this request advance that strategy? How would the use of these funds be evaluated?

RECIPIENTS OF FUNDS

Class 1 Certified Agents within the Department of Probation, Parole & Pardon Services

What individuals or entities would receive these funds (contractors, vendors, grantees, individual beneficiaries, etc.)? How would these funds be allocated – using an existing formula, through a competitive process, based upon predetermined eligibility criteria?

The Department requests a total of \$ 2,085,300 to boost the retention of Class 1 Certified Agents. The biggest challenge for the Department is retaining experienced agents. This plan would provide a milestone pay increase for Class 1 Certified law enforcement staff in the JC class while providing an incentive for skilled Agents to remain with the Agency. It also serves as a solution for the Department's Agent retention challenges and increases the Department's ability to be competitive with other Law Enforcement Agencies.

If new funds are not available, the Department risks losing experienced Agents and other law enforcement support staff.

Time Frame of Agents' Departure

07/2017 – 06/2020 - 100 Agents

43% (43) left within 3 years

65% (65) left within 6 years

73% (73) left within 10 years

SCDPPPS Agent Recruitment and Retention Pay Plan

**JUSTIFICATION
OF REQUEST**

Class Codes	YEARS OF PPP AGENT EXPERIENCE	\$ Increase *	Budgeted Cost to Include Fringe	Minimum Salary	REQUIREMENTS Training & Performance	
					Training	EPMS Results
JC32	<1	\$1,000	\$ 34,259	\$35,000 \$36,000 C-1	Obtain C-1 Certification and must be current on all required and in-service training.	N/A
JC32, JC33, JC34 Class (If JC32, will re-class to JC33)	3	10-15%	\$ 417,204	N/A	Must be current on all required and in-service training.	Must be a successful performance rating or higher
JC32, JC33, JC34 Class	6	10-15%	\$ 253,820	N/A	Must be current on all required and in-service training.	Must be a successful performance rating or higher
JC32, JC33, JC34 Class	10	10-15%	N/A	N/A	Must be current on all required and in-service training.	Must be a successful performance rating or higher
JC32, JC 33, JC34 Class	10+	15%	\$ 843,079	N/A	Must be current on all required and in-service training.	Must be a successful performance rating or higher
JC35 & JC36*	N/A	15%	\$ 536,898	N/A	Must be current on all required and in-service training.	Must be a successful performance rating or higher

***NOTES:** Total of 1,489,500 + 595,800 = \$2,085,300 based on 10%

Increase may be adjusted due to budgetary restraints and reasons. Increase may not bring salary above pay band maximum.

Increase effective date is based on Agent Career Track experience anniversary date.

Disciplinary actions within one year of the designated increase effective date are not eligible to receive increase until action has been on file for one year.

Does not include Regional Directors

Please thoroughly explain the request to include the justification for funds, potential offsets, matching funds, and method of calculation. Please include any explanation of impact if funds are not received. If new positions have been requested, explain why existing vacancies are not sufficient.

Agency Name:	Department Of Probation, Parole & Pardon Services		
Agency Code:	N080	Section:	66

FORM B1 – RECURRING OPERATING REQUEST

AGENCY PRIORITY	<div style="border: 1px solid black; padding: 2px;">6</div> <div style="font-size: small; margin-top: 5px;"><i>Provide the Agency Priority Ranking from the Executive Summary.</i></div>																				
TITLE	<div style="border: 1px solid black; padding: 2px;">Requesting 20 State FTEs for IT, Realignment & Specialization of caseload assignments</div> <div style="font-size: small; margin-top: 5px;"><i>Provide a brief, descriptive title for this request.</i></div>																				
AMOUNT	<div style="border: 1px solid black; padding: 2px;"> <p>General: \$0</p> <p>Federal: \$0</p> <p>Other: \$0</p> <p>Total: \$0</p> </div> <div style="font-size: small; margin-top: 5px;"><i>What is the net change in requested appropriations for FY 2021-2022? This amount should correspond to the total for all funding sources on the Executive Summary.</i></div>																				
NEW POSITIONS	<div style="border: 1px solid black; padding: 2px;">20.00</div> <div style="font-size: small; margin-top: 5px;"><i>Please provide the total number of new positions needed for this request.</i></div>																				
FACTORS ASSOCIATED WITH THE REQUEST	<div style="border: 1px solid black; padding: 2px;"> <p>Mark "X" for all that apply:</p> <table style="width: 100%; border-collapse: collapse;"> <tr><td style="width: 30px; text-align: center;"><input checked="" type="checkbox"/></td><td>Change in cost of providing current services to existing program audience</td></tr> <tr><td style="text-align: center;"><input type="checkbox"/></td><td>Change in case load/enrollment under existing program guidelines</td></tr> <tr><td style="text-align: center;"><input type="checkbox"/></td><td>Non-mandated change in eligibility/enrollment for existing program</td></tr> <tr><td style="text-align: center;"><input type="checkbox"/></td><td>Non-mandated program change in service levels or areas</td></tr> <tr><td style="text-align: center;"><input type="checkbox"/></td><td>Proposed establishment of a new program or initiative</td></tr> <tr><td style="text-align: center;"><input type="checkbox"/></td><td>Loss of federal or other external financial support for existing program</td></tr> <tr><td style="text-align: center;"><input type="checkbox"/></td><td>Exhaustion of fund balances previously used to support program</td></tr> <tr><td style="text-align: center;"><input type="checkbox"/></td><td>IT Technology/Security related</td></tr> <tr><td style="text-align: center;"><input type="checkbox"/></td><td>Consulted DTO during development</td></tr> <tr><td style="text-align: center;"><input type="checkbox"/></td><td>Related to a Non-Recurring request – If so, Priority #</td></tr> </table> </div>	<input checked="" type="checkbox"/>	Change in cost of providing current services to existing program audience	<input type="checkbox"/>	Change in case load/enrollment under existing program guidelines	<input type="checkbox"/>	Non-mandated change in eligibility/enrollment for existing program	<input type="checkbox"/>	Non-mandated program change in service levels or areas	<input type="checkbox"/>	Proposed establishment of a new program or initiative	<input type="checkbox"/>	Loss of federal or other external financial support for existing program	<input type="checkbox"/>	Exhaustion of fund balances previously used to support program	<input type="checkbox"/>	IT Technology/Security related	<input type="checkbox"/>	Consulted DTO during development	<input type="checkbox"/>	Related to a Non-Recurring request – If so, Priority #
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STATEWIDE ENTERPRISE STRATEGIC OBJECTIVES	<div style="border: 1px solid black; padding: 2px;"> <p>Mark "X" for primary applicable Statewide Enterprise Strategic Objective:</p> <table style="width: 100%; border-collapse: collapse;"> <tr><td style="width: 30px; text-align: center;"><input type="checkbox"/></td><td>Education, Training, and Human Development</td></tr> <tr><td style="text-align: center;"><input type="checkbox"/></td><td>Healthy and Safe Families</td></tr> <tr><td style="text-align: center;"><input type="checkbox"/></td><td>Maintaining Safety, Integrity, and Security</td></tr> <tr><td style="text-align: center;"><input type="checkbox"/></td><td>Public Infrastructure and Economic Development</td></tr> <tr><td style="text-align: center;"><input checked="" type="checkbox"/></td><td>Government and Citizens</td></tr> </table> </div>	<input type="checkbox"/>	Education, Training, and Human Development	<input type="checkbox"/>	Healthy and Safe Families	<input type="checkbox"/>	Maintaining Safety, Integrity, and Security	<input type="checkbox"/>	Public Infrastructure and Economic Development	<input checked="" type="checkbox"/>	Government and Citizens										
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<input type="checkbox"/>	Maintaining Safety, Integrity, and Security																				
<input type="checkbox"/>	Public Infrastructure and Economic Development																				
<input checked="" type="checkbox"/>	Government and Citizens																				
ACCOUNTABILITY OF FUNDS	<div style="border: 1px solid black; padding: 2px;"> <p>2015-2020 Strategic Plan Objectives:</p> <p>1.4.5- Increase the number of measures of the Domestic Violence Case Program.</p> <p>1.3.1- Increase the successful completion rate of offenders under supervision by the Department.</p> <p>2.4.3- Increase the numbers of domestic violence & sex offender cases entered into OMS within 24 hours.</p> </div> <div style="font-size: small; margin-top: 5px;"><i>What specific strategy, as outlined in the most recent Strategic Planning and Performance Measurement template of agency's accountability report, does this funding request support? How would this request advance that strategy? How would the use of these funds be evaluated?</i></div>																				
RECIPIENTS OF FUNDS	<div style="border: 1px solid black; padding: 2px; min-height: 80px;"> <div style="border: 1px solid black; padding: 5px; margin: 10px auto; width: 80%;"> <p>The FTEs requested will be in offender supervision programs to enhance the domestic violence and sex offender management programs.</p> </div> </div> <div style="font-size: small; margin-top: 5px;"><i>What individuals or entities would receive these funds (contractors, vendors, grantees, individual beneficiaries, etc.)? How would these funds be allocated – using an existing formula, through a competitive process, based upon predetermined eligibility criteria?</i></div>																				
JUSTIFICATION OF REQUEST	<div style="border: 1px solid black; padding: 2px;"> <p>SCDPPPS is requesting 10 IT positons to provide technical functions that are outside of the scope for current shared services and require specialized skillsets. These specialized positions will ensure the expedition of IT infrastructure projects for day-to-day operations and the fulfillment of critical business needs. Historically, the Department has not back-filled positions absorbed by IT shared services. SCDPPPS received some legislative funding for these positions in FY 2020, but did not request the FTEs.</p> <p>Additionally, the Department requests 10 FTEs to support and enhance the SCDPPPS goal of increasing specialized caseloads for the domestic violence and sex offender populations. By providing unique training to Agents for unique offender populations, SCDPPPS is able to better protect public safety utilizing the specialized knowledge of its expertly trained staff.</p> </div>																				

Agency Name:	Department Of Probation, Parole & Pardon Services		
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FORM B1 – RECURRING OPERATING REQUEST

AGENCY PRIORITY	<div style="border: 1px solid black; padding: 2px;">7</div> <div style="font-size: small; margin-top: 2px;"><i>Provide the Agency Priority Ranking from the Executive Summary.</i></div>																				
TITLE	<div style="border: 1px solid black; padding: 2px;">Increase in TORT Rate for Law Enforcement Agencies</div> <div style="font-size: small; margin-top: 2px;"><i>Provide a brief, descriptive title for this request.</i></div>																				
AMOUNT	<div style="border: 1px solid black; padding: 2px;"> General: \$562,692 Federal: \$0 Other: \$0 Total: \$562,692 </div> <div style="font-size: small; margin-top: 2px;"><i>What is the net change in requested appropriations for FY 2021-2022? This amount should correspond to the total for all funding sources on the Executive Summary.</i></div>																				
NEW POSITIONS	<div style="border: 1px solid black; padding: 2px;">0.00</div> <div style="font-size: small; margin-top: 2px;"><i>Please provide the total number of new positions needed for this request.</i></div>																				
FACTORS ASSOCIATED WITH THE REQUEST	<div style="border: 1px solid black; padding: 2px;"> Mark "X" for all that apply: <table style="width: 100%; border-collapse: collapse;"> <tr><td style="width: 20px; text-align: center;"><input type="checkbox"/></td><td>Change in cost of providing current services to existing program audience</td></tr> <tr><td style="text-align: center;"><input checked="" type="checkbox"/></td><td>Change in case load/enrollment under existing program guidelines</td></tr> <tr><td style="text-align: center;"><input type="checkbox"/></td><td>Non-mandated change in eligibility/enrollment for existing program</td></tr> <tr><td style="text-align: center;"><input type="checkbox"/></td><td>Non-mandated program change in service levels or areas</td></tr> <tr><td style="text-align: center;"><input type="checkbox"/></td><td>Proposed establishment of a new program or initiative</td></tr> <tr><td style="text-align: center;"><input type="checkbox"/></td><td>Loss of federal or other external financial support for existing program</td></tr> <tr><td style="text-align: center;"><input type="checkbox"/></td><td>Exhaustion of fund balances previously used to support program</td></tr> <tr><td style="text-align: center;"><input type="checkbox"/></td><td>IT Technology/Security related</td></tr> <tr><td style="text-align: center;"><input type="checkbox"/></td><td>Consulted DTO during development</td></tr> <tr><td style="text-align: center;"><input type="checkbox"/></td><td>Related to a Non-Recurring request – If so, Priority #</td></tr> </table> </div>	<input type="checkbox"/>	Change in cost of providing current services to existing program audience	<input checked="" type="checkbox"/>	Change in case load/enrollment under existing program guidelines	<input type="checkbox"/>	Non-mandated change in eligibility/enrollment for existing program	<input type="checkbox"/>	Non-mandated program change in service levels or areas	<input type="checkbox"/>	Proposed establishment of a new program or initiative	<input type="checkbox"/>	Loss of federal or other external financial support for existing program	<input type="checkbox"/>	Exhaustion of fund balances previously used to support program	<input type="checkbox"/>	IT Technology/Security related	<input type="checkbox"/>	Consulted DTO during development	<input type="checkbox"/>	Related to a Non-Recurring request – If so, Priority #
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ACCOUNTABILITY OF FUNDS	<div style="border: 1px solid black; padding: 2px;"> SCDPPPS was recently informed by the SC State Fiscal Accountability Authority that its TORT Insurance will be increased for Fiscal Year 21 by 152%. This request was not outlined in the FY 2019-20 Strategic Planning and Performance Measurement template. </div> <div style="font-size: small; margin-top: 2px;"><i>What specific strategy, as outlined in the most recent Strategic Planning and Performance Measurement template of agency's accountability report, does this funding request support? How would this request advance that strategy? How would the use of these funds be evaluated?</i></div>																				
RECIPIENTS OF FUNDS	<div style="border: 1px solid black; padding: 2px;"> SCDPPPS C1 certified staff will benefit from funds </div> <div style="font-size: small; margin-top: 2px;"><i>What individuals or entities would receive these funds (contractors, vendors, grantees, individual beneficiaries, etc.)? How would these funds be allocated – using an existing formula, through a competitive process, based upon predetermined eligibility criteria?</i></div>																				
JUSTIFICATION OF REQUEST	<div style="border: 1px solid black; padding: 2px;"> <p>SCDPPPS received information on November 25, 2019, from the SC State Fiscal Accountability Authority that its TORT Insurance will be increased for Fiscal Year 21 by 152%. This amount will have a significant impact on SCDPPPS recurring budget. PPP will not be able to sustain this amount at current level and will need to request \$562,592 for FY 21, in additional state funds.</p> <p>This is what was shared from the SC State Fiscal Accountability Authority:</p> <p>"The Insurance Reserve Fund (FIR) increased premiums over the past few years and once again are having to do the same this year (effective FY21). One of the reasons for these increases is due to an inadequacy in payments for tort coverage. In analyzing the state tort rates, it was discovered that the insureds that are in the law enforcement category are the most inadequate and therefore the most effected. As a result, PPP's projected overall premium is expected to be an additional \$560,000 or a 152 percent increase in FY21. The new premium rates are not official until approval of the five-member Authority, which we are hoping to take place next month."</p> </div>																				

Agency Name:	Department Of Probation, Parole & Pardon Services		
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FORM B1 – RECURRING OPERATING REQUEST

AGENCY PRIORITY	<div style="border: 1px solid black; padding: 2px;">8</div> <div style="font-size: small; margin-top: 5px;"><i>Provide the Agency Priority Ranking from the Executive Summary.</i></div>																				
TITLE	<div style="border: 1px solid black; padding: 2px;">Alston Wilkes Society</div> <div style="font-size: small; margin-top: 5px;"><i>Provide a brief, descriptive title for this request.</i></div>																				
AMOUNT	<div style="border: 1px solid black; padding: 2px;"> General: \$750,000 Federal: \$0 Other: \$0 Total: \$750,000 </div> <div style="font-size: small; margin-top: 5px;"><i>What is the net change in requested appropriations for FY 2021-2022? This amount should correspond to the total for all funding sources on the Executive Summary.</i></div>																				
NEW POSITIONS	<div style="border: 1px solid black; padding: 2px;">0.00</div> <div style="font-size: small; margin-top: 5px;"><i>Please provide the total number of new positions needed for this request.</i></div>																				
FACTORS ASSOCIATED WITH THE REQUEST	<div style="border: 1px solid black; padding: 2px;"> <div style="font-size: small; margin-bottom: 5px;">Mark "X" for all that apply:</div> <table style="width: 100%; border-collapse: collapse;"> <tr><td style="width: 30px; text-align: center;"><input checked="" type="checkbox"/></td><td>Change in cost of providing current services to existing program audience</td></tr> <tr><td style="text-align: center;"><input type="checkbox"/></td><td>Change in case load/enrollment under existing program guidelines</td></tr> <tr><td style="text-align: center;"><input type="checkbox"/></td><td>Non-mandated change in eligibility/enrollment for existing program</td></tr> <tr><td style="text-align: center;"><input type="checkbox"/></td><td>Non-mandated program change in service levels or areas</td></tr> <tr><td style="text-align: center;"><input checked="" type="checkbox"/></td><td>Proposed establishment of a new program or initiative</td></tr> <tr><td style="text-align: center;"><input type="checkbox"/></td><td>Loss of federal or other external financial support for existing program</td></tr> <tr><td style="text-align: center;"><input type="checkbox"/></td><td>Exhaustion of fund balances previously used to support program</td></tr> <tr><td style="text-align: center;"><input type="checkbox"/></td><td>IT Technology/Security related</td></tr> <tr><td style="text-align: center;"><input type="checkbox"/></td><td>Consulted DTO during development</td></tr> <tr><td style="text-align: center;"><input type="checkbox"/></td><td>Related to a Non-Recurring request – If so, Priority #</td></tr> </table> </div>	<input checked="" type="checkbox"/>	Change in cost of providing current services to existing program audience	<input type="checkbox"/>	Change in case load/enrollment under existing program guidelines	<input type="checkbox"/>	Non-mandated change in eligibility/enrollment for existing program	<input type="checkbox"/>	Non-mandated program change in service levels or areas	<input checked="" type="checkbox"/>	Proposed establishment of a new program or initiative	<input type="checkbox"/>	Loss of federal or other external financial support for existing program	<input type="checkbox"/>	Exhaustion of fund balances previously used to support program	<input type="checkbox"/>	IT Technology/Security related	<input type="checkbox"/>	Consulted DTO during development	<input type="checkbox"/>	Related to a Non-Recurring request – If so, Priority #
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<input checked="" type="checkbox"/>	Government and Citizens																				
ACCOUNTABILITY OF FUNDS	<div style="border: 1px solid black; padding: 10px; min-height: 100px;"> <div style="border: 1px solid #ccc; padding: 5px; margin-bottom: 10px;"> These funds would be used for some infrastructure needs, but mainly to serve an additional 500 clients statewide who are on state probation or parole. </div> </div> <div style="font-size: small; margin-top: 10px;"><i>What specific strategy, as outlined in the most recent Strategic Planning and Performance Measurement template of agency's accountability report, does this funding request support? How would this request advance that strategy? How would the use of these funds be evaluated?</i></div>																				
RECIPIENTS OF FUNDS	<div style="border: 1px solid black; padding: 10px; min-height: 100px;"> Alston Wilkes Society & Offenders who are currently on probation and parole. </div> <div style="font-size: small; margin-top: 10px;"><i>What individuals or entities would receive these funds (contractors, vendors, grantees, individual beneficiaries, etc.)? How would these funds be allocated – using an existing formula, through a competitive process, based upon predetermined eligibility criteria?</i></div>																				
JUSTIFICATION OF REQUEST	<div style="border: 1px solid black; padding: 10px; min-height: 100px;"> The Alston Wilkes Society is requesting \$750,000.00 to be used for some infrastructure needs, but mainly to serve an additional 500 clients statewide who are on state probation or parole. These funds would be used to help with housing, job placement assistance, clothing, food, transportation, birth certificates & state ID's. Along with the \$750,000.00 that is already in recurring status in the budget, we would be able to serve a grand total of 1,000 additional citizens in helping them become tax paying citizens. </div>																				

Agency Name:	Department Of Probation, Parole & Pardon Services		
Agency Code:	N080	Section:	66

FORM E – AGENCY COST SAVINGS AND GENERAL FUND REDUCTION CONTINGENCY PLAN

TITLE	Agency Cost Savings and General Fund Reduction Contingency Plan
AMOUNT	\$1,377,512 <i>What is the General Fund 3% reduction amount? This amount should correspond to the reduction spreadsheet prepared by EBO.</i>
ASSOCIATED FTE REDUCTIONS	None <i>How many FTEs would be reduced in association with this General Fund reduction?</i>
PROGRAM / ACTIVITY IMPACT	<p>II. Program and Services</p> <p>1. Offender Programs</p> <ol style="list-style-type: none"> 1. Offender Supervision 2. Sentencing Reform <p><i>What programs or activities are supported by the General Funds identified?</i></p>
SUMMARY	<p><u>IT Bandwidth (620,000 Cost Savings)</u></p> <p>Demand for additional data connectivity, bandwidth, and internet access continues to increase for SCDPPPS' 55 sites across the state. This is due to additional requirements imposed by the equipment, systems, and services used by Field Operations, Victim Services, and Parole Board Support staff to perform their job duties.</p> <p>Current challenges include LiveScan upgrades, increased mobility of law enforcement Agents, system performance degradation because of increased utilization of body cameras, Voiceover IP phone implementation at some county offices, and video/audio required for Parole Board and Victims Services remote site teleconferencing.</p> <p>Not receiving this funding would impede the Agency's ability to expand and maximize its services to the Courts, Board of Pardons and Paroles, victims and the community. The Agency must optimize its system performance to ensure consistent and dependable services for its day-to-day operations in these critical areas.</p> <p><u>Agent Vehicle Support Plan (\$757,512 Cost Savings)</u>The loss of funds for the Agent Vehicle Support Plan would be detrimental to the department's ability to be fully operational in several ways. Agents would not have the necessary transportation to conduct assignments such as home visits, employment verification, offender extraditions, warrant service and response to global positioning system (GPS) alerts. Also, vehicles serve to streamline the special assignment deployment process, including emergency responses to hurricane evacuations, lane reversals, law enforcement assistance at Bike Week and State House demonstrations. Without the use of these vehicles, deployments could potentially be impacted and SCDPPPS will be unable to meet its mission.</p> <p><i>Please provide a detailed summary of service delivery impact caused by a reduction in General Fund Appropriations and provide the method of calculation for anticipated reductions. Agencies should prioritize reduction in expenditures that have the least significant impact on service delivery.</i></p>
AGENCY COST SAVINGS PLANS	<p>The agency will look at other options for staff attending paid training such as:</p> <ol style="list-style-type: none"> 1. Pay training registration every other year 2. Research free training opportunities 3. Limited use of temporary personnel <p>Total Projected Savings: \$50,000</p>

Agency Name:	Department Of Probation, Parole & Pardon Services		
Agency Code:	N080	Section:	66

FORM F – REDUCING COST AND BURDEN TO BUSINESSES AND CITIZENS

TITLE	Taxpayer Savings due to Sentencing Reform Implementation <i>Provide a brief, descriptive title for this request.</i>																																								
EXPECTED SAVINGS TO BUSINESSES AND CITIZENS	Sentencing Reform Implementation = Cost avoidance of taxpayer dollars of \$65,698,102 since 2010. <i>What is the expected savings to South Carolina's businesses and citizens that is generated by this proposal? The savings could be related to time or money.</i>																																								
FACTORS ASSOCIATED WITH THE REQUEST	Mark "X" for all that apply: <input type="checkbox"/> Repeal or revision of regulations. <input type="checkbox"/> Reduction of agency fees or fines to businesses or citizens. <input checked="" type="checkbox"/> Greater efficiency in agency services or reduction in compliance burden. <input type="checkbox"/> Other																																								
METHOD OF CALCULATION	Sentencing Reform Savings Through rehabilitative efforts, SCDPPPS has sent 1,779 fewer offenders to prison, compared to 2010 numbers, creating a tax cost avoidance of \$65,692,102 as of November 2019. SCDPPPS utilizes a 2-page formula/model designed by the VERA Institute of Justice to calculate the cost avoidance to SC Department of Corrections each year. These cost savings are due to SCDPPPS's successful implementation of sentencing reform. A more detailed explanation of the method of calculation is located on pages 20 and 21 of the 2019 SCDPPPS Report to the Sentencing Reform Oversight Committee: https://www.dppps.sc.gov/content/download/210887/4922501/file/2019+Sentencing+Reform+Oversight+Committee+Report+Final.pdf <i>Describe the method of calculation for determining the expected cost or time savings to businesses or citizens.</i>																																								
REDUCTION OF FEES OR FINES	N/A <i>Which fees or fines does the agency intend to reduce? What was the fine or fee revenue for the previous fiscal year? What was the associated program expenditure for the previous fiscal year? What is the enabling authority for the issuance of the fee or fine?</i>																																								
REDUCTION OF REGULATION	Enabling authority: Title 24, Chapter 28 of State Code of Laws mandates SCDPPPS to carry out sentencing reform implementation. <i>Which regulations does the agency intend to amend or delete? What is the enabling authority for the regulation?</i>																																								
SUMMARY	<p>Through the use of evidence-based practices and revision of its rehabilitative efforts, SCDPPPS has sent 1,779 fewer offenders to prison, compared to 2010 numbers, creating a taxpayer cost avoidance of \$65,692,102 as of November 2019. From FY 2010 through FY 2019, SCDPPPS has experienced a 54% reduction in violation of compliance revocations (probation rules), and there has been a 49% reduction in new offense revocations over that same time period. SCDPPPS is also a national leader in case closures; the department has an 80% successful probation closure rate (offender completion of supervision without being revoked to prison or jail) - compared to the national average of 60%. And PPP has an 82% successful closure rate among parolees- compared to the national average of 61%. These very positive statistics have had an enormous impact on South Carolina's citizens. Offenders who are diverted from prison and allowed to remain in the community save taxpayers money through reduced incarceration fees and averted court costs. The average daily cost to supervise an offender on standard probation is \$4.22, compared to the average daily cost of \$70.78 to supervise an inmate at SCDC.</p> <p>SCDPPPS's sentencing reform efforts continue to have a widespread effect on the state's economy. Enabling offenders to remain in the community can create new jobs, and offenders who reenter the workforce often work in occupations that supplement the current workforce.</p> <p>SCDPPPS is a responsible steward of South Carolina taxpayer dollars, reducing the need for expansion of government programs and increased taxes through the implementation of sentencing reform mandates.</p> <p style="text-align: center;">Cost Avoidance and Maximum Reinvestment for Sentencing Reform Through FY19</p> <table border="1"> <caption>Cost Avoidance and Maximum Reinvestment for Sentencing Reform Through FY19</caption> <thead> <tr> <th>Fiscal Year</th> <th>Total Cost Avoidance (\$)</th> <th>Maximum Reinvestment (\$)</th> <th>Proposed Reinvestment (10% of Total Cost Avoidance) (\$)</th> </tr> </thead> <tbody> <tr> <td>FY 11</td> <td>4,227,456</td> <td>1,056,860</td> <td>422,746</td> </tr> <tr> <td>FY 12</td> <td>5,293,240</td> <td>1,323,310</td> <td>529,324</td> </tr> <tr> <td>FY 13</td> <td>5,276,320</td> <td>1,319,080</td> <td>527,632</td> </tr> <tr> <td>FY 14</td> <td>5,408,525</td> <td>1,352,131</td> <td>540,853</td> </tr> <tr> <td>FY 15</td> <td>5,158,810</td> <td>1,289,703</td> <td>515,881</td> </tr> <tr> <td>FY 16</td> <td>5,361,184</td> <td>1,340,296</td> <td>536,118</td> </tr> <tr> <td>FY 17</td> <td>5,709,813</td> <td>1,427,453</td> <td>570,981</td> </tr> <tr> <td>FY 18</td> <td>5,252,142</td> <td>1,313,036</td> <td>525,214</td> </tr> <tr> <td>FY 19</td> <td>13,828,232</td> <td>4,756,152</td> <td>1,382,823</td> </tr> </tbody> </table> <p><i>Provide an explanation of the proposal and its positive results on businesses or citizens. How will the request affect agency operations?</i></p>	Fiscal Year	Total Cost Avoidance (\$)	Maximum Reinvestment (\$)	Proposed Reinvestment (10% of Total Cost Avoidance) (\$)	FY 11	4,227,456	1,056,860	422,746	FY 12	5,293,240	1,323,310	529,324	FY 13	5,276,320	1,319,080	527,632	FY 14	5,408,525	1,352,131	540,853	FY 15	5,158,810	1,289,703	515,881	FY 16	5,361,184	1,340,296	536,118	FY 17	5,709,813	1,427,453	570,981	FY 18	5,252,142	1,313,036	525,214	FY 19	13,828,232	4,756,152	1,382,823
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S.C. Department of Probation, Parole & Pardon Services

FY 2021-22

Law Enforcement and Criminal Justice Subcommittee Proviso Request Summary

FY 20-21 Proviso #	Renumbered FY 21-22 Proviso #	Proviso Title	Short Summary	FY of Proviso Introduction/ # of years in budget	Recommended Action	Proviso Language
66.1		Sale of Equipment	PPP equipment sale revenue may be carried forward by agency.	FY 2002	NO CHANGE	All revenue generated by the Department of Probation, Parole and Pardon Services from the sale of various equipment in excess of \$575, less the cost of disposition incurred by the Department of Administration, may be retained and carried forward into the current fiscal year and expended for the purpose of purchasing like items.
66.2		Interstate Compact Application Fee	PPP may charge a fee to offenders applying for transfers out of or into the state; fees may be carried forward by the agency.	FY 2003	NO CHANGE	The department may charge offenders an application fee set by the department; not to exceed the department's actual costs, to offenders applying for transfers out of or into the state under the Interstate Compact Act. The application fee shall be retained by the department to offset the cost of the Interstate Compact Act. All unexpended funds at year-end may be retained and carried forward by the department to be expended for the same purpose.
66.3		GED Learn and Earn Program	Offenders in the GED Learn and Earn Program must repay PPP after obtaining a GED.	FY 2005	NO CHANGE	From the funds appropriated in Part 1A, the department may enter into agreements with statewide colleges, technical colleges, and school districts for the purpose of providing GED and GED Prep education to offenders. Offenders of the department enrolled in the program must repay the department the cost of the course and materials within six months of obtaining their GED.
66.4		Sex Offender Monitoring Carry Forward	PPP is authorized to carry forward unexpended funds from the Sex Offender Monitoring Program.	FY 2008	NO CHANGE	The Department of Probation, Parole and Pardon Services is authorized to carry forward any unexpended funds in the Sex Offender Monitoring program. These funds must be used for the sex offender monitoring program. For the purpose of calculating the amount of funds which may be carried forward by the department, Sex Offender Monitoring program funds carried forward by this provision shall be excluded from the calculation of the carry forward authorized by provision elsewhere in this act.



S.C. Department of Probation, Parole & Pardon Services

FY 2021-22

Law Enforcement and Criminal Justice Subcommittee Proviso Request Summary

FY 20-21 Proviso #	Renumbered FY 21-22 Proviso #	Proviso Title	Short Summary	FY of Proviso Introduction/ # of years in budget	Recommended Action	Proviso Language
66.5		Offender Drug Testing Fee	Drug testing fees will not exceed \$50, and offenders unable to pay will have the fee waived. Unexpended funds may be carried forward.	FY 2009	NO CHANGE	The department may charge offenders a fee set by the department, not to exceed \$50, for the purpose of drug testing. If it is determined that the offender is indigent, this fee must be waived. The fee shall be retained by the department to offset the cost of drug testing. All unexpended funds at year-end may be retained and carried forward by the department to be expended for the same purpose.
66.5		Public Service Employment Set-Up Fee	PPP may charge offenders a \$25 Public Service Employment (Community Service) set-up fee.	FY 2010	NO CHANGE	In addition to any other fee, the department may charge an adult offender placed under the jurisdiction of the department, who is ordered to public service employment by the court, a twenty-five dollar Public Service Employment set-up fee. The fee must be retained by the department and applied to the department's supervision process.

SC Department of Probation, Parole and Pardon Services

Ignition Interlock Device Program

December 15, 2020

Legislation

The Ignition Interlock Device Program (the Program) was created by the General Assembly as part of the Prevention of Underage Drinking and Access to Alcohol Act. Effective on January 1, 2008, this law required the Department of Probation, Parole and Pardon Services (the Department) to implement and administer the Program in partnership with the South Carolina Department of Motor Vehicles (SCDMV) and the South Carolina Department of Alcohol and Other Drug Abuse Services (DAODAS). Thereafter the General Assembly enacted "Emma's Law", effective on October 1, 2014, which expanded the scope of the Program. On June 1, 2015 the General Assembly amended the law to make the Program retroactively available to drivers whose license had been suspended for alcohol-related offenses that occurred prior the effective date of the laws implementing the Program.

The Ignition Interlock Device Program

Participation in the Program is mandatory for drivers convicted of a first DUI or DUAC offense with a blood alcohol concentration of .15% or greater, and for all drivers who are convicted for a second or subsequent DUI or DUAC offense within ten years of the first offense. These drivers must have a Breath Alcohol Ignition Interlock Device (Device) installed in their vehicles as a prerequisite to obtaining a driver's license. The law sets how long these drivers must participate in the Program. Drivers convicted for a first offense must participate for six months; for a second offense, two years; for a third offense, three years, but if the third offense is within five years of the first offense, four years. Drivers convicted of a fourth or subsequent offense within ten years of the first offense will have a lifetime Program requirement. When convicted, these drivers' licenses will be suspended indefinitely until they enroll in the Program. Once they have had a Device installed in their vehicle, SCDMV will issue them a driver's license with an ignition interlock restriction, indicating they can only drive vehicles that are equipped with a Device.

Participation in the Program is optional for drivers whose licenses are administratively suspended because they violated the State's "Implied Consent" statute. These drivers are able to obtain an unrestricted driver's license once the suspension is over, but they have the option to end the suspension early by having a Device installed in their vehicle and enrolling in the Program. Once these drivers have opted into the Program, they must successfully complete the entirety of their required term in the Program and may not opt out and accept the suspension.

Program Management

The Department administers the Program and monitors the drivers who participate in it. SCDMV issues eligible drivers an ignition interlock restricted license, and will suspend the licenses of drivers enrolled in the Program who do not comply with its rules. DAODAS provides mandatory substance abuse treatment and evaluation services for repeat violators.

Breath Alcohol Ignition Interlock Devices – How They Work

The Program has certified four manufacturers to supply Devices to South Carolina drivers: Intoxalock, Guardian, LifeSafer and Smart Start. The Devices supplied by these companies must be configured to comply with specifications set by the National Highway Traffic Safety Administration. The Device is hard wired into the vehicle's ignition circuit. It is a system of three components: a relay unit installed underneath the dash, a camera mounted on the windshield connected to the relay, and a handset unit connected to the relay with a cord. The driver must blow into a nozzle on the handset to provide breath samples for the Device to analyze for the presence of alcohol. The camera will take a picture of who is sitting in the driver's seat when each breath sample is provided.

Before starting the vehicle the driver must blow into the handset to deliver a breath sample. If the Device detects a breath alcohol concentration (BrAC) of .020% or higher, it will not allow the vehicle to be started. If the measured BrAC is .019% or lower, the Device will allow the driver to start the vehicle. After the vehicle is started, and so long as the engine is running, the Device will periodically request a "running retest" at random intervals. When prompted, the driver must deliver a breath sample to the handset. If the breath sample delivered during a running retest is measured to have a BrAC of .020% or higher, the Device will sound an audible alert in the passenger compartment, cause the vehicle's lights to flash, and cause its horn to blow. This will continue until the driver provides a breath sample with a BrAC under .020% or until the engine is turned off. Once stopped, the vehicle will not restart until the driver provides a breath sample measured to have a BrAC under .020%.

The Device records the measured BrAC of every breath sample delivered to it, every picture taken by the camera, and other information relating to the vehicle's status and operation. Drivers must have any vehicle equipped with a Device inspected by a Program-certified installer at least once every sixty days. During the inspection the data recorded by the Device is downloaded and forwarded to SCDPPPS.

The Ignition Interlock Device Point System

Drivers enrolled in the Program are subject to a point system administered by the Department. SCDPPPS will assess ignition interlock device points against drivers who violate the Program's rules. Point assessments can cause the driver's required term in the Program to be extended or cause the suspension of the driver's license. Point assessments can also require the driver to have a mandatory substance abuse assessment via DAODAS. Points are assessed and penalties imposed as set by law:

- | | |
|--|-----------|
| ■ Failure to have the IID inspected every sixty days: | 1 point |
| ■ Running re-test with a BrAC of .02% but under .04%: | ½ point |
| ■ Running re-test with a BrAC of .04% but under .15%: | 1 point |
| ■ Running re-test with a BrAC of .15% or greater: | 2 points |
| ■ Failure to complete a running retest: | 1 point |
| ■ Tampering with the IID; soliciting another to operate: | 1½ points |

Criminal Penalties

It is a misdemeanor crime for a driver subject to the ignition interlock restriction to drive any vehicle that is not equipped with a properly working Device. For a third violation, the driver may be charged with a felony.

It is a misdemeanor for a driver subject to the ignition interlock requirement to have a third party blow into the device on their behalf in order to start the vehicle or perform a running retest. It is also a misdemeanor to do so on behalf of the driver subject to the restriction. It is a misdemeanor to tamper with or disable the Device or to willfully obstruct the camera's lens. It is a misdemeanor to knowingly rent, lease or otherwise provide a driver subject to the ignition interlock restriction with a vehicle that is not equipped with a Device.

Program Costs

The Devices are manufactured by private companies. Drivers enrolled in the Program lease the Devices from the manufacturers. SCDPPPS worked with the Information and Technology Management Office (ITMO) to develop a fixed price bid offering for potential manufacturers. This allows multiple manufacturers to do business in South Carolina and thus encourages price competition. The State's contract with the Manufacturers allows them to charge these baseline fees:

- Installation Charge – a maximum of \$50.00 (\$125.00 complex installations)
- Monthly Monitoring Charge – a maximum of \$100.00

The contract also requires the manufacturers to charge the drivers a monthly \$30.00 fee that is remitted to the Department's Ignition Interlock and Indigent Fund. This fund pays for the Program's operations. It also pays the expense of leasing the Device for those drivers qualified to receive indigent funding.

Participation Rates

Currently there are almost 17,000 drivers qualified and eligible to participate in the Program. This number includes both drivers with mandatory requirements due to convictions and drivers with optional requirements caused by administrative suspensions. Note that under current law many, if not most of the large number of drivers with optional requirements are able to obtain licenses from SCDMV that do not bear the ignition interlock restriction.

Program Participation Data as of December 15, 2020:

- 16,890: Number of drivers currently eligible to have an IID installed.
- 970: Number of drivers actively participating in the Program.
- 29: Number of drivers participating in the Program who receive indigent funding.

For more information about the Program you can visit www.dppps.sc.gov and look under the Ignition Interlock tab.

2020

Report to the Sentencing Reform Oversight Committee



SOUTH CAROLINA DEPARTMENT OF
PROBATION, PAROLE AND PARDON SERVICES

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Major Accomplishments

The South Carolina Department of Probation, Parole and Pardon Services (SCDPPPS) has continued to flourish during the 2019-2020 fiscal year. Agency staff has shown tremendous resiliency, and made continued strides. Under the leadership of Director Adger, SCDPPPS has managed to reach new heights as well as maintain the course during an unprecedented time in our nation. In 2020 SCDPPPS staff has banded together – virtually – to implement creative ways to telecommute in response to the COVID-19 pandemic. Many helpful, innovative procedures have been put into place, in particular in the Field Operations Division, the Paroles, Pardons and Release Services Section, and the Office of Administrative Hearings – to enable staff to continue to fulfill the agency's mission while in quarantine.

SCDPPPS was forced to suspend parole and pardon hearings in April 2020 in response to the COVID-19 pandemic. The established hearing protocol afforded victims, survivors, and opposing law enforcement officials the opportunity to appear before the South Carolina Board of Parole and Pardons at one of the remote videoconferencing sites across the state. In addition, the South Carolina Department of Corrections (SCDC) halted all families and other non-employees from entering correctional facilities, making it impossible for hearings to proceed safely. SCDPPPS launched technological solutions to resume hearings and created a successful virtual hearing process. Staff from SCDPPPS, SCDC and the Parole Board worked to develop, test and implement a virtual hearing room, using available web-based applications. The new process allows pardon applicants, inmates, attorneys, victims, and witnesses to appear and testify before the Board from remote locations. Board members also participate remotely. In the hearings conducted to date, the Board has observed appearances from SCDC facilities, county jails, offices, private homes, and other locations. Participants can connect to the hearings via computer, smart phone, or voice-only telephone.

To coordinate the hearings, there are a number of teams working within each agency to ensure inmates are in place at correctional facilities and that attorneys, crime victims, and witnesses are logged in and ready for each hearing. SCDPPPS resumed hearings on June 2nd and has successfully completed both parole and pardon hearings. Similarly, the Office of Administrative Hearings developed a plan for hearings to be conducted using remote communication technology, avoiding the need for a physical appearance by any party, witness or counsel.

In the Field Operations Division, agents have continued conducting face-to-face offender reports in the community rather than in the office. This major change in the way agents do business has nearly doubled the number of home visits completed each month compared to 2019 numbers. This increase in productivity and offender services was not only brought about by the agency's rapid response to the "new normal" presented by the coronavirus pandemic; this major change in procedure was also made possible in part to the now 1:1 vehicle to agent ratio.

SCDPPPS also continues to transition closer to becoming entirely digital and paperless in its daily operations. The pandemic has presented an opportunity for staff to learn to work more efficiently while working remotely, but still connected virtually. Across all divisions, particularly in the Information Technology Services Division, staff has worked diligently to ensure the necessary tools are in place to implement a smooth transition to a virtual workplace.

One such technological advancement was the 2019 implementation of the website's online 24-hour payment system that has enabled offenders to pay fees and restitution quickly and easily online. This feature has proven particularly valuable during the COVID-19 quarantine, when offenders are unable to safely travel to county offices to pay their restitution. Money order payments for nine of our largest

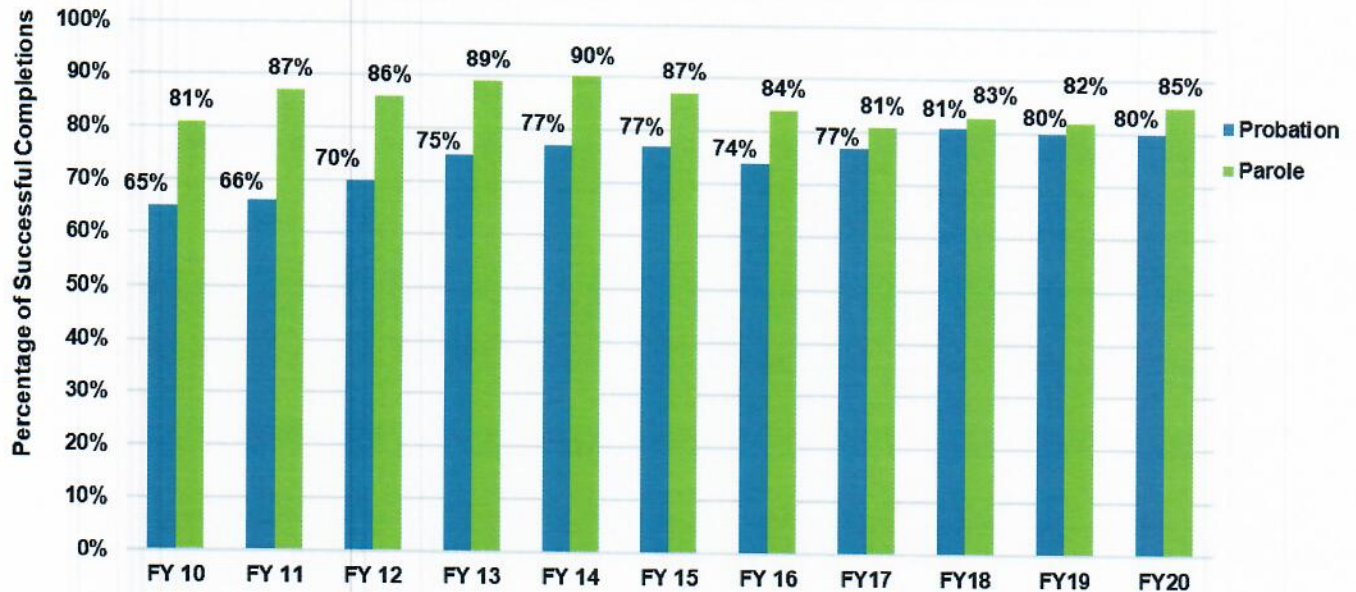
counties are being processed by our Fiscal Services Staff in Central Office. It is anticipated that additional county offices will divert payments to Fiscal Services during FY 2020-2021.

The department has maintained its intense focus on increasing rehabilitative services and the use of evidence-based supervision strategies. SCDPPPS has diverted thousands of individuals from incarceration through sentencing reform by reducing the number of offenders revoked for compliance violations. Evident in this report, since the implementation of the Sentencing Reform Act of 2010, SCDPPPS has created a cost avoidance to taxpayers of millions by significantly reducing compliance violation admissions to SCDC. All of this has been achieved while creating a reduction in new offense revocation rates of SCDPPPS offenders.

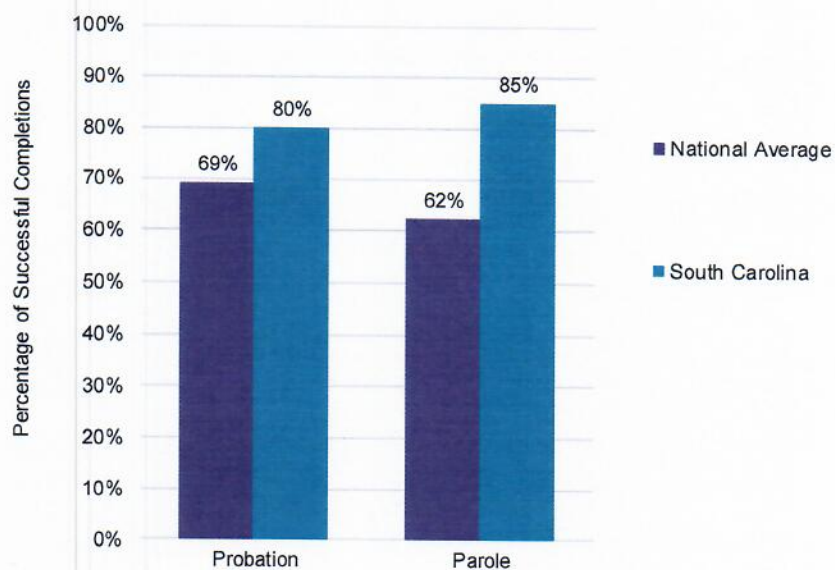
Success Rates: Since FY 2010, the rate of successful completions has increased for both probation and parole.

- In FY 2010, probation had a success rate of 65% and parole had a success rate of 81%.
- In FY 2020, the rate of successful completion increased to 80% for probation and 85% for parole. This reflects a 15% increase for probation and 4% increase for parole since FY 2010.
- SCDPPPS' successful completion rates are above the national average.

Probation and Parole Success Rates Since FY10



Probation and Parole Success Rates Compared to the National Average



* National Average represents the most recent data available from calendar year 2018.

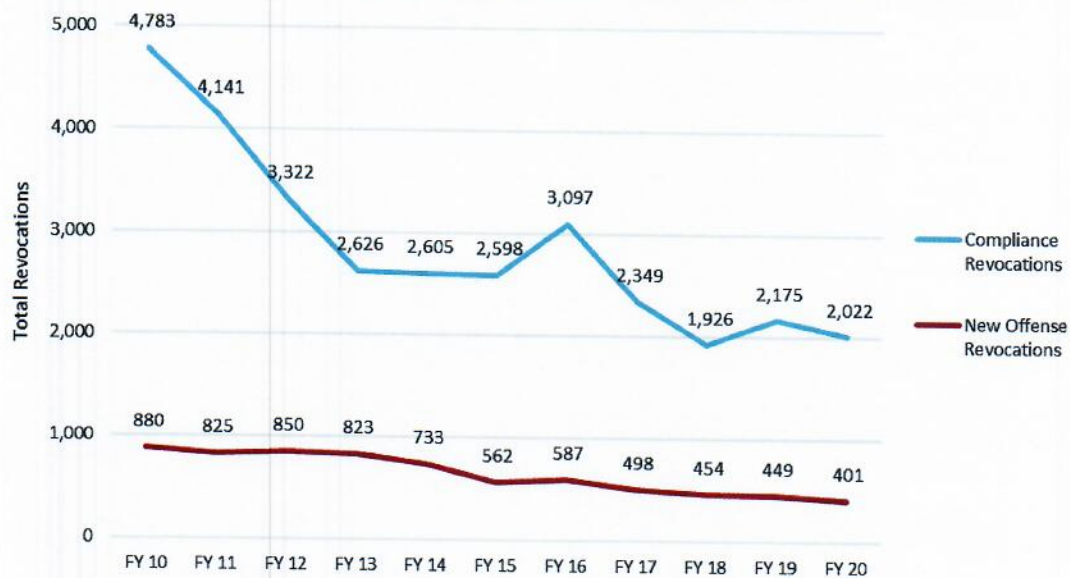
Bureau of Justice Statistics' Report *Probation and Parole in the United States, 2017-2018* (August 2020)

Overview

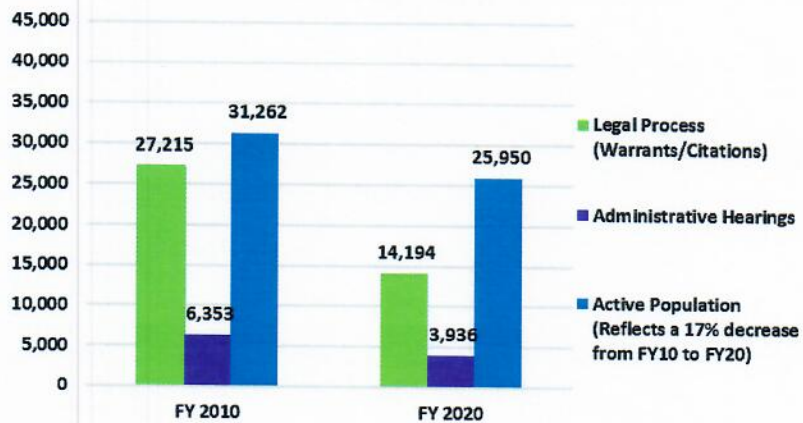
The department has implemented supervision strategies that resulted in the reduction of recidivism and the financial impact to SCDC while maintaining public safety. The following reductions from the FY 2010 baseline data have been achieved for FY 2020:

- 63% (-2,059) Reduction of compliance revocation admissions to SCDC
- 57% (-3,240) Overall reduction in supervision revocation rates
 - 58% (-2,761) Reduction in compliance revocation rates
 - 54% (-479) Reduction in new offense revocation rates
- 48% (-13,021) Overall reduction in the issuance of legal process (i.e., warrants and citations)
- 38% (-2,417) Overall reduction in administrative hearings

Revocation Decline Since the Passage of the Sentencing Reform Act of 2010



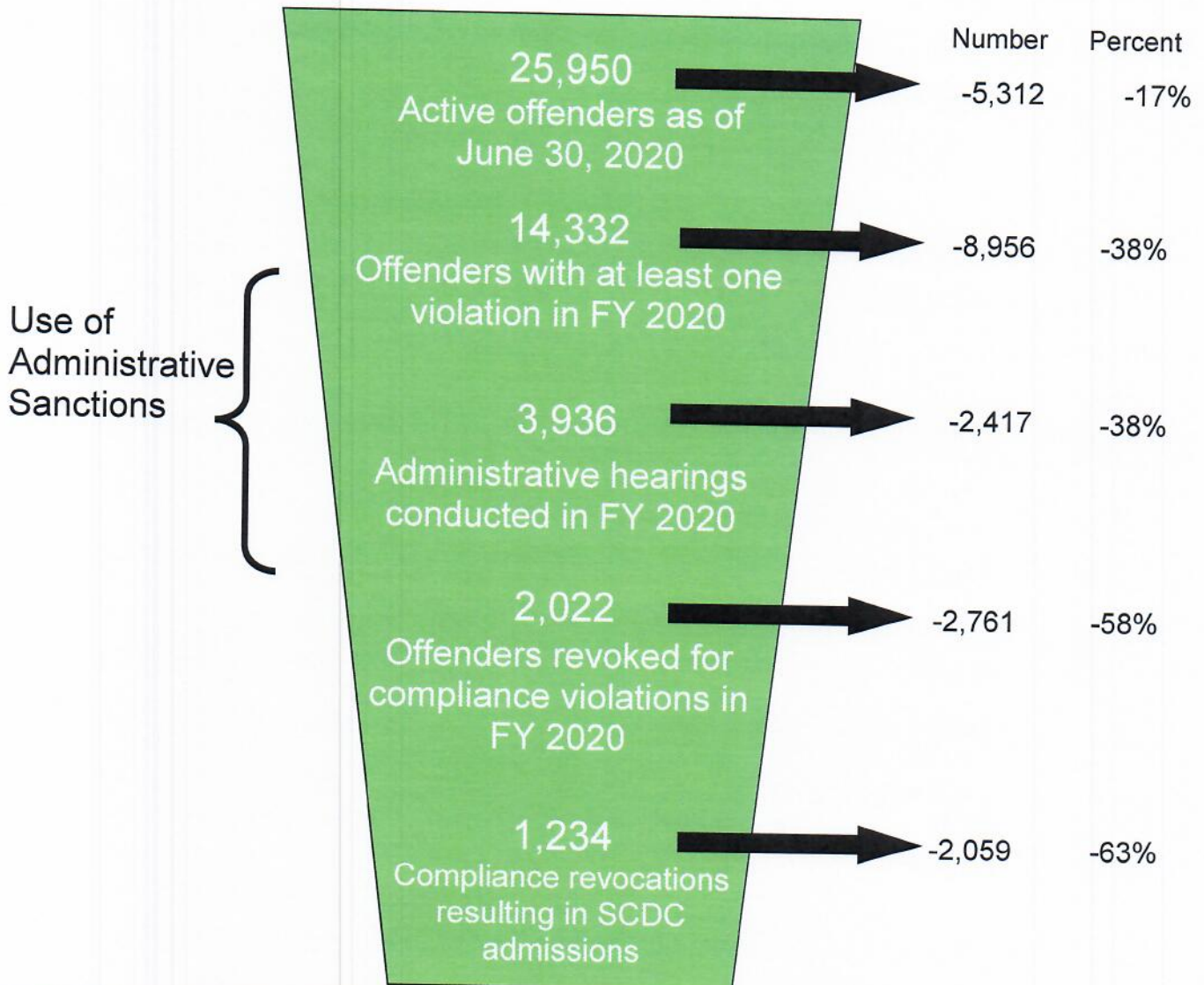
Reduction in Process



SCDPPPS FY 2020 Violations Summary

Impact of Sentencing Reform Act Strategies

Change from FY 2010



Administrative Sanctions:

64	PSE Conversions
14	PSE Sanctions
6,669	Fee Exemptions*
7,333	Fee Restructures
11,229	Home Visits
5,804	Other Administrative Sanctions
5,835	Verbal/Written Reprimands

36,948 Total Sanctions

*Fee exemptions are through March 31, 2020 to exclude exemptions related to COVID-19 pandemic.

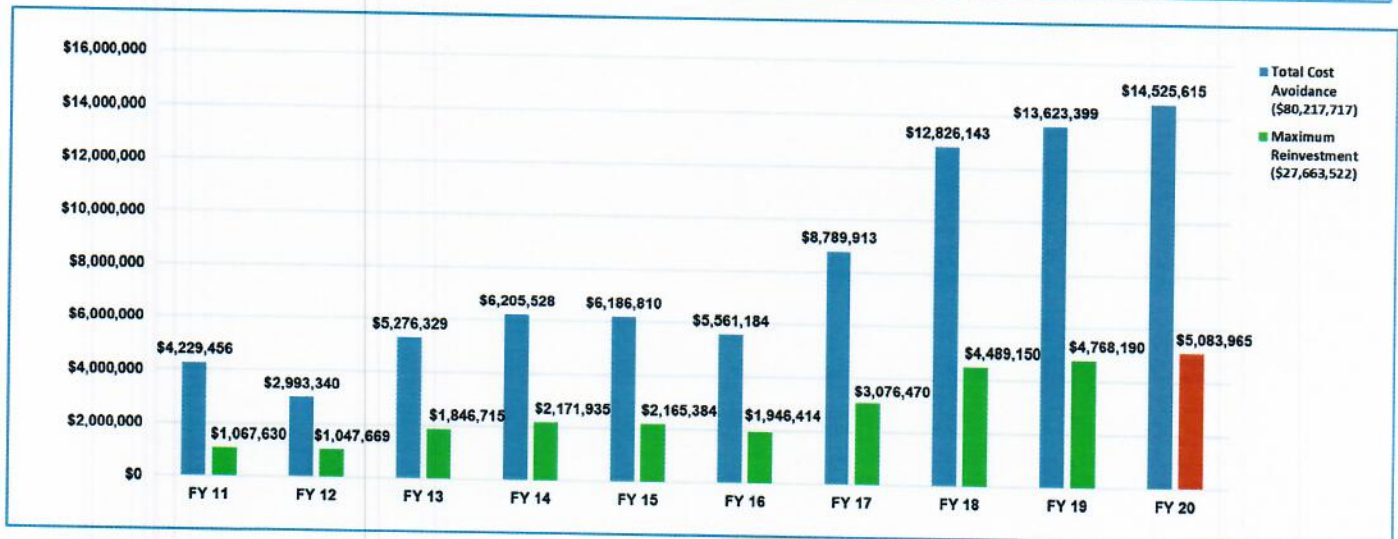
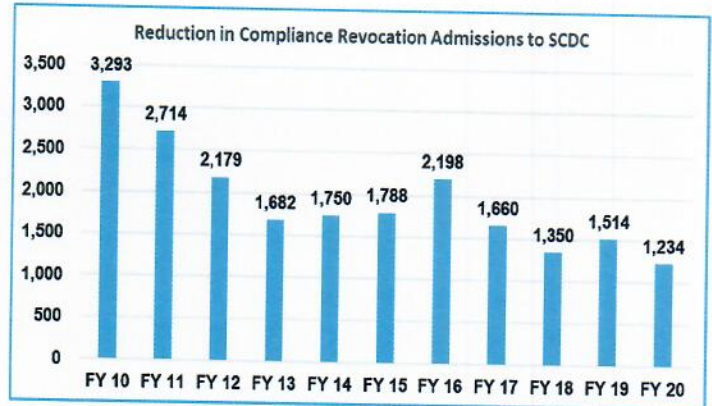
Cost Avoidance

For the tenth year in a row, the department has achieved its goal of reducing the impact to SCDC through the reduction in the number of offenders revoked for compliance violations and subsequently admitted to SCDC. This year's cost avoidance is \$14,525,615. This is a 243% increase since FY 2010 and a 7% increase since FY 2019.

FY 2020 – Cost Avoidance Calculations for the Sentencing Reform Act*

FY 2020 SCDPPPS avoided bed-days	760,720
Variable cost avoidance	\$7,135,554
Step-fixed cost avoidance	\$7,390,061
Total cost avoidance for FY 2020	\$14,525,615
Maximum reinvestment (\$14,525,615 X 35%)	\$5,083,965

* Numbers are rounded.



2,059 – Total reduction in compliance revocation admissions to SCDC from FY 2010 through 2020.
\$80,217,717 – SCDPPPS' total cost avoidance for Sentencing Reform from FY 2011 through 2020.
\$27,663,522 – SCDPPPS' total proposed maximum reinvestment from FY 2011 through 2020.

Cost Avoidance Methodology

- In FY 2012, the Sentencing Reform Oversight Committee (SROC) received technical assistance from the VERA Institute of Justice to design a model to calculate the cost avoidance to SCDC.
- The cost avoidance model with FY 2020 data is located on page 21 of the appendix. The model provides a description of all variables used to generate the total cost avoidance.

Reinvestment Recommendation

Funding Priority 1: Expansion of Mental Health Specialized Caseload Program

Background:

SCDPPPS aims to expand its Mental Health Program so 100% of eligible offenders are supervised under the specialized program. The goals of the program are to 1) improve access to standardized screening and assessment tools, 2) create collaborative comprehensive case management plans that address criminogenic needs, and 3) coordinate wraparound services with the goal of establishing stability for individuals living with mental illness. Emphasis is placed on treatment, medication compliance, and long-term stability that will endure following the end of supervision (2020 Strategic Plan Objective 4.4.2). To ensure the success of specialized caseloads, program staff will have comprehensive training that is tailored to the needs of this population.

Potential use of funding:

52 positions are needed to expand the Mental Health Program statewide:

- (30 FTEs) Mental Health Agents
- (5 FTEs) Department of Mental Health Liaisons
- (5 FTEs) Administrative Hearing Officers
- (6 FTEs) Mental Health Unit Supervisors
- (5 FTEs) Mental Health Offender Supervision Specialists
- (1 FTE) Mental Health Unit Manager

Estimated Cost: \$4,047,696

Funding Priority 2: Expansion of Inmate Release Services

Background:

Currently parole examiners only conduct pre-release interviews for inmates who are being considered for parole. Parole examiners also facilitate hearings at eight designated SCDC facilities. Increasing the number of parole examiners and supporting management will allow the parole examiner position to expand duties to include pre-release interviews of inmates who are being released to the agency's mandatory release programs (i.e., Community Supervision, Supervised Re-entry, and Supervised Furlough II). This will also allow SCDPPPS to facilitate hearings at each of the SCDC facilities, minimizing the need for inmate transport for parole hearings.

Potential Use of Funding:

13 positions are needed to expand the Inmate Release Services:

- (1 FTE) Manager in Charge of Investigations and Examinations
- (3 FTEs) Examination Managers
- (9 FTEs) Parole Examiners

Estimated Cost: \$1,036,269

Section 38

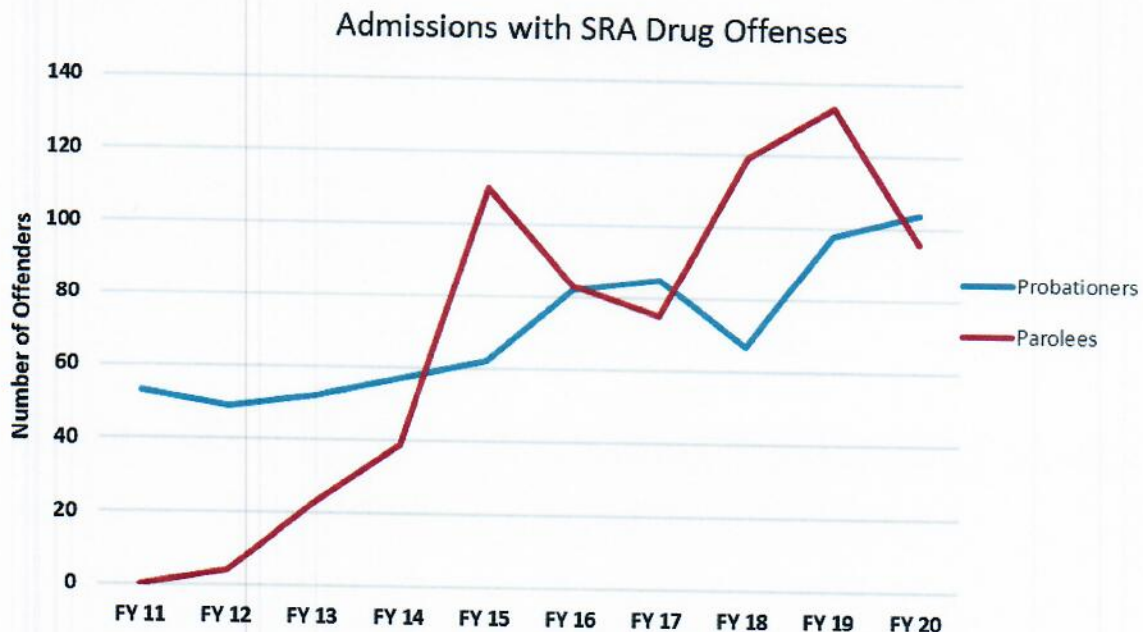
Drug Offenses

FY 2020 Highlights (All information as of June 30, 2020)

- 452 inmates are currently eligible by statute
 - 114 (25%) of the eligible inmates are currently scheduled for a parole hearing
- 2,820 inmates have been heard for parole since inception
 - 1,074 (58%) inmates have been granted parole
 - 904 inmates were released to SCDPPPS' supervision
 - 11 inmates are pending completion of pre-release programming (e.g., ATU and SPICE)
 - 159 inmates had their conditional parole rescinded
- 104 offenders sentenced to probation by the courts in lieu of incarceration
- 56,801 bed days saved for inmates released to parole, which equates to a cost avoidance of \$1,051,387
 - 434,503 total bed days saved (FY 2012 to FY 2020) for inmates released to parole, which equates to a total cost avoidance of \$6,183,849.
- 217,890 bed days saved for offenders given straight probation, which equates to a cost avoidance of \$4,033,151.
 - 1,509,596 total bed days saved (FY 2011 to FY 2020) for offenders given straight probation, which equates to a total cost avoidance of \$20,842,306.

§ 44-53-375

- Statutory eligibility – ten specific drug offenses and sentence date of June 2, 2010 or later.
 - Non-violent offenders- after serving 25% of their sentence.
 - Violent offenders- after serving 33% of their sentence.



Section 40

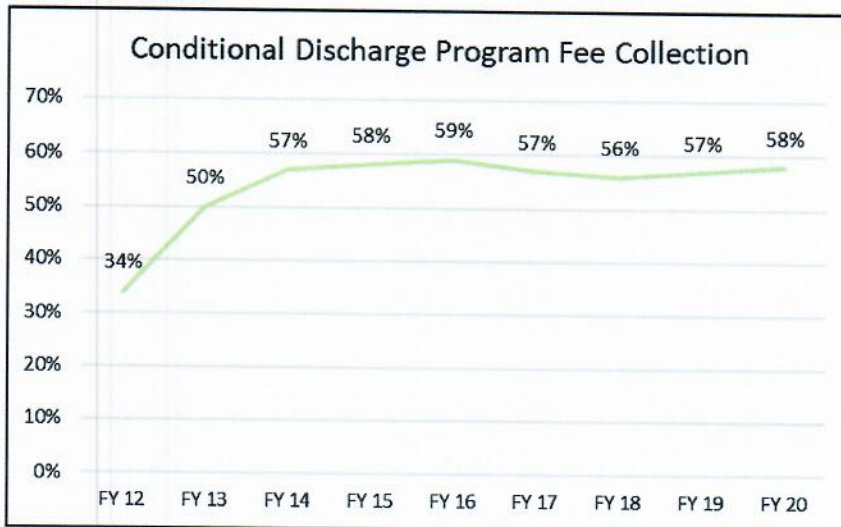
Conditional Discharge

FY 2020 Highlights (All information as June 30, 2020)

- 553 offenders were admitted to the program in FY 2020 for a total of 8,150 admissions since inception
- 405 offenders are active in the program
- 1,178 closures
 - 547 (46%) offenders closed successfully
 - 631 (54%) offenders were returned to the Solicitor's Office
- 6.64 months – average length of supervision
- Conditional Discharge fees (which go to the solicitor) since inception: \$1,728,188 (60%) collected from offenders that are now closed and \$13,492 (10%) from offenders that are still active for a total of \$1,741,679 (58%) collected

§ 44-53-450

- Statutory eligibility – If (1) the defendant has not previously been convicted of any offense under this article, or any offense under any state or federal statute relating to marijuana, or stimulant, depressant, or hallucinogenic drugs, and (2) the current offense is possession of a controlled substance under either Sections 44-53-370 (c) and (d), or Section 44-53-375 (A) of the Code of Laws of South Carolina 1976, as amended, then without a guilty adjudication the defendant is placed on probation.
- Upon fulfillment of the terms and conditions and payment of a \$350 fee, the court shall discharge the defendant and dismiss the proceedings.



Total Conditional Discharge Closures					
FY	Total		Total	% Successful	
	Total Successful Closures	Unsuccessful Closures			
11	11	11	22	50%	
12	229	90	319	72%	
13	506	242	748	68%	
14	516	246	762	68%	
15	472	340	812	58%	
16	474	422	896	53%	
17	523	519	1,042	50%	
18	568	635	1,203	47%	
19	586	537	1,123	52%	
20	547	631	1,178	46%	
Total	4,432	3,673	8,105	55%	

Sections 45 & 52

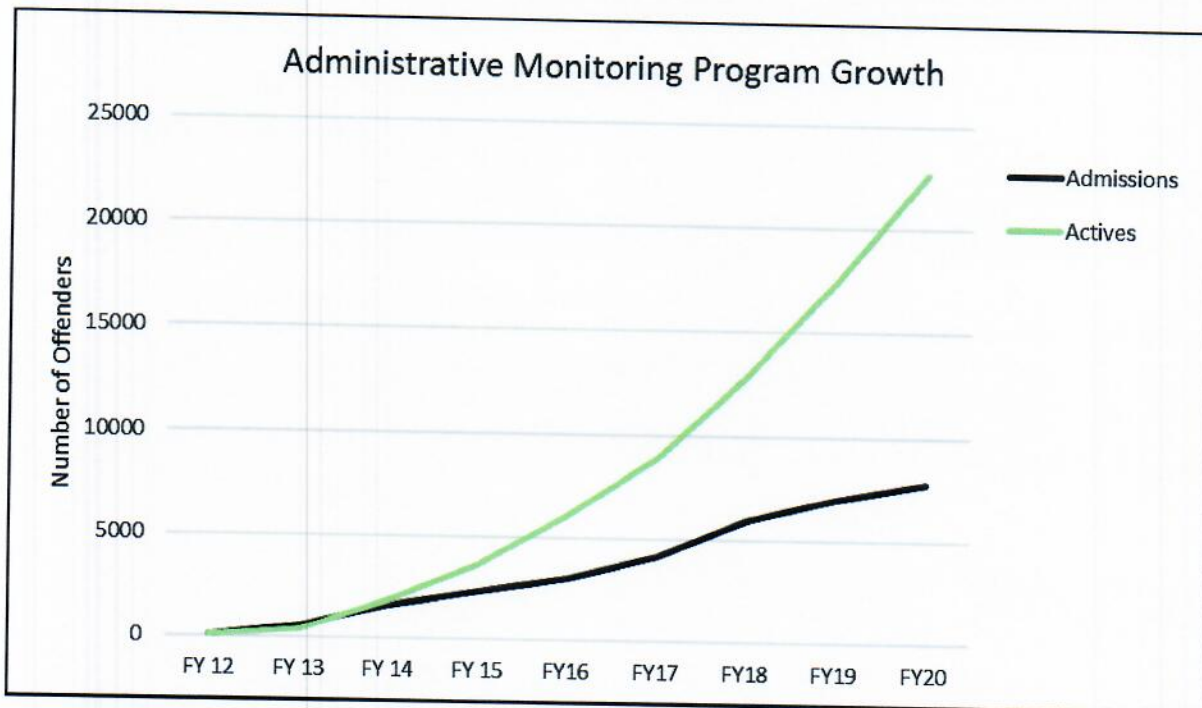
Administrative Monitoring (AM)

FY 2020 Highlights (All information as June 30, 2020)

- 30,147 offenders are currently eligible
- 47,752 cases are currently eligible
- 7,780 offenders were admitted to the program
- 9,917 cases were placed in the program
- 22,651 offenders are active in the program
- 30,144 active cases in the program
- 1,478 offenders successfully completed the program
- Current obligations: \$16,605,510 owed / 30% collected

§ 24-21-100

- Statutory eligibility – If (1) the offense date of January 1, 2011 or later, and (2) upon the completion of traditional supervision, and if all obligations other than financial have been met, then offender is in fee-monitoring only status.



Sections 45 & 50

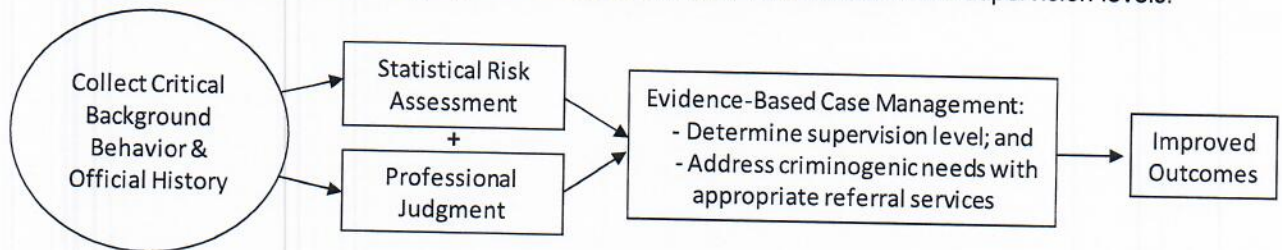
Supervision Risk/Needs Assessment

FY 2020 Highlights (As of June 30, 2020)

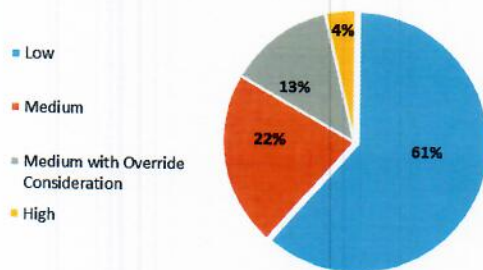
- 19,438 total assessments completed
 - 12,947 Full Core Assessments
 - 6,442 Initial Community Assessments
 - 49 Recidivism Risk Screener
- 16,032 total offenders assessed
- 17,075 Case Supervision Reviews (type of re-assessment) completed
- The diagram below describes how the validated actuarial risk/needs assessment tool is used in conjunction with professional judgment to assess offender risk and determine supervision levels:

§ 24-21-280(C)

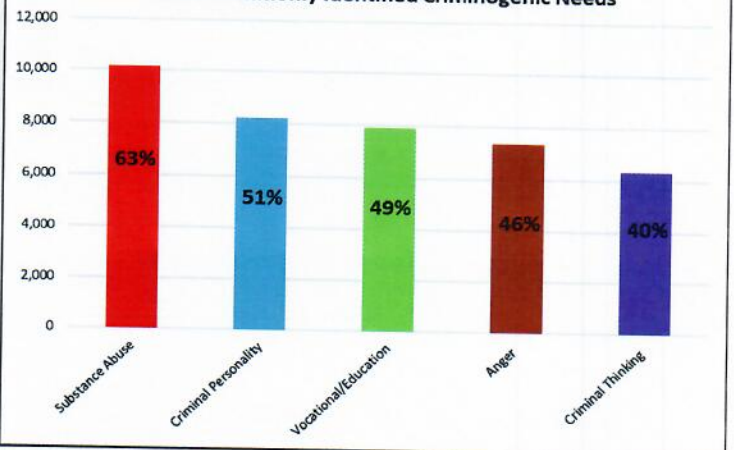
- Adopt a validated actuarial risk/needs assessment tool that is consistent with evidence-based practices.
- The actuarial assessment tool shall include a screener, which shall be used as a triage tool, and a comprehensive version.



Identified Risk Levels of Offenders Assessed in FY20



Most Commonly Identified Criminogenic Needs



Closures by Risk/Needs Assessment Tool Findings for FY 2020

	Total Successful Closures	Total Unsuccessful Closures	Total	% Successful
Low	8,345	1,336	9,681	86%
Medium	2,617	674	3,291	80%
Medium with Override Consideration	1,579	534	2,113	75%
High	410	168	578	71%
Total	12,951	2,712	15,663	83%

Sections 45 & 46

Parole Risk/Needs Assessment

FY 2020 Highlights (As of June 30, 2020)

- 2,510 reentry assessments completed on inmates eligible for parole (including inmates yet to be heard)

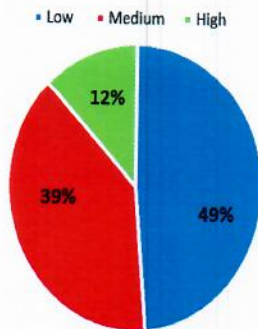
§ 24-21-10(F)

- Adopt a validated actuarial risk/needs assessment tool that is consistent with evidence-based practices.
- In addition to objective criteria, the Parole Board shall use the tool in making parole decisions.

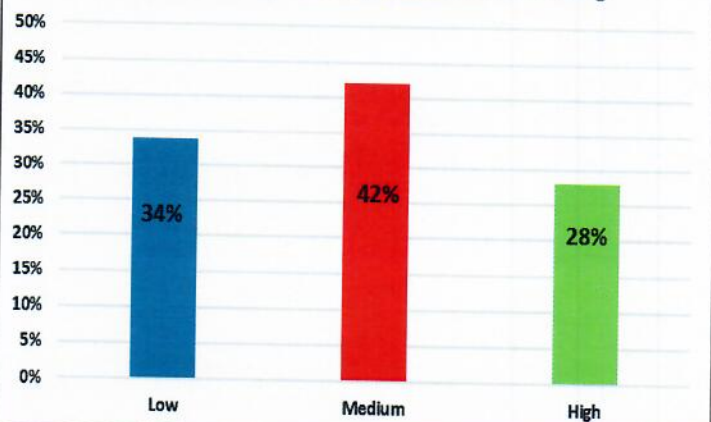
Assessment Finding	Outcome		Total	Parole Rate
	Parole	Reject		
Low	411	809	1,220	34%
Medium	417	565	982	42%
High	87	221	308	28%
Total	915	1,595	2,510	36%

* Due to a small number of inmates being inaccessible (e.g., out of state), this information should not be used to calculate overall parole rates.

Reentry Assessment Findings
Completed in FY 2020



Parole Rate by Reentry Assessment Finding



Section 46

Parole Board Member Training

FY 2020 Highlights (As of June 30, 2020)

- Chairman Kim Frederick attended the SC Solicitor's Conference, where she provided information regarding the Board and its processes.
- Six Board Members completed the annual 8-hour Agency Training.
- Three Board Members attended the 2019 SC Criminal Justice Training Conference.
- Five Board Members attended Virtual Hearings Training and Testing.

Sample of Training Topics:

- Evidence-Based Policy, Practice and Decision Making
- SCDPPPS Administrative Hearings & Revocations
- Victim Services/Impact of Crime
- PPP Evidence-Based Practices (EBP) Annual Update
- Ethics & Responsibility
- Working with Psychopaths in Corrections
- Tech Tips for the Technically Challenged
- Tainted Love: A Look into Domestic Violence

§ 24-21-10

- Requires new members of the Parole Board to complete a comprehensive training course developed by SCDPPPS using training components consistent with those offered by the National Institute of Corrections or the American Probation and Parole Association.
- Requires each member of the Parole Board to complete eight hours of annual training.

- Human Trafficking
- Gangs and the Entertainment Industry
- Introduction to WebEx and Virtual Hearings
- Virtual Hearing Etiquette – A Hands on Practical Exercise

Section 48

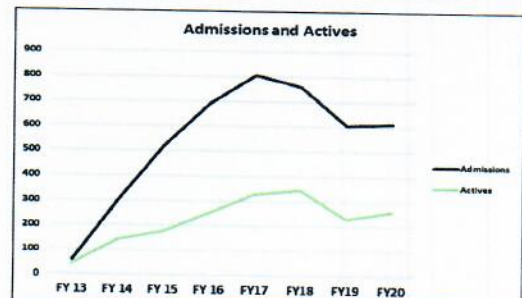
Supervised Reentry

FY 2020 Highlights (As of June 30, 2020)

- 2,270 offenders are statutorily eligible for future release
- 612 offenders were admitted to the program
- 261 offenders are active in the program
- 910 (97%) offenders placed in the program successfully completed
- 475,038 bed days saved for inmates released to Supervised Reentry, which equates to a cost avoidance of \$8,792,953.
 - 1,117,329 total bed days saved (FY 2013 to FY 2020), which equates to a total cost avoidance of \$18,216,157

§ 24-21-32

- Statutory eligibility – offense date of January 1, 2011 or later, and a minimum of two years incarceration must be served (includes credit for time served).
- Mandatory release if criteria are met
- Maximum supervision of 6 months



Section 50

Compliance Credits

FY 2020 Highlights (All information as June 30, 2020)

- 33,137 offenders were eligible to earn compliance credits at some point during the fiscal year
- 5,698,787 credits could have been earned in FY 2020
- 1,754,757 credits have been earned
- 18,687 offenders have earned compliance credits
- 211,987 compliance credits were revoked*
- 3,289 offenders had compliance credits revoked
 - 78% (2,555) of offenders with compliance credits revoked had their credits revoked due to unsuccessful closure of supervision
- 2,500 offenders closed early due to earning compliance credits
 - 175 days - the average number of days that offenders closed early due to compliance credits
 - 23.9 months - the average time under supervision for offenders who closed early due to compliance credits

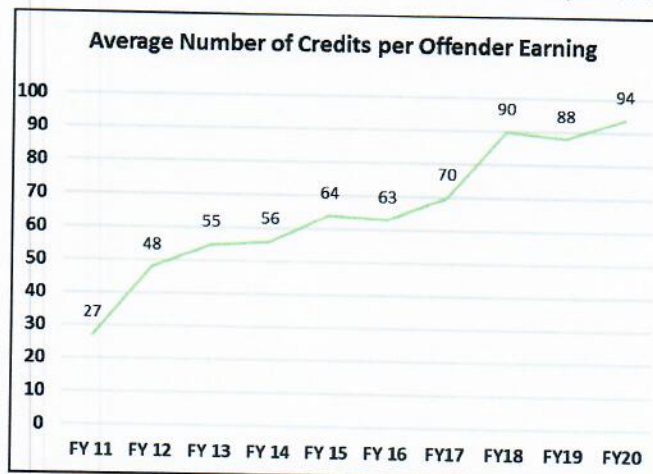
§ 24-21-280

- Statutory eligibility – offense date of January 1, 2011 or later, and an aggregate of 366 days or more of supervision (with no break in supervision).
- Department must identify, calculate and award compliance credits to eligible offenders.
- Statute requires offenders to be current on all their financial obligations.

Compliance Credit Totals Since Inception

FY	# Offenders Eligible to Earn Credits	# Offenders Earning Credits	Potential Credits to be Earned	Credits Earned	Credits Denied	Credits Revoked
11	294	76	10,220	2,080	8,140	20
12	6,025	2,459	639,924	117,198	522,726	1,741
13	14,322	6,166	2,191,448	337,010	1,854,438	21,079
14	22,480	8,872	3,753,485	496,379	3,257,106	59,894
15	27,640	8,552	4,686,097	543,225	4,142,872	58,554
16	30,538	10,007	5,134,849	635,270	4,499,579	97,710
17	31,496	14,799	5,313,916	1,030,733	4,283,183	76,616
18	33,013	19,791	5,460,797	1,771,558	3,689,239	79,328
19	34,080	20,375	5,648,119	1,787,150	3,860,969	187,966
20	33,137	18,687	5,698,787	1,754,757	3,944,030	211,987
Total	233,025	109,784	38,537,642	8,475,360	30,062,282	794,895

*It is possible that offenders earned compliance credits in multiple years.



Section 53

Administrative Sanctions

FY 2020 Highlights (All information as June 30, 2020)

- 788 (39%) of the 2,022 individuals revoked for compliance violations were addressed with alternative sanctions that did not impact SCDC
- 57% decrease in total revocations since FY 2010
- 48% decrease in number of legal process documents issued since FY 2010
- 273% increase in the use of lower level administrative sanctions since FY 2010

§ 24-21-110

- Department will identify, develop, and implement alternative sanctions to address compliance violations.

Administrative Sanctions and Legal Process

	FY 2010	FY 2020	Change FY 2010 to FY 2020	
			#	%
Active offenders	31,262	25,950	-5,312	-17%
Offenders with at least 1 violation	23,288	14,332	-8,956	-38%
Administrative sanctions				
PSE conversions	1,312	64	-1,248	-95%
PSE accounts	160	14	-146	-91%
Financial assessment restructures	14,168	7,333	-6,835	-48%
Fee exemptions*	7,381	6,669	-712	-10%
Home Visits**	11,754	11,229	-525	-4%
Other Administrative Sanctions***	2,535	5,804	3,269	129%
Verbal/written reprimands****	5,367	5,835	468	9%
Total administrative sanctions	42,677	36,948	-5,729	-13%
Legal process				
Warrants issued	11,163	9,354	-1,809	-16%
Citations issued	16,052	4,840	-11,212	-70%
Total legal process	27,215	14,194	-13,021	-48%

*Fee exemptions are through March 31, 2020 to exclude exemptions related to COVID-19 pandemic.

**Number of home visits on standard level offenders 45 days after start of supervision.

***Number of Administrative Sanctions documented in violations matrix.

*Number of 1182s and 1217s issued. In FY18 Consent orders are pulled separately and included here. Previously, consent orders were done on 1182s.

Revocations

	FY 2010	FY 2020	Change FY 2010 to FY 2020	
Compliance	4,783	2,022	-2,761	-58%
New offense	880	401	-479	-54%
Total	5,663	2,423	-3,240	-57%

SCDC Admissions due to Compliance

	FY 2010	FY 2020	Change FY 2010 and FY 2020	
	3,293	1,234	-2,059	-63%

Administrative Sanctions Imposed at the Administrative Hearing Level (Hearings Officers) for

FY20

Administrative Sanctions		Number	Percent	
Revocation				
Weekend jail		32	0.3%	
Partial revocation		757	7.4%	
Full revocation		959	9.4%	
YOA revocation- new active sentence		28	0.2%	
Remain in jail until inpatient treatment		220	2.2%	
Restitution Hearing		60	0.6%	20%
Reporting				
Extend supervision		311	3.0%	
Extend supervision-terminated upon payment		89	0.9%	
Increase supervision contacts		391	3.8%	
Decrease supervision contacts		155	1.5%	
Report more frequently until employed		136	1.3%	11%
Financial				
Restructure financial obligation		1,184	11.6%	
Exempt supervision fee(s)		1,018	10.0%	
Exempt global positioning system (GPS) fee		20	0.2%	
Exempt drug test fee		199	2.0%	
Exempt public service employment (PSE) fee		11	0.1%	
PSE conversion		82	0.8%	
Income tax to money obligation		21	0.2%	
Disability pay to money obligation		51	0.5%	
Stack accounts		81	0.8%	
Report more frequently until current		1	0.0%	
Set time to bring accounts current		364	3.6%	
Defer payment for time period		38	0.4%	
Civil judgment for fine/restitution		355	3.5%	
Budgeting ledger		71	0.7%	
Financial counseling		5	0.0%	
Reduce supervision fee		262	2.6%	37%
Substance abuse treatment				
Inpatient substances abuse treatment		233	2.3%	
Outpatient substance abuse treatment		544	5.3%	
Alcoholics Anonymous/Narcotic Anonymous (AA/NA) 90 in		17	0.2%	
AA/NA at agent discretion		42	0.4%	
Half-way house		38	0.4%	
Incarceration until bed available		71	0.7%	
Treatment assessment		5	0.0%	9%
Criminal domestic violence				
Anger management		16	0.2%	
Domestic violence counseling		118	1.2%	
No contact with victim of violence		23	0.2%	
Write a paper on effects of domestic violence		6	0.1%	2%
Home detention/electronic monitoring/global positioning system				
Home detention		29	0.3%	
Electronic monitoring		3	0.0%	
Global positioning system		25	0.2%	1%
Public Service Employment (PSE)				
Reinstate PSE		41	0.4%	
Impose PSE		14	0.1%	1%
Vocation/education				
General education diploma (GED)		14	0.1%	
Literacy counseling for reading		1	0.0%	
Write a paper on life goals		9	0.1%	
Vocational rehabilitation		37	0.4%	
Five job applications per day		5	0.0%	
Complete job search forms		13	0.1%	
S.C. Department of Employment and Workforce		7	0.1%	1%
Behavioral treatment				
Mental health treatment/evaluation		65	0.6%	
Grief counseling		15	0.1%	
Family counseling		4	0.0%	
Sex offender counseling		30	0.3%	
Restrict where offender may live		37	0.4%	
Mandate where offender lives		34	0.3%	
Restrict contact with certain people		46	0.5%	
Zero tolerance for future violations		161	1.6%	
Remove special conditions		232	2.3%	
Other				
		1,362	13.4%	19%
		10,198	99.9%	100%

Section 55

Parole for Terminally Ill, Geriatric, or Permanently Disabled Inmates

FY 2020 Highlights (All information as June 30, 2020)

- 30 referrals received from SCDC since inception
 - 6 inmates were never heard for medical parole
 - 3 inmates were found to have “no parole” offenses
 - 1 inmate died prior to being heard
 - 2 inmates no longer met the criteria
 - 9 inmates were heard and rejected for conditional parole
 - 4 inmates have since been released due to sentence expiration
 - 2 inmates have since died
 - 2 inmates no longer meet the criteria for medical parole
 - 1 inmate was denied
 - 15 inmates were granted conditional parole
 - 3 inmates had their parole rescinded and have since been released
 - 2 inmates died prior to being released
 - 3 inmates were released on parole and are still under supervision
 - 7 inmates were released on parole but are no longer under supervision
 - 2 inmates have since died
 - 5 inmates completed their term of parole

§ 24-21-715(A)

- SCDPPPS to provide supervision for inmates paroled due to designated status if (1) the offender is terminally ill, geriatric, permanently incapacitated, or any combination of these conditions; and (2) does not pose a threat to society or himself/herself.

Appendix

Cost Avoidance Methodology

- In FY 2012, the SROC received technical assistance from the VERA Institute of Justice's Cost Benefit Analysis Unit to prepare a calculation of the cost avoidance to SCDC and to develop a methodology that would allow for this calculation to be used in the future.
- SCDPPPS and SCDC agreed that the calculation would include both variable and step-fixed costs. Step-fixed costs would be calculated by using the ratio of inmates to correctional officers.
- The step-fixed cost avoidance model developed in FY 2012 did not take into account prison closures.
- A template was developed and the FY 2012 cost avoidance calculation was approved on December 14, 2012.
- In FY 2017, the model was modified to take into account prison closures.
- The template of methodology located on page 21 was used for the FY 2020 cost avoidance and provides a description of all variables used to generate the total cost avoidance for FY 2020.

Cost Avoidance Calculation

Fiscal year of analysis 2020		
a Days per year	366	Number of days in FY 2020
Highlighted fields are user inputs. Other fields are calculated.		
Section 1 - Bed-Days Avoided		
Housing		
1 PPP Avoided Bed-Days	760,720	Bed Days Saved FY10 - FY20
2 PPP Avoided Bed-Years	2,078	line 1 / line a (days per year)
3 Beds per Housing Unit	144	144 inmates per unit (wing or dorm) of institution (per SCDC)
4 Avoided Units	14.0	line 2 / line 3 (rounded down)
5 Beds per Institution	432	432 inmates per institution
6 Avoided Institutions	4.0	line 2 / line 5 (rounded down)
Housing Unit Staffing		
7 Correctional Officers per Unit	4.0	Four officers fill two 12-hour shifts
8 Avoided Dorm Officers	56.0	line 4 x line 7
Institution Staffing		
9 Other Correctional Officers per Institution	6.0	Each institution has 6 correctional officers (excluding dorm officers)
10 Avoided Correctional Officers	24.0	line 6 x line 9
11 Shift Supervisors per Institution	4.0	Each institution has 4 security shift supervisors
12 Avoided Shift Supervisors	16.0	line 6 x line 11
13 Administrative Assistants per Institution	2.0	Each institution has 2 administrative assistants
14 Avoided Administrative Assistants	8.0	line 6 x line 13
15 Supply Managers per Institution	1.0	Each institution has 1 supply manager
16 Avoided Supply Managers	4.0	line 6 x line 15
17 Caseworkers per Institution	1.0	Each institution has 1 caseworker
18 Avoided Caseworkers	4.0	line 6 x line 17
19 Human Services Specialists per Institution	1.0	Each institution has 1 human services specialist
20 Avoided Human Services Specialists	4.0	line 6 x line 19
21 Wardens per Institution	1.0	Each institution has 1 warden
22 Avoided Wardens	4.0	line 6 x line 21
23 Food Services Specialists per Institution	3.0	Each institution has 3 food service specialists
24 Avoided Food Services Specialists	12.0	line 6 x line 23
25 Trades Specialists per Institution	1.0	Each institution has 1 trade specialist
26 Avoided Trades Specialists	4.0	line 6 x line 25
27 Vehicle Operators per Institution	2.0	Each institution has 2 vehicle operators
28 Avoided Vehicle Operators	8.0	line 6 x line 27
Section 2 - Marginal Costs		
Variable Costs Per Inmate		
29 Food Per Diem	\$ 2.38	FY 20 Variable Food Cost
30 Health Care Per Diem	\$ 7.00	FY 20 Variable Health Cost
31 Total Per Diem Variable Costs	\$ 9.38	line 29 + line 30
32 Total Per Annum Variable Costs	\$ 3,433	line 31 x line a (days per year)
Step-fixed Costs Per Inmate		
Health Care and other programming		
33 Health/programming personnel, per diem	\$ -	No cost avoided. Level 1 institutions typically do not have full-time medical / mental health staff.
Step-fixed Salary Costs		
34 Correctional Officer Salary (Officer I)	\$ 33,558	Per HR 10/28/2020
35 Security Shift Supervisor Salary	\$ 39,852	Per HR 10/28/2020
36 Level 1 Warden Salary	\$ 80,211	Estimate based on level 1 facilities on 10/1/2020.
37 Supply Manager Salary	\$ 27,527	Estimate based on level 1 facilities on 10/1/2020.
38 Caseworker Salary	\$ 30,966	Estimate based on level 1 facilities on 10/1/2020.
39 Human Services Specialist Salary	\$ 31,844	Estimate based on level 1 facilities on 10/1/2020.
40 Food Services Specialist Salary	\$ 30,274	Estimate based on level 1 facilities on 10/1/2020.
41 Trades Specialist Salary	\$ 48,097	Estimate based on level 1 facilities on 10/1/2020.
42 Vehicle Operator Salary	\$ 24,960	Estimate based on level 1 facilities on 10/1/2020.
43 Administrative Assistant Salary	\$ 29,718	Estimate based on level 1 facilities on 10/1/2020.
44 Fringe Benefit Rate	49.79%	Per Budget Division 10/8/2020
45 Salary & Benefits (Officer I)	\$ 50,267	line 34 + (line 34 x line 44)
46 Salary & Benefits (Shift Supervisor)	\$ 59,694	line 35 + (line 35 x line 44)
47 Salary & Benefits (Warden)	\$ 120,148	line 36 + (line 36 x line 44)
48 Salary & Benefits (Supply Mgr.)	\$ 41,233	line 37 + (line 37 x line 44)
49 Salary & Benefits (Caseworker)	\$ 46,384	line 38 + (line 38 x line 44)
50 Salary & Benefits (Human Ser. Sp.)	\$ 47,698	line 39 + (line 39 x line 44)
51 Salary & Benefits (Food Ser. Sp.)	\$ 45,347	line 40 + (line 40 x line 44)
52 Salary & Benefits (Trades Sp.)	\$ 72,044	line 41 + (line 41 x line 44)
53 Salary & Benefits (Vehicle Oper.)	\$ 37,388	line 42 + (line 42 x line 44)
54 Salary & Benefits (Admin. Assist.)	\$ 44,515	line 43 + (line 43 x line 44)
55 Officer I Step-Fixed Cost	\$ 4,021,322	(line 8 x line 45) + (line 10 x line 45)
56 Shift Supervisor Step-Fixed Cost	\$ 955,109	line 12 x line 46
57 Warden Step-Fixed Cost	\$ 480,592	line 22 x line 47
58 Supply Manager Step-Fixed Cost	\$ 164,931	line 16 x line 48
59 Caseworker Step-Fixed Cost	\$ 185,536	line 18 x line 49
60 Human Services Specialist Step-Fixed Cost	\$ 190,794	line 20 x line 50
61 Food Services Specialist Step-Fixed Cost	\$ 544,169	line 24 x line 51
62 Trade Specialist Step-Fixed Cost	\$ 192,388	line 26 x line 41
63 Vehicle Operator Step-Fixed Cost	\$ 299,101	line 28 x line 53
64 Administrative Assistant Step-Fixed Cost	\$ 356,120	line 14 x line 54
65 Officer Cost Avoidance	\$ 4,976,431	line 55 + line 56
66 Officer Cost Avoidance per Inmate	\$ 6.54	line 65 / line 1
67 Administrative Cost Avoidance (Institutions Closed)	\$ 2,413,630	line 57 + line 58 + line 59 + line 60 + line 61 + line 62 + line 63 + line 64
Section 3 - Cost Avoidance and Maximum Reinvestment		
68 Variable cost avoidance	\$ 7,135,554	line 1 x line 31
69 Step-fixed cost avoidance	\$ 7,390,061	line 65 + line 67
70 Grand total	\$ 14,525,615	line 68 + line 69
71 Maximum reinvestment	\$ 5,083,965	35% x line 70
Note: This cost avoidance calculation assumes that there are no vacancies within the agency to absorb layoffs from closed institutions and that all current FTEs are fully funded.		